Constraint-based Analysis

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Acknowledgements

Many slides in this file were taken from Prof. Crista Lope's slides on functional programming as well as slides provided by the authors of the book *Principles of Program Analysis* available at http://www2.imm.dtu.dk/~hrni/PPA/ppasup200 4.html

Lambda Calculus

Lambda calculus is a formal system for expressing computation by way of variable binding and substitution



Syntax

M ::= x | λx.M | MM (variable)
(abstraction)
(application)

Nothing else!

- No numbers
- No arithmetic operations
- No loops
- No etc.

Symbolic computation

Syntax reminder



Terminology – bound variables

 $\lambda x.M$

The binding operator λ binds the variable x in the λ -term x.M

- M is called the *scope of x*
- *x* is said to be a *bound variable*

Terminology – free variables

Free variables are all symbols that aren't bound (duh)

$$FV(x) = \{x\}$$

FV(MN) = FV(M) U FV(N)
FV(x.M) = FV(M) - x

Renaming of bound variables

$\lambda x.M = \lambda y.(M[y/x])$ if y not in FV(M)

i.e. you can replace x with y aka "renaming"

α-conversion

Operational Semantics

- Evaluating function application: $(\lambda x.e_1) e_2$
 - Replace every x in e_1 with e_2
 - Evaluate the resulting term
 - Return the result of the evaluation
- Formally: "β-reduction" (aka "substitution")
 - $-(\lambda x.e_1) e_2 \rightarrow_{\beta} e_1[e_2/x]$
 - A term that can be β -reduced is a redex (reducible expression)
 - We omit $\boldsymbol{\beta}$ when obvious

Note again

• Computation = pure symbolic manipulation

Replace some symbols with other symbols

Scoping etc.

- Scope of λ extends as far to the right as possible
 - $-\lambda x.\lambda y.xy$ is $\lambda x.(\lambda y.(x y))$
- Function application is left-associative
 xyz means (xy)z

Multiple arguments

- λ(*x*,*y*).e ???
 - Doesn't exist
- Solution: $\lambda x.\lambda y.e$ [remember, $(\lambda x.(\lambda y.e))$]
 - A function that takes x and returns another function that takes y and returns e
 - $(\lambda x.\lambda y.e) a b \rightarrow (\lambda y.e[a/x]) b \rightarrow e[a/x][b/y]$
 - "Currying" after Curry: transformation of multi-arg functions into higher-order functions
- Multiple argument functions are nothing but syntactic sugar

Boolean Values and Conditionals

- True = $\lambda x . \lambda y . x$
- False = $\lambda x \cdot \lambda y \cdot y$
- If-then-else = $\lambda a.\lambda b.\lambda c. a b c$

Boolean Values and Conditionals

• If True M N = $(\lambda a.\lambda b.\lambda c.abc)$ True M N



Numbers

• Numbers are counts of things, any things. Like function applications!

$$-0 = \lambda f. \lambda x. x$$

$$-1 = \lambda f. \lambda x. (f x)$$

$$-2 = \lambda f. \lambda x. (f (f x))$$

$$-3 = \lambda f. \lambda x. (f (f (f x)))$$

$$-...$$

$$-N = \lambda f. \lambda x. (f^{N} x)$$

Church numerals

Successor

• succ = λn . λf . λx . f(n f x)

- Want to try it on succ(1)?

 $- \lambda n. \lambda f. \lambda x. f (n f x) (\lambda f. \lambda x. (f x))$

 $\rightarrow \lambda f. \lambda x. f((\lambda f. \lambda x. (f x)) f x)$

 $\rightarrow \lambda f. \lambda x. f (f x)$

2!

Closures

• Function with free variables that are bound to values in the enclosing environment



Function Execution by Substitution

plus x y = x + y

1. plus 2 3 \rightarrow 2+3 \rightarrow 5

2. plus (2*3) (plus 4 5)

 \rightarrow plus 6 (4+5) \rightarrow plus 6 9

 \rightarrow 6 + 9

 \rightarrow 15

→ (2*3) + (plus 4 5)→ 6 + (4+5)→ 6 + 9→ 15

The final answer did not depend upon the order in which reductions were performed

Blocks

- a variable can have at most one definition in a block
- ordering of bindings does not matter

Layout Convention in Haskell

This convention allows us to omit many delimiters

let x = a * a y = b * b *in* (x - y)/(x + y)

is the same as

let { x = a * a ; y = b * b ;} in (x - y)/(x + y)

α -renaming

let let y = 2 * 2 y = 2 * 2 x = 3 + 4 x = 3 + 4 z = *let* z = *let* <mark>x'</mark> = 5 * 5 x = 5 * 5 w = x' + y * x' w = x + y * xin in W W in in x + y + z x + y + z

Lexical Scoping

Lexically closest definition of a variable prevails.

Dynamic Dispatch Problem



These problems arise for:

- imperative languages with procedures as parameters
- object oriented languages
- functional languages

Example

The aim of Control Flow Analysis:

For each function application, which functions may be applied?

Control Flow Analysis computes the interprocedural flow relation used when formulating interprocedural Data Flow Analysis.

A Simple Functional Language

Syntactic categories:

- $e \in Exp$ expressions (or labelled terms)
- $t \in \text{Term}$ terms (or unlabelled expressions)
- $f, x \in$ Var variables
 - $c \in \text{Const}$ constants
 - $op \in Op$ binary operators
 - $\ell \in Lab$ labels

Syntax:

(Labels correspond to program points or nodes in the parse tree.)

Examples

•
$$((fn x \Rightarrow x^1)^2 (fn y \Rightarrow y^3)^4)^5$$

0-CFA Analysis

- Abstract domains (i.e., maps)
- Specification of the analysis

Abstract Domains

The *result* of a 0-CFA analysis is a pair $(\hat{C}, \hat{\rho})$:

- C is the abstract cache associating abstract values with each labelled program point
- $\hat{\rho}$ is the *abstract environment* associating abstract values with each variable

Example

 $((fn x \Rightarrow x^1)^2 (fn y \Rightarrow y^3)^4)^5$

Three guesses of a 0-CFA analysis result:

	$(\widehat{C}_{e}, \widehat{ ho}_{e})$	$(\widehat{C}'_{e}, \widehat{ ho}'_{e})$	$(\widehat{C}_{e}'', \widehat{ ho}_{e}'')$		
1	$\{fn y => y^3\}$	${fn y => y^3}$	$\{fn x \Rightarrow x^1, fn y \Rightarrow y^3\}$		
2	${fn x \Rightarrow x^1}$	${fn x => x^1}$	${fn x \Rightarrow x^1, fn y \Rightarrow y^3}$		
3	Ø	Ø	${fn x \Rightarrow x^1, fn y \Rightarrow y^3}$		
4	$\{fn y => y^3\}$	$\{fn y => y^3\}$	${fn x \Rightarrow x^1, fn y \Rightarrow y^3}$		
5	$\{fn y \Rightarrow y^3\}$	$\{fn y \Rightarrow y^3\}$	${fn x \Rightarrow x^1, fn y \Rightarrow y^3}$		
x	$\{fn y => y^3\}$	Ø	$\{fn x \Rightarrow x^1, fn y \Rightarrow y^3\}$		
У	Ø	Ø	${fn x \Rightarrow x^1, fn y \Rightarrow y^3}$		

A More Complicated Example

$$(let g = (fun f x => (f1 (fn y => y2)3)4)5$$

in (g⁶ (fn z => z⁷)⁸)⁹)¹⁰

Abbreviations:

$$f = fun f x \Rightarrow (f^1 (fn y \Rightarrow y^2)^3)^4$$

$$id_y = fn y \Rightarrow y^2$$

$$id_z = fn z \Rightarrow z^7$$

One guess of a 0-CFA analysis result:

Abstract Domains

Formally:

 $\hat{v} \in \widehat{Val} = \mathcal{P}(Term)$ abstract values $\hat{\rho} \in \widehat{Env} = Var \rightarrow \widehat{Val}$ abstract environments $\widehat{C} \in \widehat{Cache} = Lab \rightarrow \widehat{Val}$ abstract caches

An abstract value \hat{v} is a set of terms of the forms

- fn $x \Rightarrow e_0$
- fun $f x \Rightarrow e_0$

When is a proposed guess $(\hat{C}, \hat{\rho})$ of an analysis results an *accept*able *O*-*CFA* analysis for the program?

Specification of O-CFA



 $(\widehat{\mathsf{C}},\widehat{\rho})\models e$ means that $(\widehat{\mathsf{C}},\widehat{\rho})$ is an *acceptable Control Flow Analysis* of the expression e

The relation \models has functionality:

$$\models : (\widehat{\text{Cache}} \times \widehat{\text{Env}} \times \text{Exp}) \rightarrow \{\textit{true}, \textit{false}\}$$

Clauses for O-CFA (1)

 $(\widehat{\mathsf{C}}, \widehat{\rho}) \models c^{\ell}$ always

$$(\widehat{\mathsf{C}}, \widehat{\rho}) \models x^{\ell} \quad \underline{\mathrm{iff}} \quad \widehat{\rho}(x) \subseteq \widehat{\mathsf{C}}(\ell)$$

$$(\widehat{\mathsf{C}}, \widehat{\rho}) \models (\text{let } x = t_1^{\ell_1} \text{ in } t_2^{\ell_2})^{\ell}$$

$$\underbrace{\text{iff}}_{\widehat{\mathsf{C}}} (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_1^{\ell_1} \land (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_2^{\ell_2} \land$$

$$\widehat{\mathsf{C}}(\ell_1) \subseteq \widehat{\rho}(x) \land \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\mathsf{C}}(\ell)$$

Clauses for O-CFA (2)

$$\begin{split} (\widehat{\mathsf{C}}, \widehat{\rho}) &\models (\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^{\ell} \\ & \underline{\text{iff}} \quad (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_0^{\ell_0} \land \\ (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_1^{\ell_1} \land (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_2^{\ell_2} \land \\ & \widehat{\mathsf{C}}(\ell_1) \subseteq \widehat{\mathsf{C}}(\ell) \quad \land \quad \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\mathsf{C}}(\ell) \end{split}$$

$$(\widehat{\mathsf{C}}, \widehat{\rho}) \models (t_1^{\ell_1} \text{ op } t_2^{\ell_2})^{\ell}$$

$$\underbrace{\text{iff}} \quad (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_1^{\ell_1} \land (\widehat{\mathsf{C}}, \widehat{\rho}) \models t_2^{\ell_2}$$

Clauses for O-CFA (3)

 $(\widehat{\mathsf{C}},\widehat{\rho}) \models (\operatorname{fn} x \Rightarrow t_0^{\ell_0})^{\ell} \text{ iff } \{\operatorname{fn} x \Rightarrow t_0^{\ell_0}\} \subseteq \widehat{\mathsf{C}}(\ell)$

$$\begin{split} (\widehat{\mathsf{C}},\widehat{\rho}) &\models (t_1^{\ell_1} t_2^{\ell_2})^{\ell} \\ & \underset{(\widehat{\mathsf{C}},\widehat{\rho}) \models t_1^{\ell_1} \land (\widehat{\mathsf{C}},\widehat{\rho}) \models t_2^{\ell_2} \land \\ & (\forall (\operatorname{fn} x \Longrightarrow t_0^{\ell_0}) \in \widehat{\mathsf{C}}(\ell_1) : \quad (\widehat{\mathsf{C}},\widehat{\rho}) \models t_0^{\ell_0} \land \\ & \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\rho}(x) \land \quad \widehat{\mathsf{C}}(\ell_0) \subseteq \widehat{\mathsf{C}}(\ell)) \end{split}$$

Clauses for O-CFA (4)

$$(\widehat{\mathsf{C}},\widehat{\rho}) \models (\texttt{fun } f \ x \Rightarrow e_0)^{\ell} \text{ iff } \{\texttt{fun } f \ x \Rightarrow e_0\} \subseteq \widehat{\mathsf{C}}(\ell)$$

$$\begin{split} (\widehat{\mathsf{C}},\widehat{\rho}) &\models (t_1^{\ell_1} \ t_2^{\ell_2})^{\ell} \\ & \text{iff} \qquad (\widehat{\mathsf{C}},\widehat{\rho}) \models t_1^{\ell_1} \ \land \ (\widehat{\mathsf{C}},\widehat{\rho}) \models t_2^{\ell_2} \ \land \\ & (\forall (\ \operatorname{fn} \ x \Rightarrow t_0^{\ell_0}) \in \widehat{\mathsf{C}}(\ell_1) : \qquad (\widehat{\mathsf{C}},\widehat{\rho}) \models t_0^{\ell_0} \ \land \\ & \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\rho}(x) \ \land \ \widehat{\mathsf{C}}(\ell_0) \subseteq \widehat{\mathsf{C}}(\ell)) \ \land \\ & (\forall (\ \operatorname{fun} \ f \ x \Rightarrow t_0^{\ell_0}) \in \widehat{\mathsf{C}}(\ell_1) : \qquad (\widehat{\mathsf{C}},\widehat{\rho}) \models t_0^{\ell_0} \ \land \\ & \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\rho}(x) \ \land \ \widehat{\mathsf{C}}(\ell_0) \subseteq \widehat{\mathsf{C}}(\ell) \ \land \\ & \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\rho}(x) \ \land \ \widehat{\mathsf{C}}(\ell_0) \subseteq \widehat{\mathsf{C}}(\ell) \ \land \\ & \widehat{\mathsf{C}}(\ell_2) \subseteq \widehat{\rho}(x) \ \land \ \widehat{\mathsf{C}}(\ell_0) \subseteq \widehat{\mathsf{C}}(\ell) \ \land \\ & \widehat{\mathsf{fun}} \ f \ x \Rightarrow t_0^{\ell_0} \} \subseteq \widehat{\rho}(f) \end{split}$$

Constraint-based 0-CFA (1)

 $\mathcal{C}_{\star}[\![e_{\star}]\!]$ is a set of constraints of the form

 $\mathit{lhs} \subseteq \mathit{rhs}$

 $\{t\} \subseteq rhs' \Rightarrow lhs \subseteq rhs$

where

 $rhs ::= C(\ell) | r(x)$ $lhs ::= C(\ell) | r(x) | \{t\}$ and all occurrences of t are of the form fn x => e_0 or fun f x => e_0

Constraint-based 0-CFA (2)

 $\mathcal{C}_{\star}\llbracket (\operatorname{fn} x \Longrightarrow e_0)^{\ell} \rrbracket = \{ \{ \operatorname{fn} x \Longrightarrow e_0 \} \subseteq \mathsf{C}(\ell) \} \cup \mathcal{C}_{\star}\llbracket e_0 \rrbracket$

$$\mathcal{C}_{\star}[[(\operatorname{fun} f \ x \Rightarrow e_0)^{\ell}]] = \{ \{ \operatorname{fun} f \ x \Rightarrow e_0 \} \subseteq \mathsf{C}(\ell) \} \cup \mathcal{C}_{\star}[[e_0]] \\ \cup \{ \{ \operatorname{fun} f \ x \Rightarrow e_0 \} \subseteq \mathsf{r}(f) \} \}$$

$$\begin{aligned} \mathcal{C}_{\star}\llbracket(t_{1}^{\ell_{1}} \ t_{2}^{\ell_{2}})^{\ell}\rrbracket &= \mathcal{C}_{\star}\llbracket t_{1}^{\ell_{1}} \rrbracket \cup \mathcal{C}_{\star}\llbracket t_{2}^{\ell_{2}} \rrbracket \\ &\cup \left\{ \left\{ t \right\} \subseteq \mathsf{C}(\ell_{1}) \Rightarrow \mathsf{C}(\ell_{2}) \subseteq \mathsf{r}(x) \right\} \ | \ t = (\operatorname{fn} \ x \Rightarrow t_{0}^{\ell_{0}}) \in \operatorname{Term}_{\star} \right\} \\ &\cup \left\{ \left\{ t \right\} \subseteq \mathsf{C}(\ell_{1}) \Rightarrow \mathsf{C}(\ell_{0}) \subseteq \mathsf{C}(\ell) \right\} \ | \ t = (\operatorname{fn} \ x \Rightarrow t_{0}^{\ell_{0}}) \in \operatorname{Term}_{\star} \right\} \\ &\cup \left\{ \left\{ t \right\} \subseteq \mathsf{C}(\ell_{1}) \Rightarrow \mathsf{C}(\ell_{2}) \subseteq \mathsf{r}(x) \right\} \ | \ t = (\operatorname{fn} \ f \ x \Rightarrow t_{0}^{\ell_{0}}) \in \operatorname{Term}_{\star} \right\} \\ &\cup \left\{ \left\{ t \right\} \subseteq \mathsf{C}(\ell_{1}) \Rightarrow \mathsf{C}(\ell_{0}) \subseteq \mathsf{C}(\ell) \right\} \ | \ t = (\operatorname{fn} \ f \ x \Rightarrow t_{0}^{\ell_{0}}) \in \operatorname{Term}_{\star} \right\} \end{aligned}$$

Constraint-based O-CFA (3)

 $\begin{aligned} \mathcal{C}_{\star}\llbracket c^{\ell} \rrbracket &= \emptyset \\ \mathcal{C}_{\star}\llbracket x^{\ell} \rrbracket &= \{ \mathsf{r}(x) \subseteq \mathsf{C}(\ell) \} \\ \mathcal{C}_{\star}\llbracket (\inf t_{0}^{\ell_{0}} \text{ then } t_{1}^{\ell_{1}} \text{ else } t_{2}^{\ell_{2}})^{\ell} \rrbracket &= \mathcal{C}_{\star}\llbracket t_{0}^{\ell_{0}} \rrbracket \cup \mathcal{C}_{\star}\llbracket t_{1}^{\ell_{1}} \rrbracket \cup \mathcal{C}_{\star}\llbracket t_{2}^{\ell_{2}} \rrbracket \\ &\cup \{ \mathsf{C}(\ell_{1}) \subseteq \mathsf{C}(\ell) \} \\ &\cup \{ \mathsf{C}(\ell_{2}) \subseteq \mathsf{C}(\ell) \} \end{aligned}$

 $\mathcal{C}_{\star}\llbracket(\operatorname{let} x = t_1^{\ell_1} \text{ in } t_2^{\ell_2})^{\ell} \rrbracket = \mathcal{C}_{\star}\llbracket t_1^{\ell_1} \rrbracket \cup \mathcal{C}_{\star}\llbracket t_2^{\ell_2} \rrbracket \\ \cup \left\{ \frac{\mathsf{C}(\ell_1) \subseteq \mathsf{r}(x)}{\mathsf{C}(\ell_2)} \right\} \cup \left\{ \frac{\mathsf{C}(\ell_2) \subseteq \mathsf{C}(\ell)}{\mathsf{C}(\ell_2)} \right\}$

 $\mathcal{C}_{\star}[\![(t_{1}^{\ell_{1}} \text{ op } t_{2}^{\ell_{2}})^{\ell}]\!] = \mathcal{C}_{\star}[\![t_{1}^{\ell_{1}}]\!] \cup \mathcal{C}_{\star}[\![t_{2}^{\ell_{2}}]\!]$

Constraint-based 0-CFA (4)

$$\begin{aligned} &\mathcal{C}_{\star}[[((\text{fn } x \Rightarrow x^{1})^{2} \ (\text{fn } y \Rightarrow y^{3})^{4})^{5}]] = \\ & \left\{ \begin{array}{l} \{\text{fn } x \Rightarrow x^{1} \} \subseteq C(2), \\ & \mathsf{r}(x) \subseteq C(1), \\ & \{\text{fn } y \Rightarrow y^{3} \} \subseteq C(4), \\ & \mathsf{r}(y) \subseteq C(3), \\ & \{\text{fn } x \Rightarrow x^{1} \} \subseteq C(2) \Rightarrow C(4) \subseteq \mathsf{r}(x), \\ & \{\text{fn } x \Rightarrow x^{1} \} \subseteq C(2) \Rightarrow C(1) \subseteq C(5), \\ & \{\text{fn } y \Rightarrow y^{3} \} \subseteq C(2) \Rightarrow C(4) \subseteq \mathsf{r}(y), \\ & \{\text{fn } y \Rightarrow y^{3} \} \subseteq C(2) \Rightarrow C(3) \subseteq \mathsf{r}(y), \\ & \{\text{fn } y \Rightarrow y^{3} \} \subseteq C(2) \Rightarrow C(3) \subseteq \mathsf{C}(5) \ \end{aligned}$$

Solving the Constraints (1)

Input: a set of constraints $C_{\star}[[e_{\star}]]$

Output: the least solution $(\hat{C}, \hat{\rho})$ to the constraints

Data structures: a graph with one node for each $C(\ell)$ and r(x) (where $\ell \in Lab_*$ and $x \in Var_*$) and zero, one or two edges for each constraint in $C_*[[e_*]]$

- W: the worklist of the nodes whose outgoing edges should be traversed
- \bullet D: an array that for each node gives an element of $\widehat{\mathrm{Val}}_{\star}$
- E: an array that for each node gives a list of constraints influenced (and outgoing edges)

Auxiliary procedure:

procedure $\operatorname{add}(q,d)$ is if $\neg (d \subseteq D[q])$ then $D[q] := D[q] \cup d$; W := $\operatorname{cons}(q,W)$;

Solving the Constraints (2)



Example

Initialisation of data structures

p	D[p]	E[p]
C(1)	Ø	$[id_x \subseteq C(2) \Rightarrow C(1) \subseteq C(5)]$
C(2)	id_x	$[id_y \subseteq C(2) \Rightarrow C(3) \subseteq C(5), id_y \subseteq C(2) \Rightarrow C(4) \subseteq r(y),$
		$id_x \subseteq C(2) \Rightarrow C(1) \subseteq C(5), \ \ id_x \subseteq C(2) \Rightarrow C(4) \subseteq r(x)$
C(3)	Ø	$[id_y \subseteq C(2) \Rightarrow C(3) \subseteq C(5)]$
C(4)	id_y	$[id_y \subseteq C(2) \Rightarrow C(4) \subseteq r(y), id_x \subseteq C(2) \Rightarrow C(4) \subseteq r(x)]$
C(5)	Ø	
r(x)	Ø	$[r(x) \subseteq C(1)]$
r(y)	Ø	$[r(y) \subseteq C(3)]$

Iteration Steps

Iteration steps

W	[C(4),C(2)]	[r(x),C(2)]	[C(1), C(2)]	[C(5),C(2)]	[C(2)]	[]
p	D[p]	D[p]	D[p]	D[p]	D[p]	D[p]
C(1) C(2) C(3) C(4) C(5) r(x)	\emptyset id $_x$ \emptyset id $_y$ \emptyset \emptyset	$\emptyset \\ \mathrm{id}_x \\ \emptyset \\ \mathrm{id}_y \\ \emptyset \\ \mathrm{id}_y \\ \mathfrak{d}_y$	id_y id_x \emptyset id_y \emptyset id_y	id_y id_x id_y id_y id_y id_y	id_y id_x id_y id_y id_y	id_y id_x id_y id_y id_y

K-CFA

- An abstract value in K-CFA is a calling context that records the last k dynamic call points (i.e., call sites)
- Contexts are sequences of labels of length at most k and they will be updated whenever a function application is analyzed

K-CFA for Imperative Languages

- A calling context is a sequence of call sites
- Compute a solution for a function under each such calling context
- Scalability is the biggest challenge