Security and Privacy

- 12A. Operating Systems and Security
- 12B. Authentication
- 12C. Authorization
- 12D. Trust
- 13G. Encryption
- 12E. At-Rest Encryption

Why Security is Difficult

- complexity of our software and systems
 - millions of lines of code, thousands of developers
 - rich and powerful protocols and APIs
 - numerous interactions with other software
 - constantly changing features and technology
- absence of comprehensive validation tools
- determined and persistent adversaries – commercial information theft/black-mail
- national security, sabotage

Common Terms used in Security

- security
- policies regarding who can access what, when and how
 protection
- mechanisms that implement/enforce security policies
 attacker
- an actor who seeks to bypass access control policies
 vulnerability
 - a protection weakness that enables a <u>potential</u> attack exploit
 - a successful use of a vulnerability to bypass protection
 also refers to the code or methodology that was used
- trust
 - confidence in the reliability (invulnerability) of a mechanism
 confidence about the future behavior of an actor

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Trust

- An extremely important security concept
- You do certain things for those you trust
- You don't do them for those you don't
- Seems simple, but . . .
 - How do you express trust?
 - Why do you trust something?
 - How can you be sure who you're dealing with?
 - What if trust is situational?
 - What if trust changes?

Trust and the Operating System

- We have to trust our operating system
 - it controls the CPU and memory
 - it controls how your processes are handled
 - it controls all the I/O devices
- The OS is the foundation for all software – all higher level security is based on a reliable OS
- If the OS is out to get you, you are gotten
 - which makes compromising an OS a big deal
 - which makes securing the OS a big deal

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Operating System Security – Goals

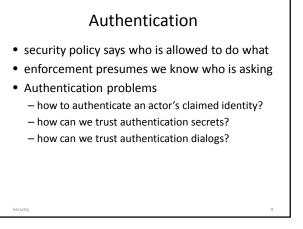
- privacy
 - keep other people from seeing your private data
- integrity – keep other people from changing your protected data
- trust
 - programs you run cannot compromise your data
 - remote parties are who they claim to be
 - binding commitments and authoritative records
- controlled sharing
 - you can grant other people access to your data
 - but they can only access it in ways you specify

Terms w/very special meanings

- principals
- (e.g. users) own, control, and use protected objects
 agents
- (e.g. programs) act on behalf of principals
- authentication
- confirming the identity of requesting principal
 confirming the integrity of a request
- credentials
- information that confirms identity of requesting principal authorization
- determining if a particular request is allowed
- mediated access – agents must access objects through control points
- Security

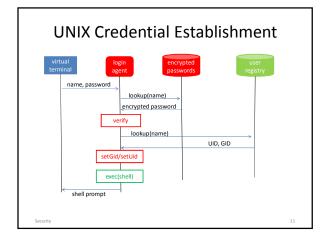
Security – Key Elements

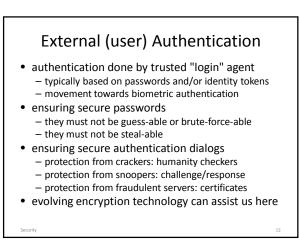
- reliable authentication
 - we must be sure who is requesting every operation
 - we must prevent masquerading of people/processes
- trusted policy data
 - policy data accurately describes desired access rules
- reliable enforcement mechanisms
 - all operations on protected objects must be checked
- it must be impossible to circumvent these checks
- audit trails
 - reliable records of who did what, when



Internal (process) Authentication

- OS associates credentials with each process
 - stored, within the OS, in the process descriptor
 - automatically inherited by all child processes
 - identify the agent on whose behalf requests are made
- they are the basis for access control decisions
 - they are consulted when accessing protected data
 - they are reported in audit logs of who did what
- how do we ensure their correctness
 - commands are coming from the indicated principal
 - not from some would-be attacker/impostor





Cryptographic Hash Functions

- "one-way encryption" function: H(M)
 - H(M) is much shorter than M
 - $-\,it\,is\,in expensive$ to compute H(M)
 - it is infeasible to compute M(H)
 - it is infeasible to find an M': H(M') = H(M)
- uses
 - store passwords as H(pw)
 verify by testing H(entered) = stored H(pw)
 - secure integrity assurance
 - deliver H(msg) over a separate channel

- Secure Passwords
- one-way hashes protect stored passwords
- unless they are easily guessed, because

 they are short enough to brute-force
 - ... they are obvious enough to guess
 - ... they are words in a dictionary
 - ... they have been shared with others
 - ... they were written where others found them
 - ... they are seldom changed
- password guidelines try to prevent these

challenge/response authentication

- untrusted authentication
 - client/server distrust one-another & connecting wire
 both claim to know the secret password
 - neither is willing to send it over the network
- client and server agree on a complex function – response = F(challenge,password)
 - F may be well known, but is very difficult to invert
- server issues random challenge string to client

 server & client both compute F(challenge,password)
 client sends response to server, server validates it
- man-in-middle cannot snoop, spoof, or replay
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Goals for Access Control

- Complete mediation - all protected object access is subject to control
- Cost and usability

 mediation does not impose performance penalties
 mediation does not greatly complicate use
- Useful in a networked environment – where all resources not controlled by a single OS
- Scalability
 - large numbers of computers, agents, and objects

Complete Mediation?

- protected resources must be inaccessible
 - hardware protection must be used to ensure thisonly the OS can make them accessible to a process
- to get access, issue request to resource manager

 resource manager consults access control policy data
- access may be granted directly
- resource manager maps resource into process
- · access may be granted indirectly
 - resource manager returns a "capability" to process
 - capability can be used in subsequent requests
- Security

Access Mediation

- Per-Operation Mediation (e.g. file)
 - all operations are via requests
 - we can check access on every operation
 - revocation is simple (cancel the capability)
 - access is relatively expensive (system call/request)
- Open-Time Mediation (e.g. shared segment)
 - one-time access check at open time
 - if permitted, resources is mapped in to process
 - subsequent access is <u>direct</u> (very efficient)
 - revocation may be difficult or awkward

Capabilities and ACLs

- Capabilities per agent access control
 - record, for each principal, what it can access
 - each granted access is called a "capability"
 - a capability is required to access any system object
- Access Control Lists per object access control

 record, for each object, which principals have access
 each protected object has an Access Control List
 - OS consults ACL when granting access to any object
- Either must be protected & enforced by the OS

Access Control Lists vs. Capabilities

- Access Control Lists
- short to store and easy to administer
- Capabilities make very convenient handles
 - if you have the capability, you can do the operation
 without one, you can't even ask for operations
- many operating systems actually use both
 - ACLs describe what accesses are allowed
 - when access is granted, a Capability is issued
 - capability is used as handle for subsequent operations

Unix files - access control lists

- Subject Credentials:
 - user and group ID, established by password login
- Supported operations:
 - read, write, execute, chown, chgrp, chmod
- Representation of ACL information:
 - rules (owner:rwx, group:rwx, others:rwx)
 - owner privileges apply to the file's owner
 - group privileges apply to the file's owning group
 - others privileges apply to all other users
 - only owner can chown/chgrp/chmod

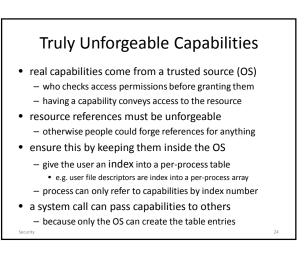
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Unix File Access – example

ser ID: roup ID:	100 15			
le protec	tion: r	wx r-	x r]
UID/GID	read	write	execute	chmod
100/001	yes	yes	yes	yes
001/015	yes	no	yes	no
001/001	yes	no	no	no
00/###*	yes	yes	yes	yes
	coss with LI	D=0 (super use	er) can do anyth	ning

Unix files also have capabilities

- if a process wants to read or write a file
 - it must open the file, requesting read or write access
 - open will check permissions before granting access
 - if operation permitted, OS returns a file descriptor
- the user file descriptor is a capability
 - it is an unforgable token conferring access to the file
 - it confers a specific access (r/w) to a specific file
 - a required argument to the read/write system calls
 - without a file descriptor reads/writes are impossible



Very Hard-to-forge Capabilities

- random cookies from sparse name spaces

 they can be verified, but are very difficult to forge
 this is easily achieved with encryption techology
- resource mgr decrypts cookie on each request
 determine which object is to be used
 - ensure requester has adequate access for operation
- this is also a very common approach

 product activation codes (product, version)
 heavily exploited in distributed systems
- such cookies are easily exchanged in messages

Trusted Computing Base

- All protection information stored in OS

 applications cannot directly access/modify it
- OS creates and maintains process state
 OS can associate a principal w/each process
- OS implements file, process, IPC operations

 OS can mediate all access to these objects
 no way to access without going through OS
- This is a foundation on which apps run

 apps can depend on processes and files
 - higher level services can depend on these

Principle of Least Privilege

- operate with minimum possible privileges – surrender privileges when no longer needed
 - operate in the most restricted possible context
- allow minimum possible access to resources

 apply multiple levels of protection
- trust, but verify
- sanity check requests before performing them
- minimize amount of privileged software
 - minimize the attack surface
 - minimize amount of code to be audited
- Security

Quis Custodiet ipsos Custodes?

- OS can do a very good job of enforcement

 if reasonably designed, reviewed, and implemented
- What does the OS enforce?
- all access is according to access control database
- Enforcement is only as good as the policy data

 human beings set up the authorization policy data
 - they may misunderstand our intentions
 - they may make errors in entering the rules
 - they may deliberately violate our intentions
- These are problems the OS cannot solve

Privileged Users – the big hole

- OS Maintenance requires extraordinary privileges
 - installing and configuring system software
 - backing up and restoring file systems
- many systems have privileged users
 - authorized to update system files
 - authorized to perform privileged operations
 - often there is a Super-User, who can do anything
- users with these passwords are dangerous
 - they can make mistakes or do mischief
 - they can leak the passwords to others

Finer Granularity Authorization

- "super users" are dangerous
 - they are permitted to do <u>anything</u>
 - not merely a single particular privileged operation
 - accidentally mistyped commands can be disastrous
 ordinary file protections do not prevent them
- finer granularities of privilege
 - backups, file system allocation, user creation, etc.
 - finer granularities of operations
 - privilege granted for only one operation at a time
 - confirmation dialogs in system management tools

Role Based Access Control (RBAC)

- system management is not "a person"
 it is a role that some people, sometimes, perform
- don't predicate authorization decisions on identity

 users are authorized to perform roles
 - they must declare that they are operating in a role
 - checks their authorization to function in the role
 - creates credentials to authorize role based operations
 - privileged operations check role credentials
 - specifically check for role-specific privileges
- superior authorization control
 - fine grained operation control for limited periods
 - audit records record the "real person" who took the actions

Trust Worthy Software

- very carefully developed
 - designed with security as a primary goal
 - stringent design and code review processes
 - extensive testing
 - open source helps, but is a two-edged sword
- obtained from a trusted source
 - who can certify its authenticity
 - who has a high stake in its correctness
 - who maintains and updates it well

Trusted Applications

• Not all trusted code is in the OS kernel

- file system management and back-up
- login and user-account management
- network services (remote file systems, email)
- These applications have special privileges
 - they can execute privileged system calls
 - they can access files that belong to multiple users
 - they can access otherwise protected devices
 - they can compromise system security
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Special Application Privileges

- privileged daemons ... started by the OS
 - many system daemons run as the super user
 - others are run as the owner of key resources
- privileged commands ... run by users
- UNIX SetUID/SetGID load modules
- run with the credentials of the program's owner
- may be able to create/set their own credentials
 e.g. login, sudo
- these must be very carefully designed/reviewed

Can we trust trusted applications?

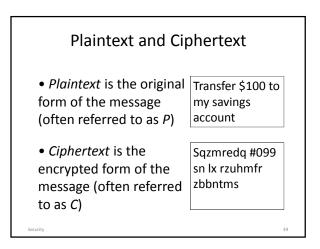
- most complex programs have many bugs
 - unfortunately even the best code is imperfect
 - some bugs just make the program fail
 - some bugs make the programs do the wrong thing
- real example: login buffer overflow bug
 - login program checks entered passwd w/correct one
 - buffer for real passwd is after buffer for entered one
 - entering a very long password overwrites real one
- determined hackers will find & exploit such bugs
- the login buffer overflow bug char inbuf[80]; /* buffer for user entered password */ char pwbuf[80]; /* buffer for real password (encrypted) getpwent(uname, pwbuf); /* get real (encrypted) password */ sttv(0, no echo): /* no echo, character at a time input write(1,"password: ", 9); /* prompt user for password */ p = inbuf; do { read(0, p, 1); * read password entered by user } while (*p++) != '\n'); /* until a newline character is entered */ pwencrypt(inbuf); /* encrypt what the user entered

if (strncmp(inbuf, pwbuf, 8) == 0) /* see if it matches real password ... he's in

Trojan Horses

- accidental bugs in trusted software create holes – what if the software was designed with evil intent?
- the original "Trojan Horse" and the fall of Troy - the Greeks built it, left it, and departed
 - the Greeks built it, left it, and departed
 the Trojans thought it was a tribute to their valor
 - the Trojans brought it into the city and had a party
 - that night, soldiers came out and destroyed Troy
- modern "Trojan Horses" (pfishing)
 - pretend to be the login program
 - pretend to be financial institution web-page

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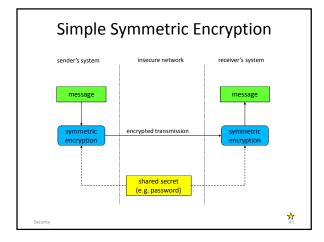


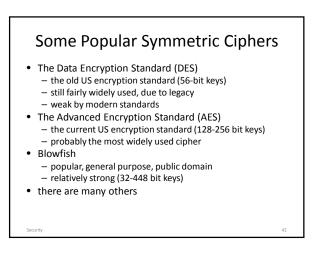
Symmetric Cryptosystems

- C = E(K,P)
 - cipher text is encrypted using key and plain text
- P = D(K,C)

 plain text is decrypted using key and cipher text

 P = D(K, E(K,P))
 - decryption is the inverse of encryption - E() and D() may be different functions
- Privacy: difficult to infer P from C without K
- Authenticity: difficult to forge P' without K



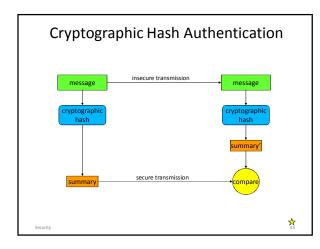


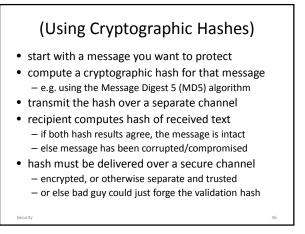
Symmetric Encryption

- Advantages
 - privacy and authentication in one operation
 - relatively efficient/inexpensive algorithms
 - no central authentication services required
- Disadvantages
 - scalability ... establishing keys w/many partners
 - authentication ... doesn't work w/new partners
 - privacy ... shared secret is known by one-too-many
 - weakness ... short keys are subject to brute force

Tamper Detection: Cryptographic Hashes

- check-sums often used to detect data corruption
- add up all bytes in a block, send sum along with data
- recipient adds up all the received bytes
- if check-sums agree, the data is probably OK
- check-sum (parity, CRC, ECC) algorithms are weak
- cryptographic hashes are very strong check-sums
 - unique -two messages won't produce same hash
 - one way cannot infer original input from output
 well distributed any change to input changes output
- much less expensive than encryption





Bypassing Mediation

- OS can enforce authorization policy
 - control the operations processes can perform
- OS enforcement has exceptions and limits
 - privileged users can override file protection
 - passwords can be observed/stolen/guessed
 - bugs may enable malware to gain privileges
 - backups can be accessed w/o the OS
 - file systems can be accessed w/o OS
 - data stored in the cloud is beyond our protection

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At-Rest Encryption

- added data protection, beyond file protection
- Disk (or file system) level
 - password must be given at boot or mount time
 - driver or file system does encrypt/decrypt
 - protects computer against unauthorized access
- File level
 - password must be given when file is opened
 - application (or library) does encrypt/decrypt
 - protects file against unauthorized access

Assignments

- Reading (34pp)
 - AD 47 Distributed Systems
 - Goals and Challenges of Distributed Systems
 - Reiher: Distributed Systems Security
 - RESTful interfaces

Supplementary Slides

Authentication and Authorization

- In many security situations, we need to know who wants to do something
 - We allow trusted parties to do it
 - We don't allow others to do it
- That means we need to know who's asking
 - Determining that is *authentication*
- Then we need to check if that party should be allowed to do it
 - Determining that is authorization
 - Authorization usually requires authentication

Why Should we Trust the OS

- Can we trust the supplier's intentions?
 do they have the right business incentives?
 will their customers keep them honest?
- Can we trust the supplier's processes?
- design and code review processes
 - testing processes (including penetration)
- security bug fixes and patches
- security bug frequency and severity
- Open Source ... a two edged sword

Direct Access to Resources

- resource is mapped into process address space – process manipulates resource w/normal instructions
 - examples: shared data segment or video frame buffer
- advantages
 - access check is performed only once, at grant time
 - very efficient, process can access resource directly
- disadvantages
 - process may be able to corrupt the resource
 - access revocation may be awkward

- Indirect Access to Resources
- resource is not directly mapped into process

 process must issue service requests to use resource
 - examples: network and IPC connections
- advantages
 - only resource manager actually touches resource
 - resource manager can ensure integrity of resource
 - access can be checked, blocked, revoked at any time
- disadvantages

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- overhead of system call every time resource is used

Real World Authentication

- Identification by recognition

 I see your face and know who you are
- Identification by credentials
 You show me your driver's license
- Identification by knowledge

 You tell me something only you know
- Identification by location

 You're behind the counter at the DMV
- These all have cyber analogs

Authentication With a Computer

- Not as smart as a human
 Steps to prove identity must be well defined
- Can't do certain things as well
 - E.g., face recognition
- But lightning fast on computations and less prone to simple errors
 - -Mathematical methods are acceptable
- Often must authenticate non-human entities – Like processes or machines

Identities in Operating Systems

- We usually rely primarily on a user ID
 - Which uniquely identifies some user
 - Processes run on his behalf, so they inherit his ID
 E.g., a forked process has the same user associated as the parent did
- Implies a model where any process belonging to a user has all his privileges
 - Which has its drawbacks
 - But that's what we use

Bootstrapping OS Authentication

- Processes inherit their user IDs
- But somewhere along the line we have to create a process belonging to a new user
 Typically on login to a system
- We can't just inherit that identity
- How can we tell who this newly arrived user is?

Passwords

- Authenticate the user by what he <u>knows</u> — A secret word he supplies to the system on login
- System must be able to check that the password was correct
 - Either by storing it
 - Or storing a hash of it
 - That's a much better option
- If correct, tie user ID to a new command shell or window management process

Problems With Passwords

- They have to be unguessable
 - Yet easy for people to remember
- If networks connect remote devices to computers, susceptible to password sniffers
 - Programs which read data from the network, extracting passwords when they see them
- Unless quite long, brute force attacks often work on them
- Widely regarded as an outdated technology
- But extremely widely used

Challenge/Response Systems

- Authentication by what questions you can answer correctly
 - Again, by what you $\underline{\mathsf{know}}$
- The system asks the user to provide some information
- If it's provided correctly, the user is authenticated
- Safest if it's a different question every time

 Not very practical

Hardware-Based Challenge/Response

- The challenge is sent to a hardware device belonging to the appropriate user
 - Authentication based on what you <u>have</u>
- Sometimes mere possession of device is enough

 E.g., text challenges sent to a smart phone to be typed into web request
- Sometimes the device performs a secret function on the challenge
 - E.g., smart cards

Problems With Challenge/Response

- If based on what you know, usually too few unique and secret challenge/response pairs
- If based on what you have, fails if you don't have it
 - And whoever does have it might pose as you
- Some forms susceptible to network sniffing
 - Much like password sniffing
 - Smart card versions usually not susceptible

Biometric Authentication

- Authentication based on what you are
- Measure some physical attribute of the user

 Things like fingerprints, voice patterns, retinal patterns, etc.
- Convert it into a binary representation
- Check the representation against a stored value for that attribute
- If it's a close match, authenticate the user

Problems With Biometric Authentication

- Requires <u>very</u> special hardware —With some minor exceptions
- Many physical characteristics vary too much for practical use
- Generally not helpful for authenticating programs or roles
- Requires special care when done across a network

Errors in Biometric Authentication • False positives

- You identified Bill Smith as Peter Reiher
- Probably because your biometric system was too generous in making matches
- Bill Smith can pretend to be me
- False negatives
 - You didn't identify Peter Reiher as Peter Reiher
 - Probably because your biometric system was too stingy in making matches
 - I can't log in to my own account

Biometrics and Remote Authentication

- The biometric reading is just a bit pattern
- If attacker can obtain a copy, he can send the pattern over the network
 - Without actually performing a biometric reading
- Requires high confidence in security of path between biometric reader and checking device
 - Usually OK when both are on the same machine
 - Problematic when the Internet is between them

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- How does the OS ensure security?
- all key resources are kept inside of the OS
 - protected by hardware (mode, memory management)
 - processes cannot access them directly
- all users are authenticated to the OS
 by a trusted agent that is (essentially) part of the OS
- all access control decisions are made by the OS
 the only way to access resources is through the OS
 - we trust the OS to ensure privacy and proper sharing
- what if key resources could not be kept in OS?

Generalized Capabilities

- user file descriptors are per-process capabilities
 - they are associated with a particular process
 - they are stored in the process descriptor
 - they are intrinsically unforgeable
 - they are not transferrable
- generalized capabilities are transferrable
 - they can be delegated to others
 - they can be sent in messages
 - anyone who has the capability can use the resource

Issues with Generalized Capabilities

- capability containment
 - I give you a capability for my file, you give it to my enemy
 - I want to prevent this, or revoke your access later
- capability forgery
 - if they can be passed in messages, can they be forged?
- make passing of capabilities a protected operation – capabilities can be stored in the OS, passing controlled
- make capabilities very difficult to forge
 not like OS DSCBs, like Digital Signatures

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Can we trust the OS?

- trusted software is developed with great care

 it is very carefully designed, reviewed, and tested
 - $-\ensuremath{\mathsf{it}}$ may be audited/certified by a respected third party
- but we obtain software from insecure places – e.g. down-loading drivers, applications and plug-ins
- how can we know new software is good?
 is it authentic, or a cleverly crafted Trojan horse?
 has an originally good program been infected?
- we need tamper-proof certificates of authenticity

Computer Viruses

- a biological virus is the simplest form of life - so simple that people argue about whether it is alive
- a biological virus can only do three things:
 - penetrate cells and get to the nucleus
 force the cell to replicate many more copies of itself
 - copies spread to other cells, the process continues
- a computer virus is completely analogous
 - enter computer, copy itself, spread to other computers
 - enters system through e-mail or infected software
 - some merely reproduce, others are destructive

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Cryptography

- Much of computer security is about keeping secrets
- One method of doing so is to make it hard for others to read the secrets
- While (usually) making it simple for authorized parties to read them
- That's what cryptography is all about

 Transforming bit patterns in controlled ways to
 obtain security advantages

Cryptography Terminology

- Typically described in terms of sending a message – Though it's used for many other purposes
- The sender is S
- The receiver is R
- *Encryption* is the process of making message unreadable/unalterable byanyone but *R*
- *Decryption* is the process of making the encrypted message readable by *R*
- A system performing these transformations is a *cryptosystem*
 - Rules for transformation sometimes called a cipher

Cryptographic Keys

- Most cryptographic algorithms use a key often referred to as K
- The key is a secret
 - without the key, decryption is hard
 - with the key, decryption is easy
- One secret key can encrypt many messages
 - but there's still a secret
 - if it is compromised, all the messages are as well

More Terminology

- The encryption algorithm is referred to as *E()*
- C = E(K,P)
- The decryption algorithm is referred to as D()
- The decryption algorithm also has a key
- The combination of the two algorithms are often called a *cryptosystem*

Disadvantages of Symmetric Cryptosystems

- Encryption and authentication performed in a single operation
 - Makes signature more difficult
- Non-repudiation hard without servers
- Key distribution can be a problem
- Scaling
 - Especially for Internet use

Symmetric Ciphers and Brute Force

- If your symmetric cipher has no flaws, how can attackers crack it?
- Brute force try every possible key until one works
- The cost of brute force attacks depends on key length

 For N possible keys, attack must try N/2 keys, on average, before finding the right one
- DES uses 56 bit keys

 Too short for modern brute force attacks
- AES uses 128 or 256 bit keys
- Long enough

Security