

# Logical Abstract Interpretation

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# Final Goal of the class

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Automatically verify partial correctness of programs like the following using abstract interpretation.

```
Void Init(int* A, int n) {  
    for (i := 0; i < n; i++;)  
        A[i] := 0;  
    for (j := 0; j < n; j++;)  
        Assert(A[j] = 0);  
}
```

# Outline

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## ➤ Decision Procedures

- Linear Arithmetic
- Uninterpreted Functions
- Combination of Linear Arithmetic and Uninterpreted Fns
- Logical Abstract Interpretation
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
  - Universally Quantified Formulas
- Hardness of Assertion Checking
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns

# Decision Procedures

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$DP_{\top}(\phi) = \text{Yes}$ , if  $\phi$  is satisfiable  
= No, if  $\phi$  is unsatisfiable

Without loss of generality, we can assume that  $\phi$  is a conjunction of atomic facts.

- Why?
  - $DP(\phi_1 \vee \phi_2)$  is sat iff  $DP(\phi_1)$  is sat or  $DP(\phi_2)$  is sat
- What is the trade-off?
  - Converting  $\phi$  into DNF may incur exponential blow-up

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# Linear Arithmetic

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Expressions  $e := y \mid c \mid e_1 \pm e_2 \mid c \times e$

Atomic facts  $g := e \geq 0 \mid e \neq 0$

Note that  $e=0$  can be represented as  $e \geq 0 \wedge e \leq 0$

$e > 0$  can be represented as  $e - 1 \geq 0$

(over integer LA)

- The decision problem for integer LA is NP-hard.
- The decision problem for rational LA is PTime.
  - PTime algorithms are complicated to implement. Popular choice is an exponential algorithm called "Simplex"
  - We will study a PTime algorithm for a special case.

# Difference Constraints

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- A special case of Linear Arithmetic
- Constraints of the form  $x \leq c$  and  $x - y \leq c$ 
  - We can represent  $x \leq c$  by  $x - u \leq c$ , where  $u$  is a special zero variable. Wlog, we will assume henceforth that we only have constraints  $x - y \leq c$
- Reasoning required:  $x - y \leq c_1 \wedge y - z \leq c_2 \Rightarrow x - z \leq c_1 + c_2$
- $O(n^3)$  (saturation-based) decision procedure
  - Represent constraints by a matrix  $M_{n \times n}$ 
    - where  $M[i][j] = c$  represents  $x_i - x_j \leq c$
  - Perform transitive closure of  $M$ 
    - $M[i][j] = \min \{ M[i][j], M[i][k] + M[k][j] \}$
  - $\phi$  is unsat iff  $\exists i: M[i][i] < 0$

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# Uninterpreted Functions

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Expressions  $e := x \mid F(e_1, e_2)$

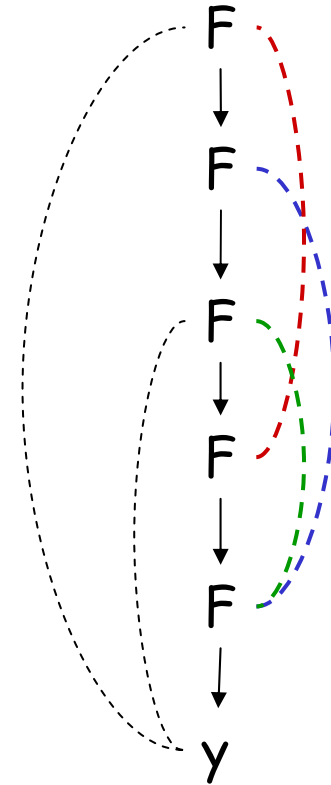
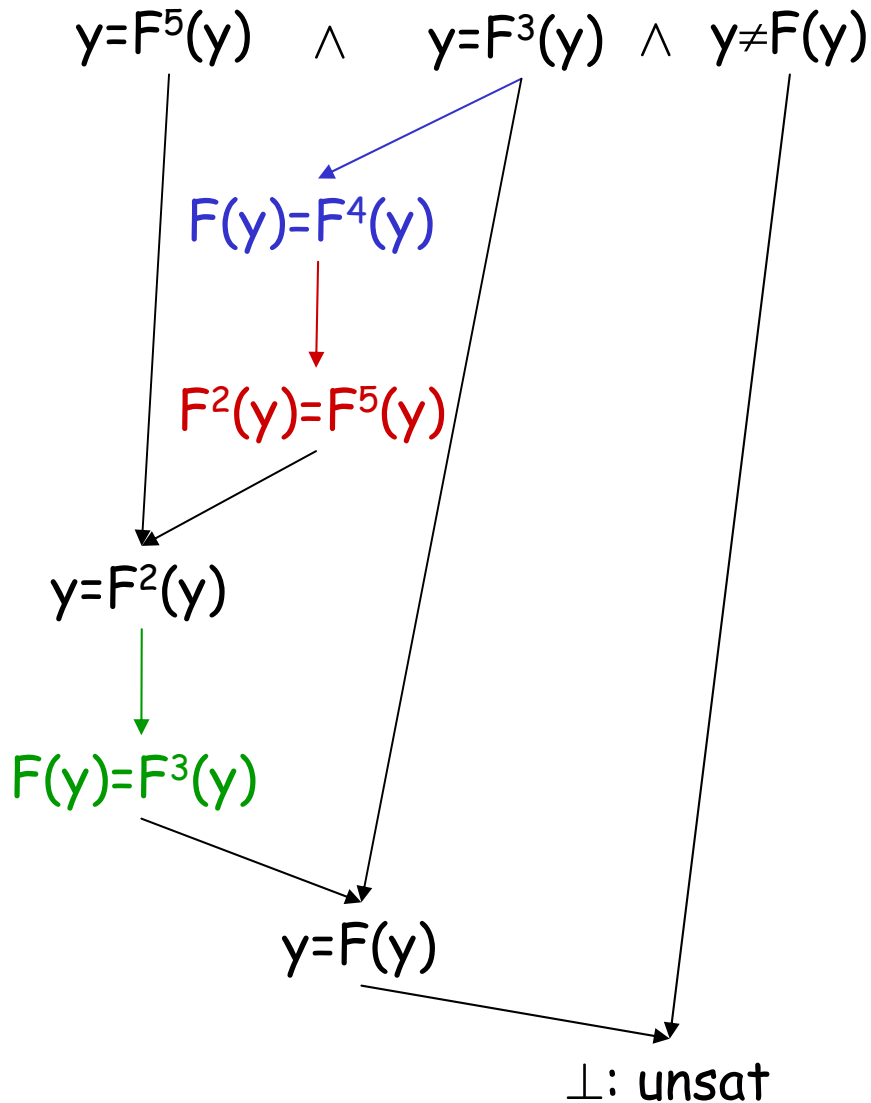
Atomic fact  $g := e_1 = e_2 \mid e_1 \neq e_2$

Axiom  $\forall e_1, e_2, e_1', e_2': e_1 = e_1' \wedge e_2 = e_2' \Rightarrow F(e_1, e_2) = F(e_1', e_2')$   
(called congruence axiom)

(saturation-based) Decision Procedure

- Represent equalities  $e_1 = e_2 \in G$  in Equivalence DAG (EDAG)
  - Nodes of an EDAG represent congruence classes of expressions that are known to be equal.
- Saturate equalities in the EDAG by following rule:
  - If  $C(e_1) = C(e_1') \wedge C(e_2) = C(e_2')$ , Merge  $C(F(e_1, e_2))$ ,  $C(F(e_1', e_2'))$   
where  $C(e)$  denotes congruence class of expression  $e$
- Declare unsatisfiability iff  $\exists e_1 \neq e_2$  in  $G$  s.t.  $C(e_1) = C(e_2)$

# Uninterpreted Functions: Example



# Uninterpreted Functions: Complexity

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- Complexity of congruence closure :  $O(n \log n)$ , where  $n$  is the size of the input formula
  - In each step, we merge 2 congruence classes. The total number of steps required is thus  $n$ , where  $n$  is a bound on the original number of congruence classes.
  - The complexity of each step can be  $O(\log n)$  by using union-find data structure

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# Combination of Linear Arithmetic and Uninterpreted Functions

---

Expressions  $e := y \mid c \mid e_1 \pm e_2 \mid c \times e \mid F(e_1, e_2)$

Atomic Facts  $g := e \geq 0 \mid e \neq 0$

Axioms: Combined axioms of linear arithmetic + uninterpreted fns.

Decision Procedure: Nelson-Oppen methodology for combining decision procedures

# Combining Decision Procedures

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- Nelson-Oppen gave an algorithm in 1979 to combine decision procedures for theories  $T_1$  and  $T_2$ , where:
  - $T_1$  and  $T_2$  have **disjoint signatures**
    - except equality
  - $T_1, T_2$  are **stably infinite**
- Complexity is  $O(2^{n^2} \times (W_1(n) + W_2(n)))$ .
- If  $T_1, T_2$  are **convex**, complexity is  $O(n^3 \times (W_1(n) + W_2(n)))$ .

The theories of linear arithmetic and uninterpreted functions satisfy all of the above criteria.

# Convex Theory

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A theory is convex if the following holds.

Let  $G = g_1 \wedge \dots \wedge g_n$

If  $G \Rightarrow e_1=e_2 \vee e_3=e_4$ , then  $G \Rightarrow e_1=e_2$  or  $G \Rightarrow e_3=e_4$

Examples of convex theory:

- Rational Linear Arithmetic
- Uninterpreted Functions

# Examples of Non-convex Theory

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- Theory of Integer Linear Arithmetic

$$2 \leq y \leq 3 \Rightarrow y=2 \vee y=3$$

$$\text{But } 2 \leq y \leq 3 \not\Rightarrow y=2 \text{ and } 2 \leq y \leq 3 \not\Rightarrow y=3$$

- Theory of Arrays

$$y = \text{sel}(\text{upd}(M, a, 0), b) \Rightarrow y=0 \vee y = \text{sel}(M, b)$$

$$\text{But } y = \text{sel}(\text{upd}(M, a, 0), b) \Rightarrow y \neq 0 \text{ and}$$

$$y = \text{sel}(\text{upd}(M, a, 0), b) \Rightarrow y \neq \text{sel}(M, b)$$



# Stably Infinite Theory

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- A theory  $T$  is stably infinite if for all quantifier-free formulas  $\phi$  over  $T$ , the following holds:  
If  $\phi$  is satisfiable, then  $\phi$  is satisfiable over an infinite model.
- Examples of stably infinite theories
  - Linear arithmetic, Uninterpreted Functions
- Examples of non-stably infinite theories
  - A theory that enforces finite # of distinct elements.  
Eg., a theory with the axiom:  $\forall x, y, z (x=y \vee x=z \vee y=z)$ .  
Consider the quantifier free formula  $\phi: y_1=y_2$ .  
 $\phi$  is satisfiable but doesn't have an infinite model.

# Nelson-Oppen Methodology

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- Purification: Decompose  $\phi$  into  $\phi_1 \wedge \phi_2$  such that  $\phi_i$  contains symbols from theory  $T_i$ .
  - This can be done by introducing dummy variables.
- Exchange variable equalities between  $\phi_1$  and  $\phi_2$  until no more equalities can be deduced.
  - Sharing of disequalities is not required because of stably-infiniteness.
  - Sharing of disjunctions of equalities is not required because of convexity.
- $\phi$  is unsat iff  $\phi_1$  is unsat or  $\phi_2$  is unsat.

# Combining Decision Procedures: Example

$$y_1 \leq 4y_3 \leq F(2y_2 - y_1) \wedge y_1 = F(y_1) \wedge y_2 = F(F(y_1)) \wedge y_1 \neq 4y_3$$

Purification

$$\begin{aligned} a_1 &= 2y_2 - y_1 \\ y_1 &\leq 4y_3 \leq a_2 \wedge y_1 \neq 4y_3 \\ y_1 &= y_2 \\ y_1 &= a_2 \end{aligned}$$

$\perp$ : unsat

$$y_1 = y_2$$

$$y_1 = a_1$$

$$y_1 = a_2$$

Saturation

$$a_2 = F(a_1)$$

$$y_1 = F(y_1) \wedge y_2 = F(F(y_1))$$

$$y_1 = a_1$$

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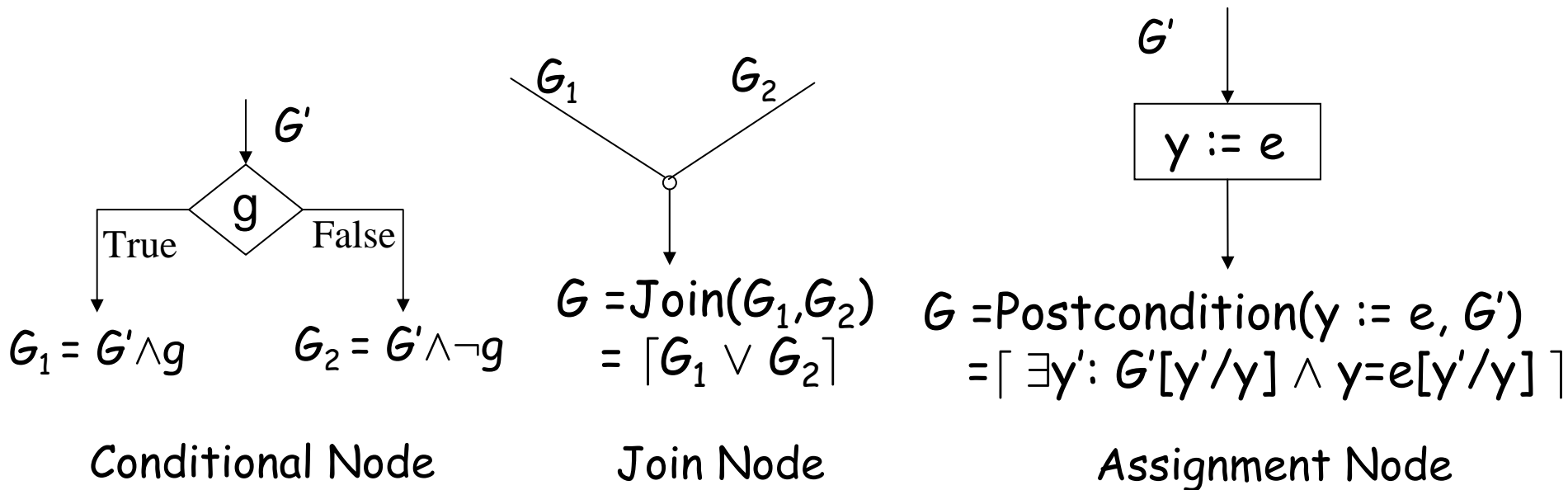
# Logical Abstract Interpretation

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- Abstract Interpretation of a program involves interpreting the program over abstract values from some abstract domain  $D$  equipped with a partial order  $\preceq$
- Logical Abstract Interpretation refers to the case when
  - $D =$  logical formulas over theory  $T$
  - $\preceq =$  logical implication relationship, i.e.,  $E \preceq E'$  iff  $E \Rightarrow_T E'$
- We will study following examples of logical interpretation
  - $D$  consists of finite conjunctions of atomic facts over  $T$ .
    - Linear Arithmetic
    - Uninterpreted Functions
    - Combination of Linear Arithmetic and Uninterpreted Functions
  - $D$  consists of universally quantified formulas over  $T$ .

# Transfer Functions for Logical Abstract Interpreter

- An abstract interpreter computes abstract values or facts at each program point from facts at preceding program points using appropriate transfer fns.

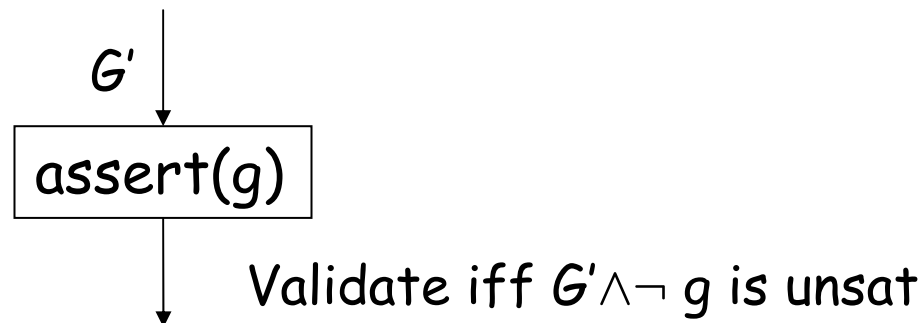


- Transfer functions for a logical abstract interpreter thus involve providing operators for over-approximating disjunction and existential quantifier elimination.

# Fixed-point Computation

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- In presence of loops, fixed-point computation is required. The process is accelerated by using a widening operator, which takes the facts in the current and previous iteration (at some point inside a loop) and generates something weaker than the current fact.
  - A widening operator should guarantee convergence in a bounded number of steps.
  - Widening is typically applied at loop header points.
- Facts generated after fixed-point are invariants and can be used to validate assertions using decision procedures.



# Initialization

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- The fact at program entry is initialized to  $\top$ , which in our setting is the logical formula "true".
  - This denotes that we make no assumptions about inputs, and whatever we prove will be valid for all inputs.
- The facts at all other program points are initialized to  $\perp$ , which in our setting is the logical formula "false".
  - This denotes our optimistic assumption of unreachability of program locations (unless we can prove them reachable in the process of fixed-point computation).



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# Difference Constraints

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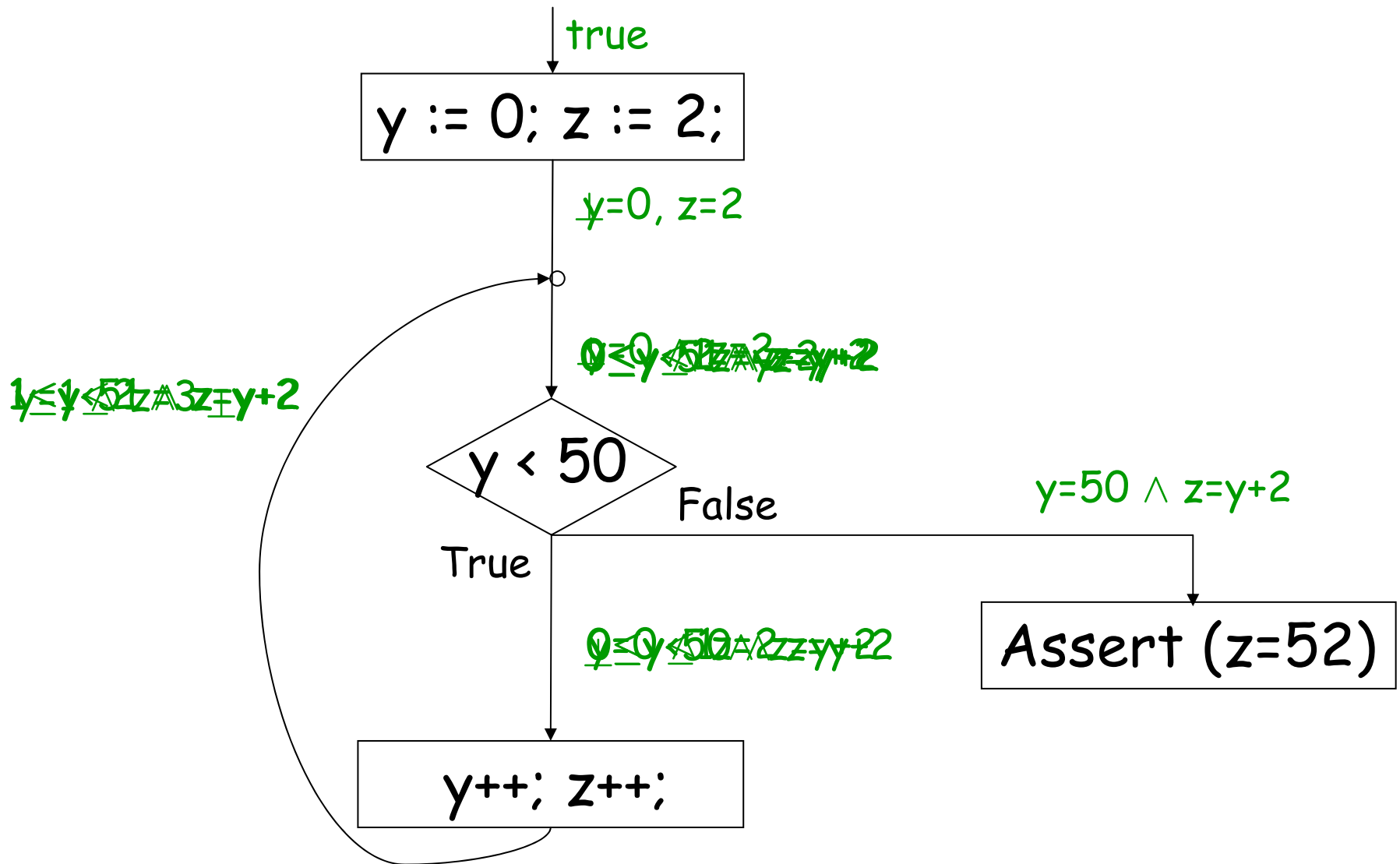
- Abstract element:
  - conjunction of  $x_i - x_j \leq c_{ij}$
  - can be represented using matrix  $M$ , where  $M[i][j] = c_{ij}$
- Decide( $M$ ):
  1.  $M' := \text{Saturate}(M)$
  2. Declare unsat iff  $\exists i: M'[i][i] < 0$
- Join( $M_1, M_2$ ):
  1.  $M'_1 := \text{Saturate}(M_1); M'_2 := \text{Saturate}(M_2);$
  2. Let  $M_3$  be s.t.  $M_3[i][j] = \text{Max} \{ M'_1[i][j], M'_2[i][j] \}$
  3. return  $M_3$

# Difference Constraints

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- $\text{Eliminate}(M, x_i)$ :
  1.  $M' := \text{Saturate}(M)$ ;
  2. Let  $M_1$  be s.t.  $M_1[j][k] = \infty$  (if  $j=i$  or  $k=i$ )  
 $= M'[j][k]$  otherwise
  3. return  $M_1$
  
- $\text{Widen}(M_1, M_2)$ :
  1.  $M'_1 := \text{Saturate}(M_1)$ ;  $M'_2 := \text{Saturate}(M_2)$ ;
  2. Let  $M_3$  be s.t.  $M_3[i][j] = M_1[i][j]$  (if  $M_1[i][j] = M_2[i][j]$ )  
 $= \infty$  (otherwise)
  3. return  $M_3$

# Difference Constraints: Example



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# Uninterpreted Functions

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- Abstract element:
  - conjunction of  $e_1=e_2$ , where  $e := y \mid F(e_1, e_2)$
  - can be represented using EDAGs
- Decide( $G$ ):
  1.  $G' := \text{Saturate}(G)$ ;
  2. Declare unsat iff  $G$  contains  $e_1 \neq e_2$  and  $G'$  has  $e_1, e_2$  in the same congruence class.
- Eliminate( $G, y$ ):
  1.  $G' := \text{Saturate}(G)$ ;
  2. Erase  $y$ ; (might need to delete some dangling expressions)
  3. return  $G'$

# Uninterpreted Functions

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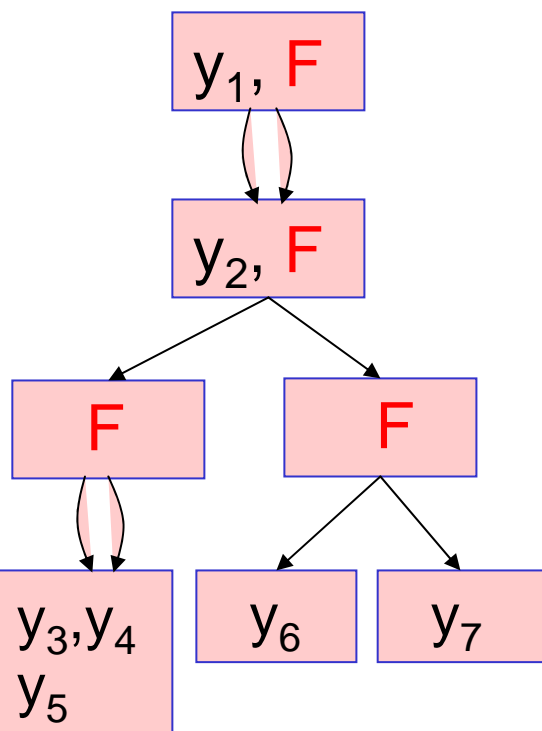
- $\text{Join}(G_1, G_2)$ :
  1.  $G'_1 := \text{Saturate}(G_1)$ ;  $G'_2 := \text{Saturate}(G_2)$ ;
  2.  $G := \text{Intersect}(G'_1, G'_2)$ ;
  3. return  $G$ ;

For each node  $n = \langle U, \{n_i, n'_i\} \rangle$  in  $G'_1$

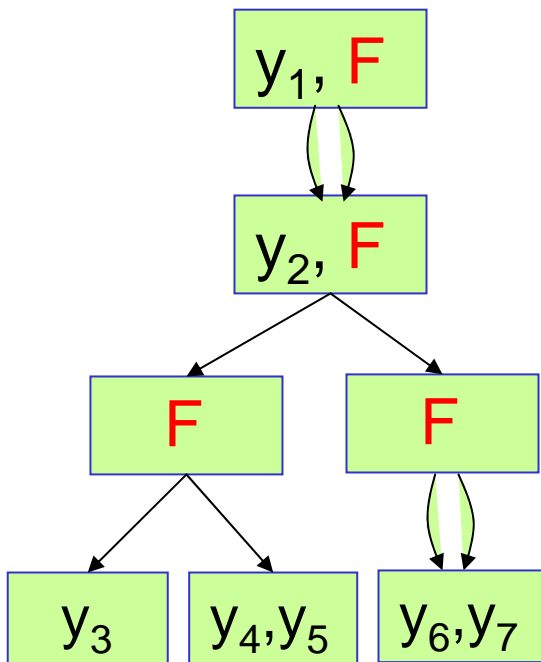
and node  $m = \langle V, \{m_j, m'_j\} \rangle$  in  $G'_2$ ,

$G$  contains a node  $[n, m] = \langle U \cap V, \{[n_i, m_j], [n'_i, m'_j]\} \rangle$

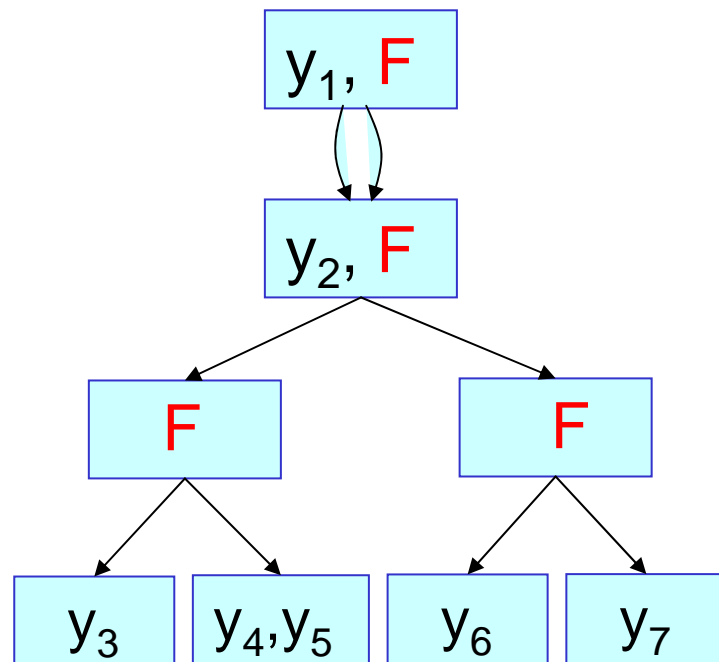
# Uninterpreted Functions: Example of Join



$G_1$



$G_2$



$G = \text{Join}(G_1, G_2)$



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# Combination: Decision Procedure

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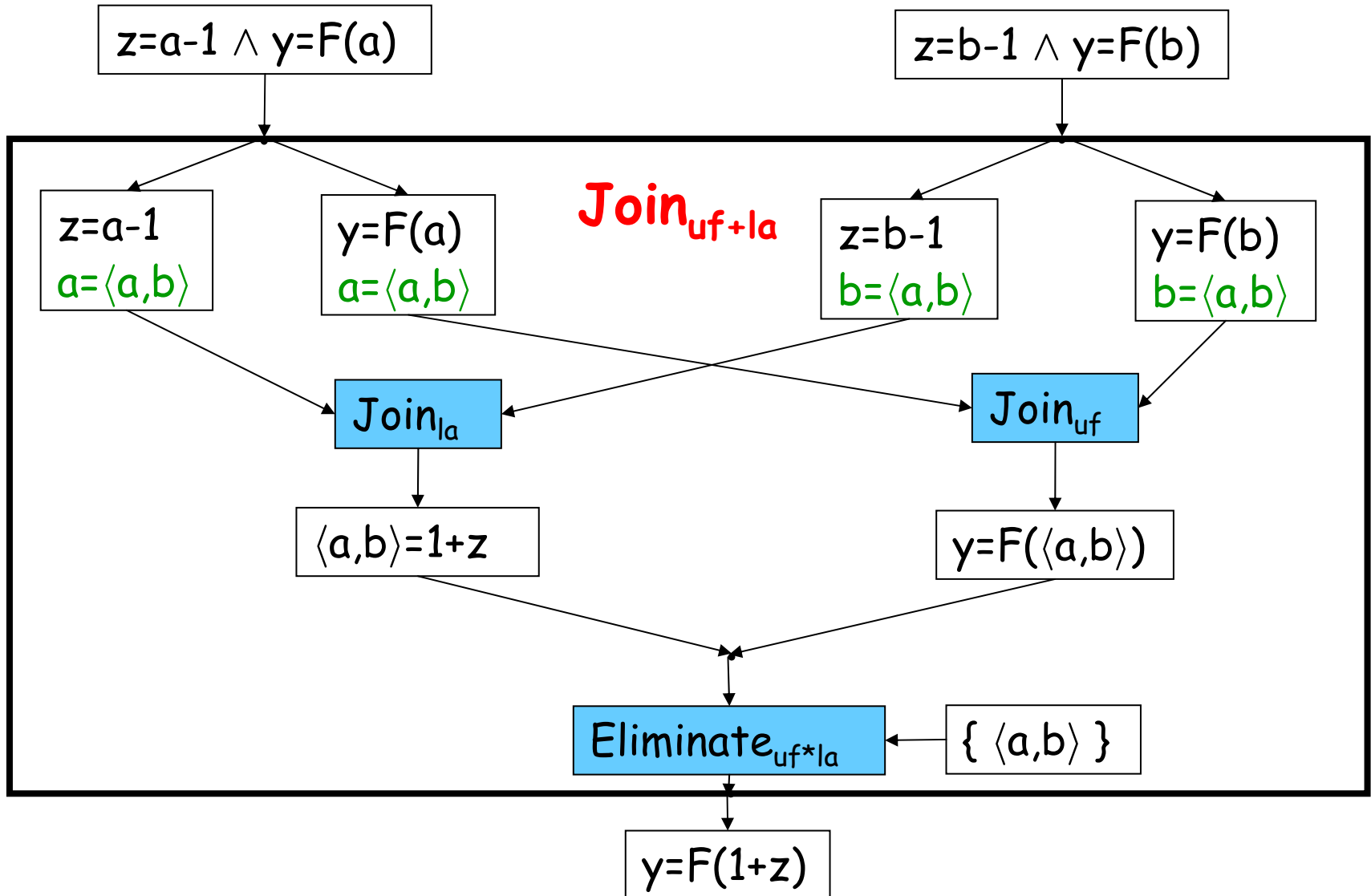
- $DP(E_{12})$ :
  1.  $\langle E_1, E_2 \rangle := \text{Purify\&Saturate}(E_{12})$ ;
  2. Return  $DP_{T_1}(E_1) \wedge DP_{T_2}(E_2)$ ;

# Combination: Join Algorithm

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- $\text{Join}_{T_{12}}(L_{12}, R_{12})$ :
  1.  $\langle L_1, L_2 \rangle := \text{Purify\&Saturate}(L_{12})$ ;  
 $\langle R_1, R_2 \rangle := \text{Purify\&Saturate}(R_{12})$ ;
  2.  $D_L := \bigwedge \{v_i = \langle v_i, v_j \rangle \mid v_i \in \text{Vars}(L_1 \wedge L_2), v_j \in \text{Vars}(R_1 \wedge R_2)\}$ ;  
 $D_R := \bigwedge \{v_j = \langle v_i, v_j \rangle \mid v_i \in \text{Vars}(L_1 \wedge L_2), v_j \in \text{Vars}(R_1 \wedge R_2)\}$ ;
  3.  $L'_1 := L_1 \wedge D_L$ ;  $R'_1 := R_1 \wedge D_R$ ;  
 $L'_2 := L_2 \wedge D_L$ ;  $R'_2 := R_2 \wedge D_R$ ;
  4.  $A_1 := \text{Join}_{T_1}(L'_1, R'_1)$ ;  
 $A_2 := \text{Join}_{T_2}(L'_2, R'_2)$ ;
  5.  $V := \text{Vars}(A_1 \wedge A_2)$  - Program Variables;  
 $A_{12} := \text{Eliminate}_{T_{12}}(A_1 \wedge A_2, V)$ ;
  6. Return  $A_{12}$ ;

# Combination: Example of Join Algorithm



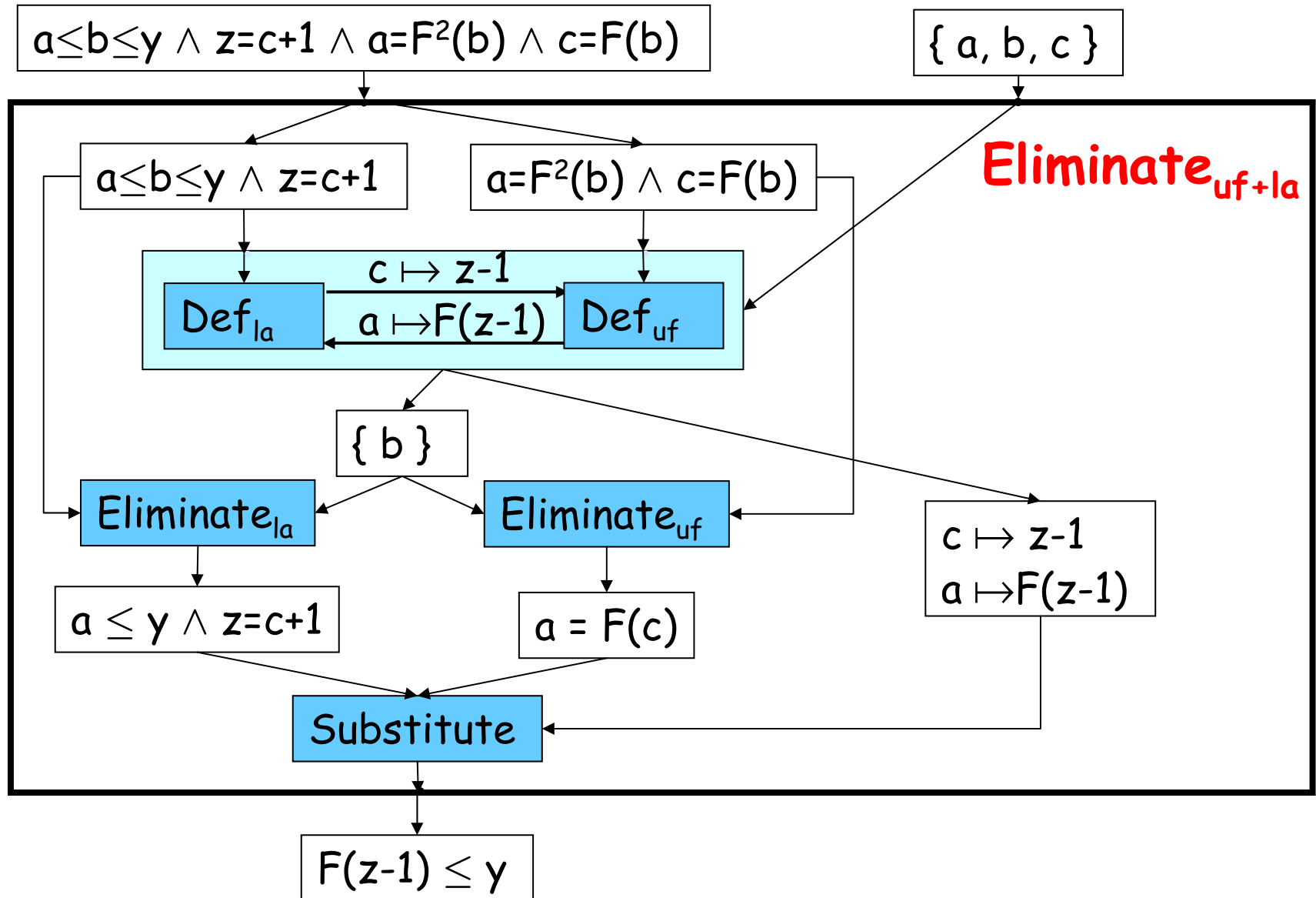
## Combination: Existential Quantifier Elimination

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- Elimintate<sub>T<sub>12</sub></sub>(E<sub>12</sub>, V):
  1.  $\langle E_1, E_2 \rangle := \text{Purify\&Saturate}(E_{12});$
  2.  $\langle D, \text{Defs} \rangle := \text{DefSaturate}(E_1, E_2, V \cup \text{Temp Variables});$
  3.  $V' := V \cup \text{Temp Variables} - D;$   
 $E'_1 := \text{Eliminate}_{T_1}(E_1, V');$   
 $E'_2 := \text{Eliminate}_{T_2}(E_2, V');$
  4.  $E := (E'_1 \wedge E'_2) [\text{Defs}(y)/y];$
  5. Return E;

DefSaturate(E<sub>1</sub>, E<sub>2</sub>, U) returns the set of all variables D that have definitions Defs in terms of variables not in U as implied by E<sub>1</sub> ∧ E<sub>2</sub>.

# Combination: Example of Existential Elimination

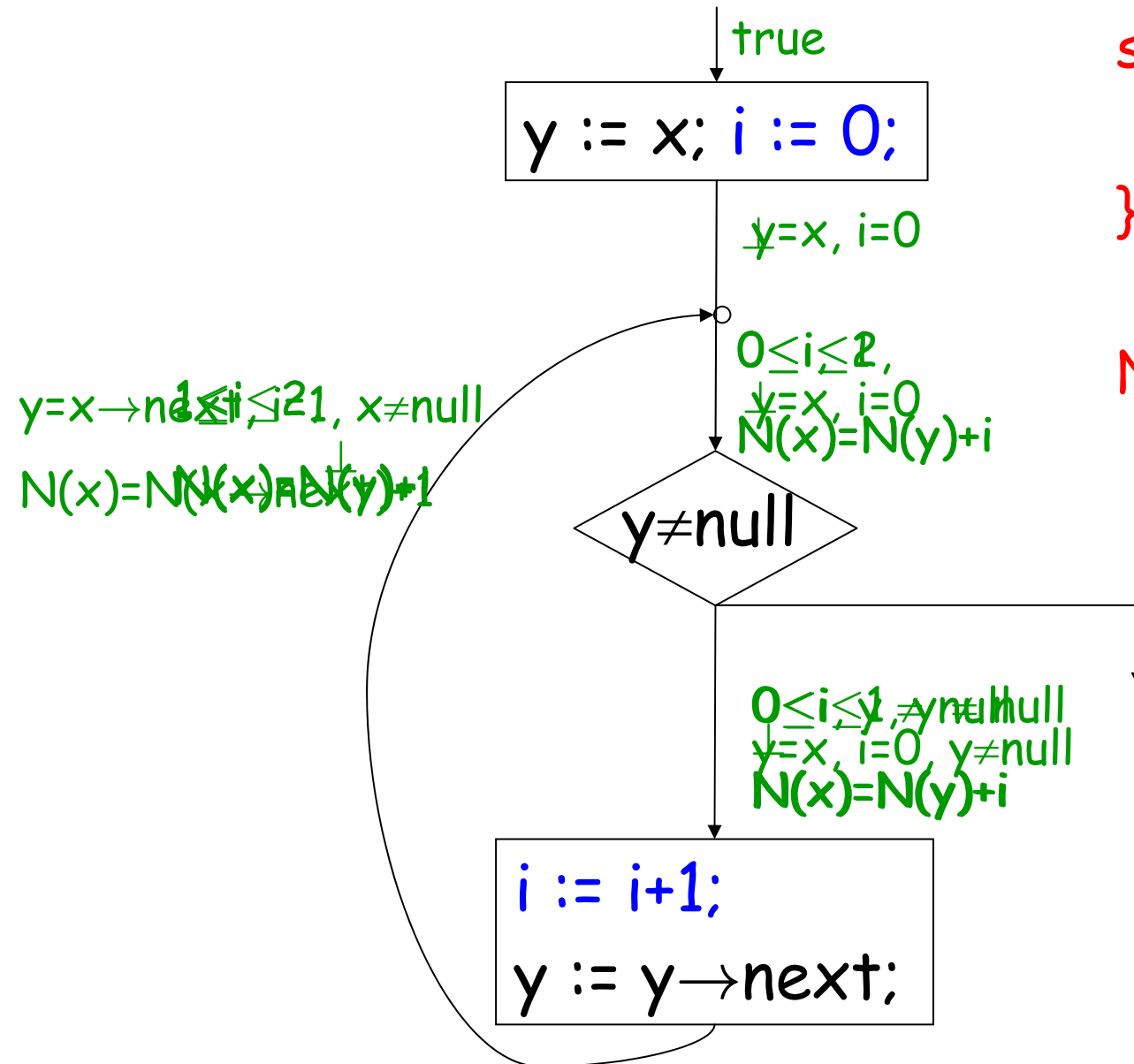


# Abstract Interpretation over Combined Domain: Example

```

struct List {
    struct List* next;
} x, y;
    
```

$N(z) = 0$ , if  $z = \text{null}$   
 $= 1 + N(z \rightarrow \text{next})$



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# Universally Quantified Abstract Domain

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- Abstract element is of the form  $E \wedge \bigwedge_i (\forall X: A_i \Rightarrow B_i)$ 
  - where  $E, A_i, B_i$  are from some underlying base domain(s)  $D$ .
- The partial order  $\preceq$  is a refinement of the more natural implication relationship.

$$E \wedge \bigwedge_i (\forall X: A_i \Rightarrow B_i) \preceq E' \wedge \bigwedge_j (\forall X: A'_j \Rightarrow B'_j) \text{ iff}$$

- $E \Rightarrow E'$
  - $\forall j \exists i \text{ s.t. } E \wedge A'_j \Rightarrow A_i \text{ and } E \wedge B_i \Rightarrow B'_j$
- Another way to state the above thing would be to say that the partial order is still the implication relationship but transfer functions are incomplete.

# Quantified Abstract Domain: Join Algorithm

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- Consider a simpler case first.

Let  $(E \wedge \forall X:A \Rightarrow B) = \text{Join}(E^1 \wedge \forall X:A^1 \Rightarrow B^1, E^2 \wedge \forall X:A^2 \Rightarrow B^2)$ . Then,

- $(E^1 \wedge \forall X:A^1 \Rightarrow B^1) \preceq (E \wedge \forall X:A \Rightarrow B)$
- $(E^2 \wedge \forall X:A^2 \Rightarrow B^2) \preceq (E \wedge \forall X:A \Rightarrow B)$

Or, equivalently,

- $E^1 \Rightarrow E$  and  $E^2 \Rightarrow E$ . Thus,  $E = \text{Join}(E^1, E^2)$ .
- $E^1 \wedge A \Rightarrow A^1$  and  $E^2 \wedge A \Rightarrow A^2$ , i.e.,  $A \Rightarrow (E^1 \Rightarrow A^1 \wedge E^2 \Rightarrow A^2)$ .  
Thus,  $A = \lfloor E^1 \Rightarrow A^1 \wedge E^2 \Rightarrow A^2 \rfloor$ .
- $E^1 \wedge B^1 \Rightarrow B$  and  $E^2 \wedge B^2 \Rightarrow B$ . Thus,  $B = \text{Join}(E^1 \wedge B^1, E^2 \wedge B^2)$ .

- $\text{Join}(E^1 \wedge \bigwedge_i (\forall X: A^1_i \Rightarrow B^1_i), E^2 \wedge \bigwedge_i (\forall X: A^2_i \Rightarrow B^2_i))$ :

1.  $\text{result} := \text{Join}_D(E^1, E^2)$ ;
2. For all  $i, j$ :
3.  $A := \lfloor E^1 \Rightarrow A^1_i \wedge E^2 \Rightarrow A^2_j \rfloor$ ;  $B := \text{Join}_D(E^1 \wedge B^1_i, E^2 \wedge B^2_j)$ ;
4.  $\text{result} := \text{result} \wedge \forall X: A \Rightarrow B$
5. return result;

# Quantified Abstract Domain: Example of Join

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$$\text{Let } G_1 = (i=0 \wedge \forall k: k=0 \Rightarrow F[k]=i)$$

$$G_2 = (i=1 \wedge \forall k: 0 \leq k \leq 1 \Rightarrow F[k]=0)$$

Then  $\text{Join}(G_1, G_2) = 0 \leq i \leq 1 \wedge \forall k: A \Rightarrow B$ , where

$$A = \lfloor (i=0 \Rightarrow k=0) \wedge (i=1 \Rightarrow 0 \leq k \leq 1) \rfloor = 0 \leq k \leq i$$

$$B = \text{Join}_D(i=0 \wedge F[k]=i, i=1 \wedge F[k]=0) = F[k]=0$$

# Quantified Abstract Domain: Eliminate

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Let  $(E' \wedge \forall X:A' \Rightarrow B') = \text{Eliminate}(E \wedge \forall X:A \Rightarrow B, s)$ . Then,  
 $(E \wedge \forall X:A \Rightarrow B) \preceq (E' \wedge \forall X:A' \Rightarrow B')$  among other things.

For simplicity, assume that  $s$  doesn't affect terms in  $A, B$  involving  $X$ .  
Then,

- $E \Rightarrow E'$  and  $E'$  doesn't contain any term affected by change to  $s$ .
  - Thus,  $E' = \text{Eliminate}_D(E, s)$ .
- $E \wedge A' \Rightarrow A$  and  $A$  doesn't contain any term affected by change to  $s$ .
  - Thus,  $A' = \lfloor \forall s: E \Rightarrow A \rfloor$ .
- $E \wedge B \Rightarrow B'$  and  $B'$  doesn't contain any term affected by change to  $s$ .
  - Thus,  $B' = \text{Eliminate}_D(E \wedge B, s)$ .

# Quantified Abstract Domain: Eliminate

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- $\text{Eliminate}(G, s)$ :
  1. Let  $G$  be  $E \wedge \forall X:A \Rightarrow B$ 
    - Psuedo-code can be easily extended for multiple  $\forall$
  2.  $T := \{ e \mid e \text{ occurs in } A \text{ or } B; \text{Vars}(e) \cap X \neq \emptyset \}$
  3.  $A := A \wedge \bigwedge_{e \in T} \text{NotEffect}(\langle s, G \rangle, e)$ ;
  4.  $E' := \text{Eliminate}_D(E, s)$ ;
  5.  $B' := \text{Eliminate}_D(B \wedge E, s)$ ;
  6.  $A' := \lfloor \forall s: E \Rightarrow A \rfloor$ ;
  7. return  $(E' \wedge \forall X: A' \Rightarrow B')$
- $\text{NotEffect}(\langle s, G \rangle, e)$  denotes a constraint  $g$  s.t.  $G \wedge g$  implies that  $s$  does not affect  $e$ .

# Quantified Abstract Domain: Example of Eliminate

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Let  $G = (F[0] > 10 \wedge \forall k: 0 \leq k < F[0] \Rightarrow F[k] > F[0])$

Then  $\text{Eliminate}(G, F[0]) = \text{true} \wedge \forall k: A' \Rightarrow B'$ , where

$T = \{ k, F[k] \}$

$\text{NotEffect}(\langle F[0], G \rangle, F[k]) = k \neq 0$

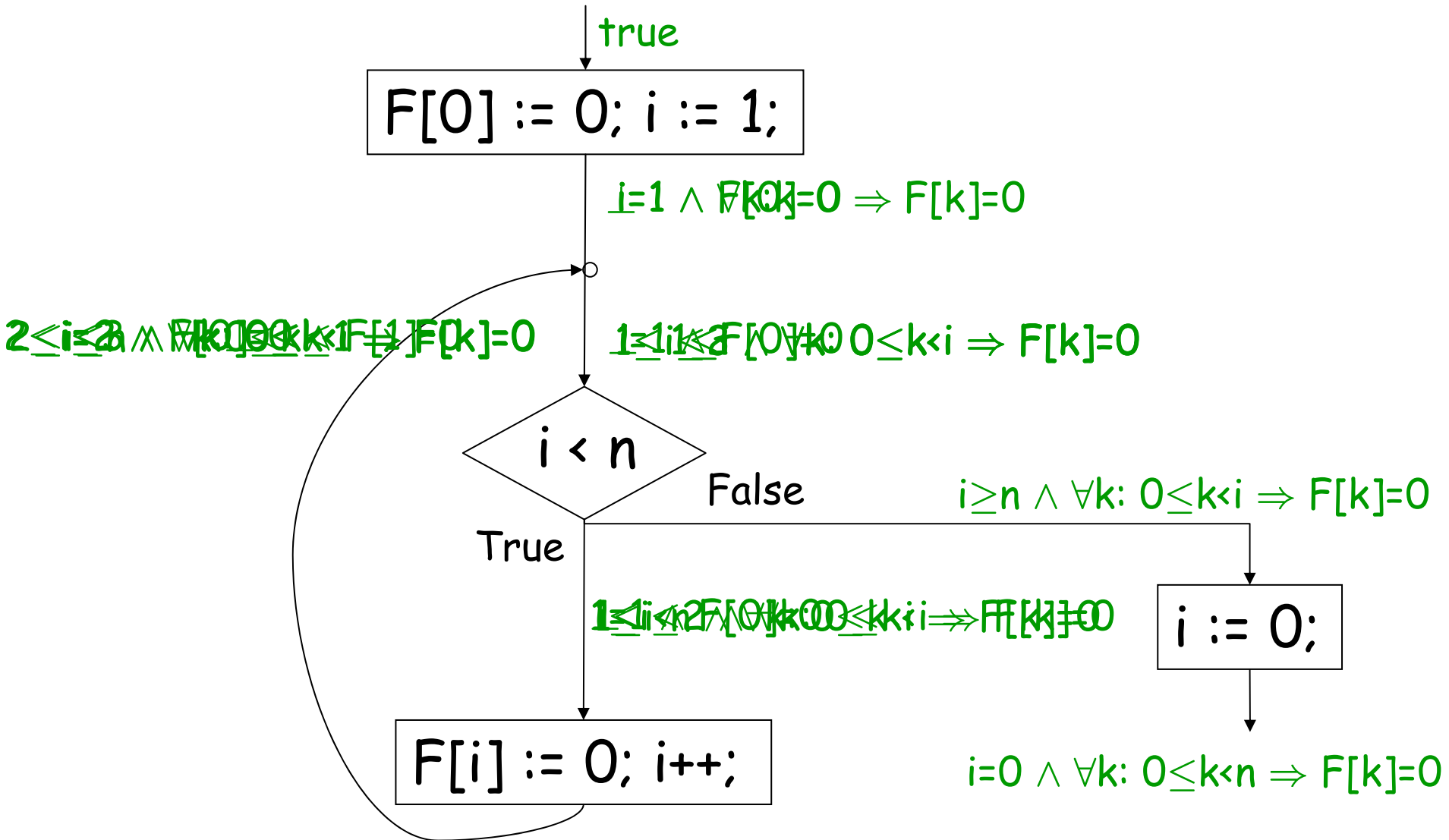
$\text{NotEffect}(\langle F[0], G \rangle, F[k]) = \text{true}$

$A_1 = 0 \leq k < F[0] \wedge k \neq 0 \wedge \text{true} = 1 \leq k < F[0]$

$A' = [\forall F[0]: F[0] > 10 \Rightarrow 1 \leq k < F[0]] = 1 \leq k < 10$

$B' = \text{Eliminate}(F[k] > F[0] \wedge F[0] > 10, F[0]) = F[k] < 10$

# Quantified Abstract Domain : Example



# References

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- Uninterpreted Functions
  - "A polynomial time algorithm for global value numbering"  
SAS 2004, S. Gulwani, G. Necula
  - "Join algorithms for the theory of uninterpreted fns"  
FSTTCS 2004, S. Gulwani, A. Tiwari, G. Necula
- Combination of Linear Arithmetic and Uninterpreted Fns
  - "Combining Abstract Interpreters"  
PLDI 2006, S. Gulwani, A. Tiwari
- Universally Quantified Abstract Domain
  - "Lifting Abstract Interpreters to Quantified Logical Domains"  
POPL 2008, S. Gulwani, B. McCloskey, A. Tiwari



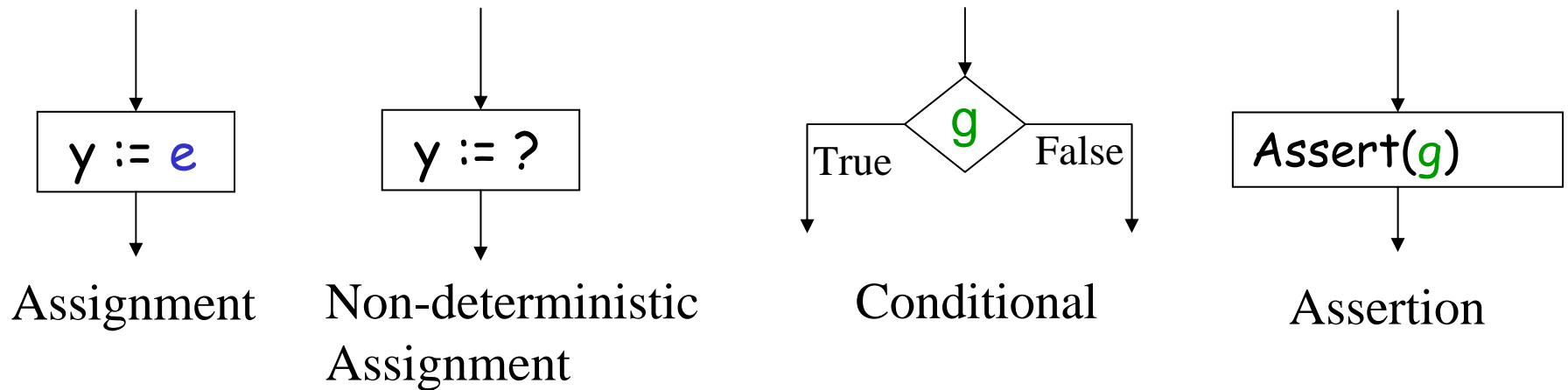
# Outline

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- Decision Procedures
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
- Logical Abstract Interpretation
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
  - Universally Quantified Formulas
- **Hardness of Assertion Checking**
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns

# Abstract Program Model / Problem Statement

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## Linear Arithmetic

$e := y \mid c \mid e_1 \pm e_2 \mid c e$

$g := e \geq 0$

## Uninterpreted Functions

$e := y \mid F(e_1, e_2)$

$g := e_1 = e_2$

## Combination

$e := y \mid c \mid e_1 \pm e_2 \mid c e \mid F(e_1, e_2)$

$g := e \geq 0$

# Outline

---

- Decision Procedures
  - Linear Arithmetic
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- Logical Abstract Interpretation
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
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- Hardness of Assertion Checking
  - Linear Arithmetic
    - Uninterpreted Functions
    - Combination of Linear Arithmetic and Uninterpreted Fns

# Assertion Checking: Linear Arithmetic

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- Non-deterministic Conditionals
  - Equality Assertions: PTIME
    - Perform abstract interpretation over linear equalities.
    - "Affine relationships among variables of a program", Karr 76
  - Inequality assertions: ?
- Deterministic Conditionals: Undecidable
  - Even with equality conditionals and equality assertions
  - PCP Problem can be reduced to it.
  - "A Note on Karr's Algorithm", H. Seidl, M. Muller-Olm, ICALP 2004

# Reducing PCP Problem to Assertion Checking

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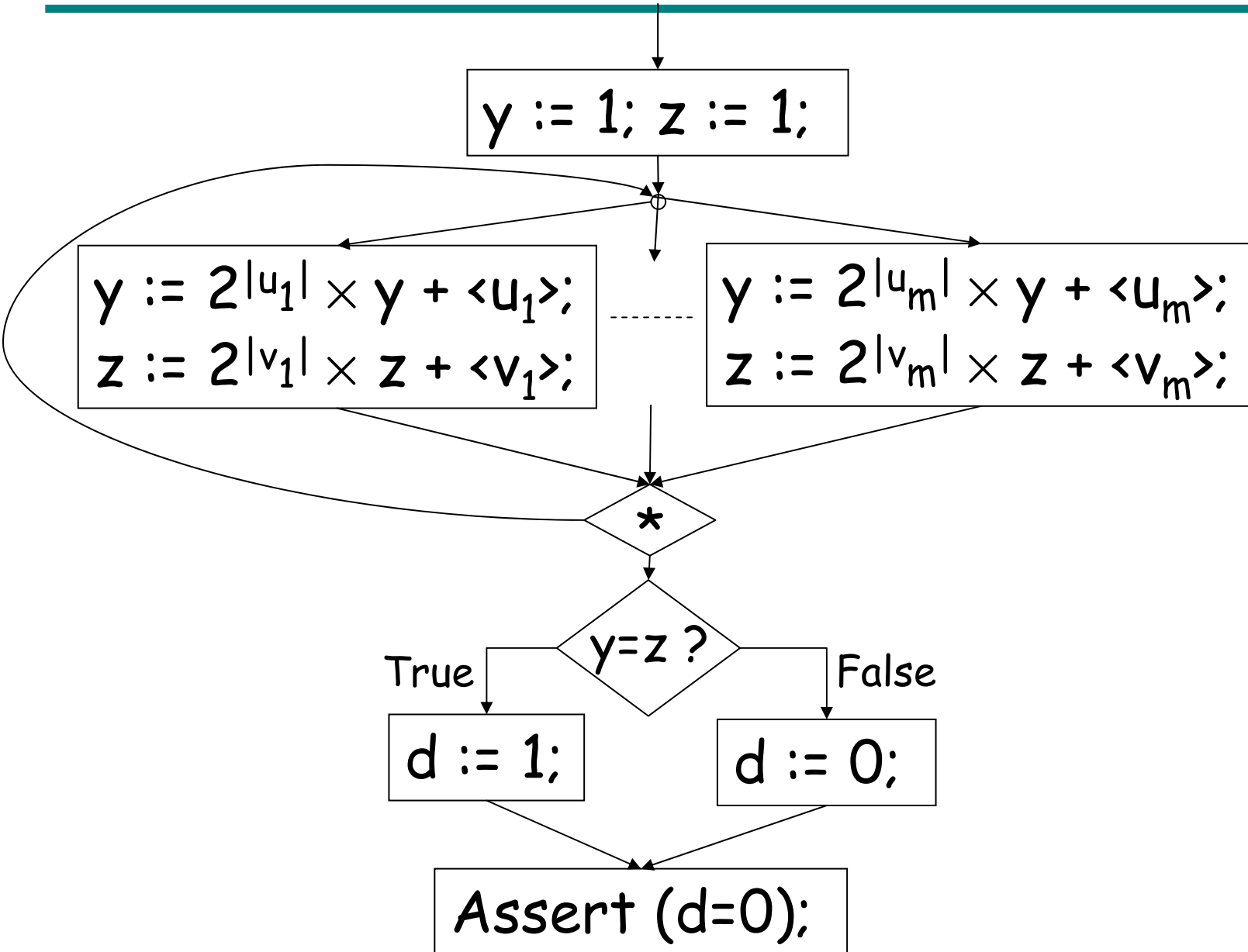
- The following problem (PCP Problem) is undecidable.  
Given pairs:  $(u_1, v_1), \dots, (u_m, v_m)$ , where  $u_i, v_i \in \{0,1\}^*$

Decide:  $\exists$  a non-empty sequence  $i_1, \dots, i_n$  such that

$$u_{i_1} \dots u_{i_n} = v_{i_1} \dots v_{i_n}$$

- Given a PCP instance, we will construct an assertion checking problem over linear arithmetic such that the assertion holds iff the solution to PCP instance is false.

# Reducing PCP Problem to Assertion Checking



# Outline

---

- Decision Procedures
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
- Logical Abstract Interpretation
  - Linear Arithmetic
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  - Universally Quantified Formulas
- Hardness of Assertion Checking
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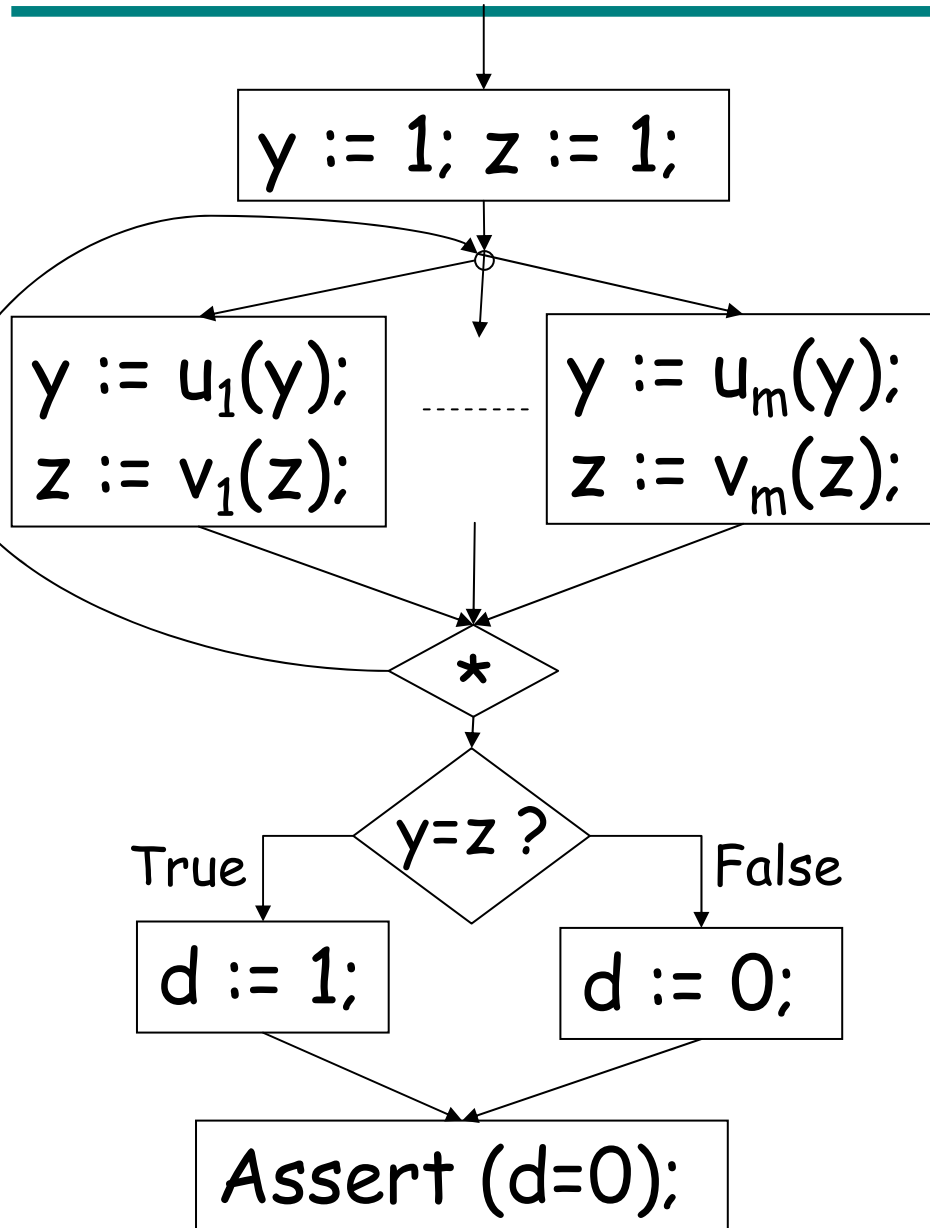
# Assertion Checking: Uninterpreted Functions

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- Non-deterministic Conditionals: PTIME
  - Abstract interpretation over uninterpreted fns.
- Deterministic Conditionals: Undecidable
  - PCP Problem can be reduced to it.
  - "Checking Herbrand Equalities and Beyond",  
H. Seidl, M. Muller-Olm, VMCAI 2005



# Reducing PCP Problem to Assertion Checking



Think of  $u_i, v_i$  as sequences of applications of unary fns, one corresponding to 0 and other corresponding to 1.

# Outline

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- Decision Procedures
  - Linear Arithmetic
  - Uninterpreted Functions
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- Logical Abstract Interpretation
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns
  - Universally Quantified Formulas
- Hardness of Assertion Checking
  - Linear Arithmetic
  - Uninterpreted Functions
  - Combination of Linear Arithmetic and Uninterpreted Fns

# Assertion Checking: Combination of Linear Arithmetic & Uninterpreted Functions

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- Deterministic Conditionals: Undecidable
  - No surprise since problem is undecidable for individual cases of linear arithmetic and uninterpreted fns.
- Non-deterministic Conditionals: ? At least coNP-hard
  - Even for equality conditionals.
  - A surprising result since assertion checking for individual cases of linear arithmetic (equalities) and uninterpreted fns is PTIME, but not for combination.
  - In contrast, decision procedures for linear arithmetic and uninterpreted fns can be combined in PTIME using Nelson-Oppen methodology.
  - "Assertion checking over combination of linear arithmetic and uninterpreted fns", S. Gulwani, A. Tiwari, ESOP 2006

# Reducing Unsatisfiability to Assertion Checking

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$\psi$ : boolean 3-SAT instance with  $m$  clauses

IsUnsatisfiable( $\psi$ ) {

  for  $j=1$  to  $m$

$c_j := 0$ ;

  for  $i=1$  to  $k$  do

    if (\*)

$\forall j$  s.t. var  $i$  occurs positively in clause  $j$ ,  $c_j := 1$ ;

    else

$\forall j$  s.t. var  $i$  occurs negatively in clause  $j$ ,  $c_j := 1$ ;

$y = c_1 + c_2 + \dots + c_m$ ;

  Assert ( $y=0 \vee y=1 \dots \vee y=m-1$ );

}

# Encoding disjunction

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- The check  $y=1 \vee y=2$  can be encoded by the assertion  $F(y) = F(1)+F(2)-F(3-y)$ .
- The above trick can be recursively applied to construct an assertion that encodes  $y=0 \vee y=1 \vee \dots \vee y=m-1$ 
  - Eg.,  $y=0 \vee y=1 \vee y=2$  can be encoded by encoding  $F(y)=F(0) \vee F(y)=F(1)+F(2)-F(3-y)$

# Conclusion

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- We showed how logical reasoning traditionally used in theorem proving can be exploited in an abstract interpretation setting.
  - We focused on conjunctive and universally quantified invariants over the domain of linear arithmetic and uninterpreted fns.
- There are several other interesting issues in program analysis that we did not address:
  - Destructive updates
    - Points-to analysis, Shape analysis
  - Path-sensitive analysis
    - Disjunctive invariants
  - Inter-procedural analysis
    - Procedure summaries