Quanquan Gu

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Research Interests	My research is in machine learning, with a focu optimization algorithms for machine learning to plex, and multi-modal data, and build the found learning. Recently, I use AI to empower scient medicine, chemistry, and public health.	s on developing and analyzing nonconvex to understand large-scale, dynamic, com- lations of deep learning and reinforcement ific discovery in domains such as biology,	
PROFESSIONAL EXPERIENCE Department of Computer Science, University of California, Los Angele USA 2022.7		ersity of California, Los Angeles, CA,	
	Associate Professor with Tenure	2022.7 - HOW	
	ByteDance Research, Los Angeles, CA, US	A 2023.7 - now	
	• Director and Head of AI for Drug Design		
	Department of Computer Science, University of California, Los Angeles, CA,		
	USA	2018.7 - 2022.6	
	• Tenure-track Assistant Professor		
	Simons Institute for the Theory of Computing, Online 2020.8 - 2020.12		
	• Long-term Participant in the Theory of Reinforcement Learning Prog		
	 Short-term Visitor in the Special Year on Optimization, Statistics, and Theoretical Machine Learning 		
Simons Institute for the Theory of Computing, Berkeley, 0 2019.8		puting, Berkeley, CA, USA 2019.5 -	
	• Research Fellow in the Foundations of Dee Department of Computer Science, Univer 2018.6	p Learning Summer Program ersity of Virginia, VA, USA 2016 -	
	• Tenure-track Assistant Professor		
	Department of Systems and Information VA, USA	Engineering, University of Virginia, 2015 - 2017	
	• Tenure-track Assistant Professor		
	Department of Operations Research and	d Financial Engineering, Princeton	
	University, NJ, USAPostdoctoral Research Associate in Statistic	2014 - 2015 ics	
Education	University of Illinois at Urbana-Champa • Ph D in Computer Science	ign, IL, USA 2010 - 2014	
	Tsinghua University, Beijing, China	2007 - 2010	
	• Master of Science in Control Science and E	Engineering	
	Tsinghua University, Beijing, ChinaBachelor of Engineering in Automation	2003 - 2007	
HONORS AND			
AWARDS	Alfred P. Sloan Research Fellowship	2002	
1 IVAILDD	• JP Morgan Faculty Research Award	2022 2022	
	AWS Machine Learning Research Award	2022	

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Teaching Experience

Instructor, University of California, Los Angeles

- CS 161: Fundamentals of AI (Winter 2020, Winter 2021, Winter 2022, Winter 2023)
- CS 260: Machine Learning Algorithms (Fall 2018, Spring 2020, Fall 2021, Fall 2022)
- CS 269: Foundations of Deep Learning (Winter 2019, Spring 2021, Spring 2022)
- CS 269: Optimization for Machine Learning (Spring 2024)

Instructor, University of Virginia

- CS 6316/SYS6016: Machine Learning (Spring 2018)
- CS 6501/SYS6003: Optimization for Machine Learning (Fall 2017)
- SYS 6003: Optimization Models and Methods (Fall 2015, 2016)
- SYS 4582/6016: Machine Learning (Spring 2017)
- SYS 3060: Stochastic Decision Models (Spring 2016)

Teaching Assistant, Department of Computer Science, UIUC

- CS 512: Data Mining: Principles and Algorithms (Spring 2013)
- CS 412: An Introduction to Data Warehousing and Data Mining (Fall 2012)

PUBLICATION

- Yiwen Kou*, Zixiang Chen* and Quanquan Gu, Implicit Bias of Gradient Descent for Two-layer ReLU and Leaky ReLU Networks on Nearly-orthogonal Data, in Proc. of Advances in Neural Information Processing Systems (NeurIPS) 36, New Orleans, LA, USA, 2023.
- Huizhuo Yuan*, Chris Junchi Li*, Gauthier Gidel, Michael I. Jordan, Quanquan Gu and Simon Shaolei Du, Optimal Extragradient-Based Algorithms for Stochastic Variational Inequalities with Separable Structure, in Proc. of Advances in Neural Information Processing Systems (NeurIPS) 36, New Orleans, LA, USA, 2023.
- 3. Chenlu Ye, Rui Yang, Quanquan Gu and **Tong Zhang**, Corruption-Robust Offline Reinforcement Learning with General Function Approximation, in Proc. of Advances in Neural Information Processing Systems (**NeurIPS**) 36, New Orleans, LA, USA, 2023.
- Yihe Deng^{*}, Yu Yang^{*}, Baharan Mirzasoleiman and and Quanquan Gu, Robust Learning with Progressive Data Expansion Against Spurious Correlation, in Proc. of Advances in Neural Information Processing Systems (NeurIPS) 36, New Orleans, LA, USA, 2023.
- Zixiang Chen*, Junkai Zhang*, Yiwen Kou, Xiangning Chen, Cho-Jui Hsieh and Quanquan Gu, Why Does Sharpness-Aware Minimization Generalize Better Than SGD? in Proc. of Advances in Neural Information Processing Systems (NeurIPS) 36, New Orleans, LA, USA, 2023.
- Heyang Zhao, Jiafan He, Dongruo Zhou, Tong Zhang and Quanquan Gu, Variance-Dependent Regret Bounds for Linear Bandits and Reinforcement Learning: Adaptivity and Computational Efficiency, in Proc. of the 36th Annual Conference on Learning Theory (COLT), Bangalore, India, 2023.
- 7. Yuan Cao, Difan Zou, Yuanzhi Li and ${\bf Quanquan}~{\bf Gu},$ The Implicit Bias of Batch

Normalization in Linear Models and Two-layer Linear Convolutional Neural Networks, in Proc. of the 36th Annual Conference on Learning Theory (**COLT**), Bangalore, India, 2023.

- Lingxiao Wang, Bargav Jayaraman, David Evans and Quanquan Gu, Efficient Privacy-Preserving Stochastic Nonconvex Optimization, in Proc. of the 39th International Conference on Uncertainty in Artificial Intelligence (UAI), Pittsburgh, PA, USA, 2023.
- 9. Yue Wu, Jiafan He and **Quanquan Gu**, Uniform-PAC Guarantees for Model-Based RL with Bounded Eluder Dimension, in Proc. of the 39th International Conference on Uncertainty in Artificial Intelligence (**UAI**), Pittsburgh, PA, USA, 2023.
- Weitong Zhang*, Jiafan He*, Dongruo Zhou, Amy Zhang and Quanquan Gu, Provably Efficient Representation Learning in Low-rank Markov Decision Processes: from online to offline RL, in Proc. of the 39th International Conference on Uncertainty in Artificial Intelligence (UAI), Pittsburgh, PA, USA, 2023.
- Jinghui Chen*, Yuan Cao* and Quanquan Gu, Benign Overfitting in Adversarially Robust Linear Classification, in Proc. of the 39th International Conference on Uncertainty in Artificial Intelligence (UAI), Pittsburgh, PA, USA, 2023.
- 12. Quanquan Gu^{**}, Amin Karbasi^{**}, Khashayar Khosravi^{**}, Vahab Mirrokni^{**}, Dongruo Zhou^{**}, Batched Neural Bandits, ACM/IMS Journal of Data Science, 2023.
- Yiwen Kou*, Zixiang Chen*, Yuanzhou Chen and Quanquan Gu, Benign Overfitting for Two-layer ReLU Convolutional Neural Networks, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 14. Jingfeng Wu*, Difan Zou*, Zixiang Chen*, Vladimir Braverman, Quanquan Gu and Sham M. Kakade, Finite-Sample Analysis of Learning High-Dimensional Single ReLU Neuron, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 15. Chris Junchi Li*, Huizhuo Yuan*, Simon Du, Gauthier Gidel, Quanquan Gu and Michael I. Jordan, Cooperative Multi-Agent Reinforcement Learning: Asynchronous Communication and Linear Function Approximation, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 16. Yifei Min*, Jiafan He*, Tianhao Wang* and Quanquan Gu, Cooperative Multi-Agent Reinforcement Learning: Asynchronous Communication and Linear Function Approximation, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- Junkai Zhang, Weitong Zhang and Quanquan Gu, Optimal Horizon-Free Reward-Free Exploration for Linear Mixture MDPs, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 18. Qiwei Di, Jiafan He, Dongruo Zhou and **Quanquan Gu**, On the Nearly Minimax Optimal Regret for Learning Linear Mixture Stochastic Shortest Path, in Proc. of the 40 th International Conference on Machine Learning (**ICML**), Hawaii, USA, 2023.
- Difan Zou, Yuan Cao, Yuanzhi Li and Quanquan Gu, The Benefits of Mixup for Feature Learning, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 20. Weitong Zhang, Jiafan He, Zhiyuan Fan and Quanquan Gu, On the Interplay Between Misspecification and Sub-optimality Gap in Linear Contextual Bandits, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- Heyang Zhao, Dongruo Zhou, Jiafan He and Quanquan Gu, Optimal Online Generalized Linear Regression with Stochastic Noise and Its Application to Heteroscedastic Bandits, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 22. Chenlu Ye, Wei Xiong, **Quanquan Gu** and Tong Zhang, Corruption-Robust Algorithms with Uncertainty Weighting for Nonlinear Contextual Bandits and Markov Decision Processes, in Proc. of the 40 th International Conference on Machine

Learning (ICML), Hawaii, USA, 2023.

- 23. Jiafan He, Heyang Zhao, Dongruo Zhou and **Quanquan Gu**, Nearly Minimax Optimal Reinforcement Learning for Linear Markov Decision Processes, in Proc. of the 40 th International Conference on Machine Learning (**ICML**), Hawaii, USA, 2023.
- 24. Zaixiang Zheng*, Yifan Deng*, Dongyu Xue, Yi Zhou, Fei Ye and Quanquan Gu, Structure-informed Language Models Are Protein Designers, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 25. Jiaqi Guan*, Xiangxin Zhou*, Yuwei Yang, Yu Bao, Jian Peng, Jianzhu Ma, Qiang Liu, Liang Wang and Quanquan Gu, DecompDiff: Diffusion Models with Decomposed Priors for Structure-Based Drug Design, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- 26. Yue Wu, Shuaicheng Zhang, Wenchao Yu, Yanchi Liu, Quanquan Gu, Dawei Zhou, Haifeng Chen and Wei Cheng, Personalized Federated Learning under Mixture of Distributions, in Proc. of the 40 th International Conference on Machine Learning (ICML), Hawaii, USA, 2023.
- Katriona Shea et al., Multiple Models for Outbreak Decision Support in the face of Uncertainty, in Proceedings of the National Academy of Sciences (**PNAS**), Volume 120, No. 18, 2023.
- Zixiang Chen*, Chris Junchi Li*, Huizhuo Yuan*, Quanquan Gu and Michael I. Jordan, A General Framework for Sample-Efficient Function Approximation in Reinforcement Learning, in Proc. of the 10th International Conference on Learning Representations (ICLR), 2023.
- 29. Difan Zou, Yuan Cao, Yuanzhi Li and **Quanquan Gu**, Understanding the Generalization of Adam in Learning Neural Networks with Proper Regularization, in Proc. of the 10th International Conference on Learning Representations (**ICLR**), 2023.
- 30. Yiwen Kou, Zixiang Chen, Yuan Cao and Quanquan Gu, How Does Semisupervised learing with Pseudo-labelers Work? A Case Study, in Proc. of the 10th International Conference on Learning Representations (ICLR), 2023.
- Xinzhe Zuo, Zixiang Chen, Huaxiu Yao, Yuan Cao and Quanquan Gu, Understanding Train-Validation Split in Meta-Learning with Neural Networks, in Proc. of the 10th International Conference on Learning Representations (ICLR), 2023.
- 32. Yuan Cao*, Zixiang Chen*, Mikhail Belkin and Quanquan Gu, Benign Overfitting in Two-layer Convolutional Neural Networks, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 33. Dongruo Zhou and Quanquan Gu, Computationally Efficient Horizon-Free Reinforcement Learning for Linear Mixture MDPs, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 34. Difan Zou*, Jingfeng Wu*, Vladimir Braverman, Quanquan Gu and Sham M. Kakade, Risk Bounds of Multi-Pass SGD for Least Squares in the Interpolation Regime, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 35. Jingfeng Wu*, Difan Zou*, Vladimir Braverman, Quanquan Gu and Sham M. Kakade, The Power and Limitation of Pretraining-Finetuning for Linear Regression under Covariate Shift, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 36. Jiafan He, Dongruo Zhou, Tong Zhang and Quanquan Gu, Nearly Optimal Algorithms for Linear Contextual Bandits with Adversarial Corruptions, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 37. Chris Junchi Li*, Dongruo Zhou*, Quanquan Gu and Michael I. Jordan, Learning Two-Player Mixture Markov Games: Kernel Function Approximation and Correlated Equilibrium, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.

- 38. Jiafan He*, Tianhao Wang*, Yifei Min*, Quanquan Gu, A Simple and Provably Efficient Algorithm for Asynchronous Federated Contextual Linear Bandits, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- Zixiang Chen, Yihe Deng, Yue Wu, Quanquan Gu and Yuanzhi Li, Towards Understanding the Mixture-of-Experts Layer in Deep Learning, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'22) 35, New Orleans, LA, USA, 2022.
- 40. Hao Lou, Tao Jin, Yue Wu, Pan Xu, **Quanquan Gu** and Farzad Farnoud, Active Ranking without Strong Stochastic Transitivity, in Proc. of Advances in Neural Information Processing Systems (**NeurIPS'22**) 35, New Orleans, LA, USA, 2022.
- 41. Chonghua Liao^{*}, Jiafan He^{*} and **Quanquan Gu**, Locally Differentially Private Reinforcement Learning for Linear Mixture Markov Decision Processes, in Proc. of the 14th Asia Conference on Machine Learning (**ACML'22**), Hyderabad, India, 2022.
- 42. Benjamin Hoar, Weitong Zhang, Shuangning Xu, Rana Deeba, Cyrille Costentin, Quanquan Gu, Chong Liu, Electrochemical Mechanistic Analysis from Cyclic Voltammograms Based on Deep Learning, ACS Measurement Science Au, 2022.
- 43. Jingfeng Wu*, Difan Zou*, Vladimir Braverman, Quanquan Gu and Sham M. Kakade, Last Iterate Risk Bounds of SGD with Decaying Stepsize for Overparameterized Linear Regression, in Proc. of the 39th International Conference on Machine Learning (ICML'22), Baltimore, MD, USA, 2022.
- 44. Yuanzhou Chen*, Jiafan He* and Quanquan Gu, On the Sample Complexity of Learning Infinite-horizon Discounted Linear Kernel MDPs, in Proc. of the 39th International Conference on Machine Learning (ICML'22), Baltimore, MD, USA, 2022.
- 45. Yifei Min, Jiafan He, Tianhao Wang and Quanquan Gu, Learning Stochastic Shortest Path with Linear Function Approximation, in Proc. of the 39th International Conference on Machine Learning (ICML'22), Baltimore, MD, USA, 2022.
- Dongruo Zhou and Quanquan Gu, Dimension-free Complexity Bounds for Highorder Nonconvex Finite-sum Optimization, in Proc. of the 39th International Conference on Machine Learning (ICML'22), Baltimore, MD, USA, 2022.
- 47. Pan Xu, Zheng Wen, Handong Zhao and Quanquan Gu, Neural Contextual Bandits with Deep Representation and Shallow Exploration, in Proc. of the 10th International Conference on Learning Representations (ICLR'22), 2022.
- 48. Yihan Wang, Zhouxing Shi, **Quanquan Gu** and Cho-Jui Hsieh, On the Convergence of Certified Robust Training with Interval Bound Propagation, in Proc. of the 10th International Conference on Learning Representations (**ICLR'22**), 2022.
- 49. Yiling Jia, Weitong Zhang, Dongruo Zhou, **Quanquan Gu** and Hongning Wang, Learning Neural Contextual Bandits through Perturbed Rewards, in Proc. of the 10th International Conference on Learning Representations (**ICLR'22**), 2022.
- 50. Yue Wu^{*}, Tao Jin^{*}, Hao Lou, Pan Xu, Farzad Farnoud and **Quanquan Gu**, Adaptive Sampling for Heterogeneous Rank Aggregation from Noisy Pairwise Comparisons, in Proc of the 23rd International Conference on Artificial Intelligence and Statistics (AISTATS'22), 2022.
- 51. Yue Wu, Dongruo Zhou and **Quanquan Gu**, Nearly Minimax Optimal Regret for Learning Infinite-horizon Average-reward MDPs with Linear Function Approximation, in Proc of the 23rd International Conference on Artificial Intelligence and Statistics (AISTATS'22), 2022.
- 52. Spencer Frei^{*}, Difan Zou^{*}, Zixiang Chen^{*} and **Quanquan Gu**, Self-training Converts Weak Learners to Strong Learners in Mixture Models, in Proc of the 23rd International Conference on Artificial Intelligence and Statistics (**AISTATS'22**), 2022.
- 53. Jiafan He, Dongruo Zhou and **Quanquan Gu**, Near-optimal Policy Optimization Algorithms for Learning Adversarial Linear Mixture MDPs, in Proc of the 23rd

International Conference on Artificial Intelligence and Statistics (AISTATS'22), 2022.

- 54. Zixiang Chen^{*}, Dongruo Zhou^{*} and **Quanquan Gu**, Faster Perturbed Stochastic Gradient Methods for Finding Local Minima, in Proc. of the 33rd International Conference on Algorithmic Learning Theory (**ALT'22**), Paris, France,2022.
- 55. Zixiang Chen, Dongruo Zhou and Quanquan Gu, Almost Optimal Algorithms for Two-player Zero-Sum Linear Mixture Markov Games, in Proc. of the 33rd International Conference on Algorithmic Learning Theory (ALT'22), Paris, France,2022.
- 56. Jinghui Chen, Yu Cheng, Zhe Gan, **Quanquan Gu** and Jingjing Liu, Efficient Robust Training via Backward Smoothing, in Proc. of the 36th AAAI Conference on Artificial Intelligence (**AAAI'22**), Vancouver, BC, Canada, 2022.
- 57. Estee Y Cramer et al., Evaluation of individual and ensemble probabilistic forecasts of COVID-19 mortality in the US, in Proceedings of the National Academy of Sciences (**PNAS**), Volume 119, No. 15, 2022.
- Yuan Cao, Quanquan Gu and Mikhail Belkin, Risk Bounds for Over-parameterized Maximum Margin Classification on Sub-Gaussian Mixtures, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- Boxi Wu*, Jinghui Chen*, Deng Cai, Xiaofei He and Quanquan Gu, Do Wider Neural Networks Really Help Adversarial Robustness? in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 60. Spencer Frei and Quanquan Gu, Proxy Convexity: A Unified Framework for the Analysis of Neural Networks Trained by Gradient Descent, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- Difan Zou*, Jingfeng Wu*, Vladimir Braverman, Quanquan Gu, Dean P. Foster and Sham M. Kakade, The Benefits of Implicit Regularization from SGD in Least Squares Problems, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 62. Yinglun Zhu*, Dongruo Zhou*, Ruoxi Jiang*, Quanquan Gu, Rebecca Willett and Robert Nowak, Pure Exploration in Kernel and Neural Bandits, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 63. Jiafan He, Dongruo Zhou and Quanquan Gu, Uniform-PAC Bounds for Reinforcement Learning with Linear Function Approximation, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 64. Jiafan He, Dongruo Zhou and **Quanquan Gu**, Minimax Optimal Reinforcement Learning for Discounted MDPs, in Proc. of Advances in Neural Information Processing Systems (**NeurIPS'21**) 34, 2021.
- Weitong Zhang, Dongruo Zhou, Quanquan Gu, Reward-Free Model-Based Reinforcement Learning with Linear Function Approximation, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 66. Tianhao Wang*, Dongruo Zhou* and Quanquan Gu, Provably Efficient Reinforcement Learning with Linear Function Approximation Under Adaptivity Constraints, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- 67. Yifei Min^{*}, Tianhao Wang^{*}, Dongruo Zhou and Quanquan Gu, Variance-Aware Off-Policy Evaluation with Linear Function Approximation, in Proc. of Advances in Neural Information Processing Systems (**NeurIPS'21**) 34, 2021.
- Luyao Yuan, Dongruo Zhou, Junhong Shen, Jingdong Gao, Jeffrey L Chen, Quanquan Gu, Ying Nian Wu and Song-Chun Zhu, Iterative Teacher-Aware Learning, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.
- Hanxun Huang, Yisen Wang, Sarah Monazam Erfani, Quanquan Gu, James Bailey and Xingjun Ma, Exploring Architectural Ingredients of Adversarially Robust Deep Neural Networks, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'21) 34, 2021.

- 70. Difan Zou*, Jingfeng Wu*, Vladimir Braverman, Quanquan Gu and Sham M. Kakade, Benign Overfitting of Constant-Stepsize SGD for Linear Regression, in Proc. of the 34th Annual Conference on Learning Theory (COLT'21), 2021.
- Dongruo Zhou, Quanquan Gu and Csaba Szepesvari, Nearly Minimax Optimal Reinforcement Learning for Linear Mixture MDPs, in Proc. of the 34th Annual Conference on Learning Theory (COLT'21), 2021.
- Tianyuan Jin, Pan Xu, Xiaokui Xiao and Quanquan Gu, Double Explore-then-Commit: Asymptotic Optimality and Beyond, in Proc. of the 34th Annual Conference on Learning Theory (COLT'21), 2021.
- 73. Difan Zou, Pan Xu and **Quanquan Gu**, Faster Convergence of Stochastic Gradient Langevin Dynamics for Non-Log-Concave Sampling, in Proc. of the 37th International Conference on Uncertainty in Artificial Intelligence (**UAI'21**), 2021.
- 74. Difan Zou and **Quanquan Gu**, On the Convergence of Hamiltonian Monte Carlo with Stochastic Gradients, in Proc. of the 38th International Conference on Machine Learning (**ICML'21**), 2021.
- 75. Difan Zou^{*}, Spencer Frei^{*} and **Quanquan Gu**, Provable Robustness of Adversarial Training for Learning Halfspaces with Noise, in Proc. of the 38th International Conference on Machine Learning (**ICML'21**), 2021.
- 76. Jiafan He, Dongruo Zhou and **Quanquan Gu**, Logarithmic Regret for Reinforcement Learning with Linear Function Approximation, in Proc. of the 38th International Conference on Machine Learning (**ICML'21**), 2021.
- 77. Dongruo Zhou, Jiafan He and **Quanquan Gu**, Provably Efficient Reinforcement Learning for Discounted MDPs with Feature Mapping, in Proc. of the 38th International Conference on Machine Learning (**ICML'21**), 2021.
- 78. Spencer Frei, Yuan Cao and **Quanquan Gu**, Agnostic Learning of Halfspaces with Gradient Descent via Soft Margins, in Proc. of the 38th International Conference on Machine Learning (**ICML'21**), 2021. (Long talk)
- 79. Spencer Frei, Yuan Cao and Quanquan Gu, Provable Generalization of SGDtrained Neural Networks of Any Width in the Presence of Adversarial Label Noise, in Proc. of the 38th International Conference on Machine Learning (ICML'21), 2021.
- Tianyuan Jin, Pan Xu, Jieming Shi, Xiaokui Xiao and Quanquan Gu, MOTS: Minimax Optimal Thompson Sampling, in Proc. of the 38th International Conference on Machine Learning (ICML'21), 2021.
- Tianyuan Jin, Jing Tang, Pan Xu, Keke Huang, Xiaokui Xiao and Quanquan Gu, Almost Optimal Anytime Algorithm for Batched Multi-Armed Bandits, in Proc. of the 38th International Conference on Machine Learning (ICML'21), 2021.
- Johannes Bracher et al., Short-term Forecasting of COVID-19 in Germany and Poland during the Second Vave–A Preregistered Study, Nature Communications, 2021.
- 83. Zixiang Chen^{*}, Yuan Cao^{*}, Difan Zou^{*} and **Quanquan Gu**, How Much Overparameterization Is Sufficient to Learn Deep ReLU Networks? in Proc. of the 9th International Conference on Learning Representations (**ICLR'21**), 2021.
- Weitong Zhang, Dongruo Zhou, Lihong Li and Quanquan Gu, Neural Thompson Sampling, in Proc. of the 9th International Conference on Learning Representations (ICLR'21), 2021.
- 85. Jingfeng Wu, Difan Zou, Vladimir Braverman and **Quanquan Gu**, Direction Matters: On the Implicit Regularization Effect of Stochastic Gradient Descent with Moderate Learning Rate, in Proc. of the 9th International Conference on Learning Representations (**ICLR'21**), 2021.
- 86. Lingxiao Wang, Kevin Huang, Tengyu Ma, Quanquan Gu and Jing Huang, Variance-reduced First-order Meta-learning for Natural Language Processing Tasks, in Proc. of 2021 Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL), 2021.
- 87. Yuan Cao*, Zhiying Fang*, Yue Wu*, Ding-Xuan Zhou and Quanquan Gu, To-

wards Understanding the Spectral Bias of Deep Learning, in Proc. of the 30th International Joint Conference on Artificial Intelligence (**IJCAI'21**), Montreal, Canada, 2021.

- 88. Bargav Jayaraman, Lingxiao Wang, Katherine Knipmeyer, **Quanquan Gu** and David Evans, Revisiting Membership Inference Under Realistic Assumptions. 21st Privacy Enhancing Technologies Symposium (**PETS**), 2021.
- Bao Wang^{*}, Difan Zou^{*}, Quanquan Gu, Stanley Osher, Laplacian Smoothing Stochastic Gradient Markov Chain Monte Carlo, SIAM Journal on Scientific Computing, 2020.
- 90. Yue Wu, Weitong Zhang, Pan Xu and Quanquan Gu, A Finite Time Analysis of Two Time-Scale Actor Critic Methods, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'20) 33, 2020.
- Spencer Frei, Yuan Cao and Quanquan Gu, Agnostic Learning of a Single Neuron with Gradient Descent, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'20) 33, 2020.
- 92. Zixiang Chen, Yuan Cao, Quanquan Gu and Tong Zhang, A Generalized Neural Tangent Kernel Analysis for Two-layer Neural Networks, in Proc. of Advances in Neural Information Processing Systems (NeurIPS'20) 33, 2020.
- Dongruo Zhou, Lihong Li and Quanquan Gu, Neural Contextual Bandits with UCB-Based Exploration in Proc. of the 37th International Conference on Machine Learning (ICML'20), 2020.
- 94. Pan Xu and **Quanquan Gu**, A Finite-Time Analysis of Q-Learning with Neural Network Function Approximation in Proc. of the 37th International Conference on Machine Learning (**ICML'20**), 2020.
- 95. Yonatan Dukler, Quanquan Gu and Guido Montufar, Optimization Theory for ReLU Neural Networks Trained with Normalization Layers in Proc. of the 37th International Conference on Machine Learning (ICML'20), 2020.
- 96. Fabrice Harel-Canada, Lingxiao Wang, Muhammad Ali Gulzar, Quanquan Gu and Miryung Kim, Is Neuron Coverage a Meaningful Measure for Testing Deep Neural Networks? in Proc of ACM SIGSOFT International Symposium on the Foundations of Software Engineering (ESEC/FSE'20), Sacramento, California, USA, 2020.
- 97. Jinghui Chen and **Quanquan Gu**, RayS: A Ray Searching Method for Hard-label Adversarial Attack in Proc of the 26th ACM SIGKDD Conference on Knowledge Discovery and Data Mining (**KDD'20**), San Diego, CA, USA, 2020.
- 98. Dongruo Zhou, Pan Xu and **Quanquan Gu**, Stochastic Nested Variance Reduction for Nonconvex Optimization Journal of Machine Learning Research (**JMLR**), 2020.
- 99. Jinghui Chen, Dongruo Zhou, Yiqi Tang, Ziyan Yang, Yuan Cao and Quanquan Gu, Closing the Generalization Gap of Adaptive Gradient Methods in Training Deep Neural Networks in Proc. of the 29th International Joint Conference on Artificial Intelligence (IJCAI'20), Yokohama, Japan, 2020.
- 100. Bao Wang, Quanquan Gu, March Boedihardjo, Lingxiao Wang, Farzin Barekat and Stanley J. Osher, DP-LSSGD: A Stochastic Optimization Method to Lift the Utility in Privacy-Preserving ERM In Proc of the Mathematical and Scientific Machine Learning Conference (MSML'20), Princeton, New Jersey, USA, 2020.
- 101. Xiao Zhang*, Jinghui Chen*, Quanquan Gu and David Evans, Understanding the Intrinsic Robustness of Image Distributions using Conditional Generative Models, In Proc of the 23rd International Conference on Artificial Intelligence and Statistics (AISTATS'20), Palermo, Sicily, Italy, 2020.
- 102. Dongruo Zhou, Yuan Cao and Quanquan Gu, Accelerated Factored Gradient Descent for Low-Rank Matrix Factorization, In Proc of the 23rd International Conference on Artificial Intelligence and Statistics (AISTATS'20), Palermo, Sicily, Italy, 2020.
- 103. Dongruo Zhou and **Quanquan Gu**, Stochastic Recursive Variance-Reduced Cubic Regularization Methods, In Proc of the 23rd International Conference on Artificial

Intelligence and Statistics (AISTATS'20), Palermo, Sicily, Italy, 2020.

- 104. Difan Zou, Philip M. Long and **Quanquan Gu**, On the Global Convergence of Training Deep Linear ResNets, in Proc. of the 8th International Conference on Learning Representations (**ICLR'20**), Addis Ababa, Ethiopia, 2020.
- 105. Pan Xu, Felicia Gao and **Quanquan Gu**, Sample Efficient Policy Gradient Methods with Recursive Variance Reduction, in Proc. of the 8th International Conference on Learning Representations (**ICLR'20**), Addis Ababa, Ethiopia, 2020.
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INVITED TALKS

- "Towards Understanding the Mixture-of-Experts Layer in Deep Learning", Future of Large-Scale Machine Learning Workshop, April, 2023.
- "Diffusion Models with Decomposed Priors for Structure-Based Drug Design", UCLA Level Set Seminar, April, 2023.
- "Benign Overfitting in Two-layer ReLU Networks", SILO Seminar at the UW-Madison, March, 2023.
- 4. "Benign Overfitting in Two-layer Convolutional Neural Networks", SJTU AI+Math Colloquia, Nov, 2022.
- 5. "Towards Understanding the Mixture-of-Experts Layer in Deep Learning", Shanghai AI Lab Seminar, Oct, 2022.
- 6. "Benign Overfitting in Machine Learning", Deep Learning Autumn School, Oct, 2022.
- "Benign Overfitting of Constant-Stepsize SGD for Linear Regression", SIAM Conference on Mathematics of Data Science, Sep, 2022.
- "Towards Understanding the Mixture-of-Experts Layer in Deep Learning", ByteDance AI Lab Seminar, July, 2022.
- 9. "Nearly Minimax Optimal Reinforcement Learning for Linear Mixture Markov Decision Processes", From Statistics to Artificial Intelligence Workshop, July, 2022.
- "Benign Overfitting in Two-layer Convolutional Neural Networks", ASA Statistical Learning and Data Science Webinar, May, 2022.
- "Benign Overfitting in Two-layer Convolutional Neural Networks", Microsoft Research ML Foundations Seminar, May, 2022.
- 12. "Benign Overfitting in Two-layer Convolutional Neural Networks", Information Theory and Applications Workshop, May, 2022. (Plenary Talk)
- "Stochastic Gradient Descent: Benign Overfitting and Implicit Regularization", Berkeley BLISS Seminar, May, 2022
- 14. "Epidemic Model Guided Machine Learning for COVID-19 Forecasts", UCLA ACM AI Seminar, Feb, 2022.
- 15. "Benign Overfitting of Constant-Stepsize SGD for Linear Regression", Chinese operations research society online seminar series, Dec, 2021.
- "Stochastic Gradient Descent: Benign Overfitting and Implicit Regularization", CMU AI Seminar, Nov, 2021.
- 17. "Epidemic Model Guided Machine Learning for COVID-19 Forecasts", ODSC WEST, Nov, 2021
- "Faster Perturbed Stochastic Gradient Methods for Finding Local Minima", IN-FORMS, Oct, 2021.
- 19. "Benign Overfitting of Constant-Stepsize SGD for Linear Regression", Google Learning Theory Workshop, Oct, 2021.
- "Understanding, Improving and Evaluating Adversarial Robustness in Deep Learning", UCLA CS1 Seminar, Oct, 2021.
- 21. "On the Convergence of Monte Carlo Methods with Stochastic Gradients", Simons Institute Workshop on Sampling Algorithms and Geometries on Probability

Distributions, Oct, 2021

- "Stochastic Variance-Reduced High-order Optimization for Nonconvex Optimization", ICML 2021 Workshop on Beyond first order methods in machine learning systems, July, 2021
- "Epidemic Model Guided Machine Learning for COVID-19 Forecasts", ICLR 2021 Workshop on Machine Learning for Preventing and Combating Pandemics, May, 2021
- 24. "Benign Overfitting: From Minimum-norm Interpolator to Stochastic Gradient Descent", BAAI Conference, May, 2021.
- 25. "On the Implicit Bias of Stochastic Gradient Descent with Moderate Learning Rate", UCSD Halıcıoğlu Data Science Institute Seminar, April, 2021
- 26. "Stochastic Variance-Reduced Cubic Regularized Newton Methods for Nonconvex Optimization", SIAM CSE Mini-symposium on beyond first-order algorithms in modern machine learning systems, March, 2021
- 27. "Understanding Overparameterized Deep Neural Networks: From Optimization To Generalization", IJCAI Early Career Talk, January 2021
- 28. "Epidemic Model Guided Machine Learning for COVID-19 Forecas", Center for Interdisciplinary Scientific Computation Seminar at Illinois Tech, November, 2020
- "Learning Wide Neural Networks: Polylogarithmic Over-parameterization and A Mean Field Perspective", Northwesern University IDEAL Theory of Deep Learning Seminar, October, 2020
- 30. "Epidemic Model Guided Machine Learning for COVID-19 Forecast", UCSB Second Annual Responsible Machine Learning Summit, October, 2020
- "Understanding, Improving and Evaluating Adversarial Robustness in Deep Learning", Johns Hopkins University Machine Learning Seminar, September, 2020
- 32. "A Generalized Neural Tangent Kernel Analysis for Two-layer Neural Networks", Simons Institute Deep Learning Reunion Workshop, August, 2020
- "Understanding, Improving and Evaluating Adversarial Robustness in Deep Learning", KDD 2020 Workshop on Adversarial Learning Methods for Machine Learning and Data Mining, August, 2020
- "Epidemic Model Guided Machine Learning for COVID-19 Forecast", 2020 KDD Workshop on Applied Data Science for Healthcare, August, 2020
- 35. "Epidemic Model Guided Machine Learning for COVID-19 Forecast", D. E. Shaw Technical Talk Forum, June, 2020
- 36. "Epidemic Model Guided Machine Learning for COVID-19 Forecast", Institute for Digital Research and Education (IDRE), June, 2020
- "Epidemic Model Guided Machine Learning for COVID-19 Forecast", UCLA Computer Science Department Seminar, May, 2020
- "Epidemic Model Guided Machine Learning for COVID-19 Forecast", AI for COVID-19 in LA Symposium, May, 2020
- "Learning Over-parameterized Neural Networks: From Neural Tangent Kernel to Mean-field Analysis", IPAM Workshop on PDE and Inverse Problem Methods in Machine Learning, April, 2020
- 40. "Learning Over-parameterized Neural Networks: From Neural Tangent Kernel to Mean-field Analysis", UCSD AI Seminar, February, 2020
- 41. "On the Optimization and Generalization of Neural Networks: A Mean-Field Perspective", Information Theory and Applications Workshop, February, 2020
- 42. "Towards Understanding Overparameterized Deep Neural Networks: From Optimization To Generalization", TTIC Workshop on "Recent Trends in Clustering and Classification", September 2019.
- 43. "Two facets of stochastic optimization: continuous-time dynamics and discrete-time algorithms", Workshop on "Interplay between Control, Optimization, and Machine Learnin" at American Control Conference, July 2019.
- 44. "Towards Understanding Overparameterized Deep Neural Networks: From Optimization To Generalization", Machine Learning Theory Workshop at Peking University,

June 2019.

- "Towards Understanding Overparameterized Deep Neural Networks: From Optimization To Generalization", Statistics Department Colloquium, University of California, Los Angeles, April 2019.
- "New Variance Reduction Algorithms for Nonconvex Finite-Sum Optimization", Machine Learning Seminar, University of Southern California, Nov 2018.
- 47. "Closing the Generalization Gap of Adaptive Gradient Methods in Training Deep Neural Networks: Algorithms and Theory", AI Seminar, USC Information Science Institute, Nov 2018.
- 48. "The Power and Promise of Nonconvex Optimization for Machine Learning", School of Electrical and Computer Engineering, Cornell University, March 2018.
- "The Power and Promise of Nonconvex Optimization for Machine Learning", School of Computational Science and Engineering, Georgia Institute of Technology, March 2018.
- "Two Facets of Stochastic Optimization: Continuous-time Dynamics and Discretetime Algorithms", Machine Learning Department, Carnegie Mellon University, Sep 2017.
- "Blessing of Nonconvexity: Nonconvex Statistical Learning Methods", University of Virginia, Quantitative Psychology Lecture Series, Feb 2017.
- "Blessing of Nonconvexity: Nonconvex Statistical Learning Methods", Virginia Tech (NVC), CS Seminar, Oct 2016.
- "Blessing of Nonconvexity: Nonconvex Statistical Learning Methods", University of Virginia, SIE Colloquium, Sep 2016.
- "Blessing of Nonconvexity: Nonconvex Statistical Learning Methods", University of Virginia, CS Seminar, Sep 2016.
- 55. "Distributed Inference for High Dimensional Semi-parametric Elliptical Graphical Models", ENAR Spring Meeting, Austin, Texas, March 2016.
- 56. "On Longitudinal Gaussian Graphical Models: Estimation and Asymptotic Inference", ENAR Spring Meeting, Austin, Texas, March 2016
- "Asymptotic Inference for High Dimensional Gaussian Copula Graphical Models", University of Virginia, Statistics Colloquium, Feb 2016.
- 58. "Local and Global Inference for High-Dimensional Gaussian Copula Graphical Models", Joint Statistical Meetings, Seattle, Aug 2015.
- 59. "Big Network Analytics: Online and Active Learning Approaches" Michigan State University, CS Colloquium, March 2014.
- "Big Network Analytics: Online and Active Learning Approaches", University of Oregon, CIS Colloquium, March 2014.
- "Big Network Analytics: Online and Active Learning Approaches", University of Utah, CS Colloquium, March 2014.
- "Big Network Analytics: Online and Active Learning Approaches", University of Virginia, SIE Colloquium, Feb 2014.
- 63. "Big Network Analytics: Online and Active Learning Approaches", University of Illinois at Urbana-Champaign, AIIS Seminar, Feb 2014.
- 64. "Big Network Analytics: Online and Active Learning Approaches", University of Illinois at Urbana-Champaign, DAIS Seminar, Feb 2014.
- 65. "Selective Labeling via Error Bound Minimization", University of Illinois at Urbana-Champaign, DAIS Seminar, Oct 2012.

Research Grants

- Co-PI: National Science Foundation (NSF) (Award #2140762) "EAGER: ADAPT: AI-based Categorization to Decipher Reaction Mechanisms from Cyclic Voltammetry", Total award amount: \$299,999.00, Duration: 9/01/21 - 08/31/23, My share: 50%.
- Single PI: National Science Foundation (NSF) (Award #2008981) "III: Small: Towards the Foundations of Training Deep Neural Networks: New Theory and Algo-

rithms", Total award amount: \$500,000.00, Duration: 10/01/20 - 09/30/23.

- Lead PI: National Science Foundation (NSF) (Award #1911168) "CIF: Small: Collaborative Research: Rank Aggregation with Heterogeneous Information Sources: Efficient Algorithms and Fundamental Limits" with UVa PI Farzad Farnoud, Total award amount: \$500,000.00, Duration: 10/01/2019-9/30/2022, My share: 50%.
- Lead PI: National Science Foundation (NSF) (Award #1741342/#1855099) "BIG-DATA: F: Collaborative Research: Taming Big Networks via Embedding" with Co-PI Jiawei Han, Total award amount: \$900,000.00, Duration: 01/01/2018-12/31/2021, My share: 55%.
- PI: National Science Foundation (NSF) (Award #1717950) "SaTC: CORE: Small: Multi-Party High-dimensional Machine Learning with Privacy" with Co-PI David Evans, Total award amount: \$498,624.00, Duration: 08/01/2017-07/31/2020, My share: 50%. (Switched to Co-PI after I moved to UCLA in 2018.)
- Lead PI: National Science Foundation (NSF) (Award #1717206/#1903202) "III: Small: Collaborative Research: High-Dimensional Machine Learning Methods for Personalized Cancer Genomics" with Co-PI Jian Ma, Total award amount: \$500,000, Duration: 08/01/2017-07/31/2020, My share: 60%.
- Single PI: National Science Foundation (NSF) (Award #1652539/#1906169) "CA-REER: Scaling Up Knowledge Discovery in High-Dimensional Data via Nonconvex Statistical Optimization", Total award amount: \$515,835.00, Duration: 2017/8/1-2022/7/31.
- PI: National Science Foundation (NSF) (Award #1618948/#1904183) "III: Small: Collaborative Learning with Incomplete and Noisy Knowledge" with Co-PI Hongning Wang, Total award amount: \$500,000, Duration: 2016/8/1-2019/7/31, My share: 50%.
- PI: UVa SEAS Cyber-security Initiative Award "Multi-party Machine Learning with Privacy" with David Evans (Co-PI). Total award amount: \$75,000 (2016-2017). My share: 50%.
- Co-PI: UVa SEAS Research Innovation Award "Machine Learning in Adversarial Contexts" with David Evans (PI), Homa Alemzadeh, Mohammad Mahmoody, Yanjun Qi. (2017-2018)
- Co-PI: UVa SEAS Research Innovation Award "Robust Data Fusion in Dynamic Environments" with Farzad Hassanzadeh (PI). (2017-2018)
- Co-PI: UVa Brain Institute Transformative, Collaborative Neuroscience Pilot Grant "SysNimDB: a public resource for characterizing neuroimmunological cell types and disease states in heterogeneous transcriptomic datasets" with Chris Overall (PI), Yanjun Qi and Abigail Flower. Total award amount: \$75,000 (2017-2018).

PROFESSIONAL SERVICES

- Editorial Board Member
 - Artificial Intelligence Journal
 - Information Processing and Management
 - Pattern Recognition and Artificial Intelligence
- Associate Editor/Section Editor
 - Journal of Artificial Intelligence Research
 - PLOS ONE
- Journal Reviewer for
 - IEEE Transaction on Pattern Analysis and Machine Intelligence
 - IEEE Transaction on Knowledge and Data Engineering
 - IEEE Transactions on Neural Networks and Learning Systems
 - IEEE Transaction on Systems, Man and Cybernetics Part B
 - IEEE Transaction on Cybernetics
 - ACM Transaction on Knowledge Discovery from Data
 - Journal of Machine Learning Research

- Machine Learning
- Statistica Sinica
- Data Mining and Knowledge Discovery
- Information Processing and Management
- Neurocomputing
- Computer Vision and Image Understanding
- Artificial Intelligence
- Knowledge and Information Systems
- PLOS ONE
- Journal of Mathematical Imaging and Vision
- Multimedia Systems
- Computational Intelligence
- PC Chair/PC Vice-Chair for
 - IEEE International Conference on Big Data (ICBD) 2019
- Area Chair
 - International Conference on Machine Learning (ICML) 2019, 2020, 2021, 2022
 - Annual Conference on Neural Information Processing Systems (NeurIPS) 2019, 2021, 2022
 - AAAI Conference on Artificial Intelligence (AAAI) 2020, 2021, 2022
 - International Conference on Artificial Intelligence and Statistics (AISTATS) 2020, 2021, 2022
 - International Conference on Learning Representations (ICLR) 2020, 2021, 2022
 - International Conference on Uncertainty in Artificial Intelligence (UAI) 2022
 - International Conference on Data Mining (ICDM) 2021
- Senior PC Member for
 - International Joint Conferences on Artificial Intelligence (IJCAI) 2019, 2020, 2021
 - Asian Conference on Machine Learning (ACML) 2019
- PC Member for
 - International Conference on Machine Learning (ICML) 2015, 2016, 2017, 2018
 - International Conference on Artificial Intelligence and Statistics (AISTATS) 2015, 2016, 2017, 2018, 2019, 2020
 - International Conference on Uncertainty in Artificial Intelligence (UAI) 2016, 2017, 2018, 2019
 - ACM SIGKDD International Conference on Knowledge Discovery and Data Mining (KDD) 2015, 2016, 2017, 2018, 2019
 - World Wide Web (WWW) Conference 2017, 2018
 - Very Large Data Bases (VLDB) Conferences 2017, 2018, 2019
 - SIAM Conference on Data Mining (SDM) 2017, 2018
 - International Joint Conferences on Artificial Intelligence (IJCAI) 2013, 2015, 2016, 2017
 - AAAI Conference on Artificial Intelligence (AAAI) 2018, 2019
 - International Conference on Learning Representations (ICLR) 2018, 2019
 - Asian Conference on Machine Learning (ACML) 2012
 - Natural Language Processing and Chinese Computing 2015
- Reviewer for
 - Annual Conference on Neural Information Processing Systems (NIPS) 2014, 2015, 2016, 2017, 2018
 - Conference on Learning Theory (COLT) 2018, 2019
- Section Chair for
 - International Conference on Machine Learning (ICML) 2019, 2021
 - Conference on Learning Theory (COLT) 2021
 - ACM SIGKDD International Conference on Knowledge Discovery and Data Mining (KDD) 2016
 - ENAR Spring Meeting 2016

- Grant Proposal Review:
 - NSF III, Panelist, 2017
 - NSF RI, Panelist, 2017
 - NSF III, Panelist, 2018
 - NSF III, Panelist, 2019
 - NSF CIF, SBIR, Panelist, 2020
 - NSF SBIR, Panelist, 2021

References

Available upon request.