

# Constrained Types and their Expressiveness

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A constrained type consists of both a standard type and a constraint set. Such types enable efficient type inference for object-oriented languages with polymorphism and subtyping, as demonstrated by Eifrig, Smith, and Trifonov. Until now, it has been unclear how expressive constrained types are.

In this paper we study constrained types without universal quantification. We prove that they accept the same programs as the type system of Amadio and Cardelli with subtyping and recursive types. This result gives a precise connection between constrained types and the standard notion of type.

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## 1. INTRODUCTION

A constrained type consists of both a standard type and a constraint set. For example,

$$\lambda x.xx : (v \rightarrow w) \setminus \{v \leq v \rightarrow w\}$$

Here,  $v$  and  $w$  are type variables. This typing says that the  $\lambda$ -term  $\lambda x.xx$  has every type of the form  $v \rightarrow w$  where  $v, w$  satisfy the constraint  $v \leq v \rightarrow w$ .

When combined with universal quantification, such types enable efficient type inference for object-oriented languages with polymorphism and subtyping, as demonstrated by Eifrig, Smith, and Trifonov [1995b; 1995a]. Very similar forms of constrained type are presented by Aiken and Wimmers [1993] and Curtis [1990]. Other forms of constrained type have been investigated, including forms that restrict the

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use of self-referential constraints [Mitchell 1991; Kaes 1992]. Our results do not directly apply to those forms. Until now, it has been unclear how expressive constrained types are.

In this paper we study constrained types without universal quantification. Our starting point is the constrained types presented by Eifrig, Smith, and Trifonov [1995b]. When removing the universal quantification from their types, we get types of the form  $t \setminus C$  where  $t$  is a simple type and  $C$  is a constraint system. In the remainder of this paper, the term “constrained type” refers to such types. Our example language is a  $\lambda$ -calculus generated by the grammar:

$$E ::= x \mid \lambda x.E \mid E_1 E_2 \mid 0 \mid \text{succ } E .$$

We prove that our constrained types accept the same programs as the type system of Amadio and Cardelli with subtyping and recursive types [Amadio and Cardelli 1993]. In their type system, types can be presented by the following grammar:

$$t ::= t_1 \rightarrow t_2 \mid \text{Int} \mid v \mid \mu v.t \mid \top \mid \perp$$

Here,  $\rightarrow$  is the function type constructor,  $\text{Int}$  is the type of integers,  $v$  is a type variable,  $\mu v.t$  is a recursive type, and  $\top$  and  $\perp$  are the greatest and the least types, respectively. Our result thus gives a precise connection between constrained types and standard types.

To illustrate what type derivations look like in the two type systems, consider the  $\lambda$ -term

$$\lambda x.x(\text{succ } x)$$

In the Amadio/Cardelli type system, we can derive that this term is typable, as follows. Define  $A = \emptyset[x \leftarrow \perp]$ .

$$\frac{\frac{A \vdash x : \perp \quad \perp \leq \text{Int} \rightarrow \perp}{A \vdash x : \text{Int} \rightarrow \perp} \quad \frac{A \vdash x : \perp \quad \perp \leq \text{Int}}{A \vdash x : \text{Int}}}{A \vdash \text{succ } x : \text{Int}} \quad \frac{A \vdash x(\text{succ } x) : \perp}{\emptyset \vdash \lambda x.x(\text{succ } x) : \perp \rightarrow \perp}$$

In the constrained type system, we can derive that this term is typable as follows. Define  $B = \emptyset[x \leftarrow v]$ , where  $v$  is a type variable.

$$\frac{B \vdash_c x : v \setminus \emptyset \quad \frac{B \vdash_c x : v \setminus \emptyset \quad v \setminus \emptyset \trianglelefteq \text{Int} \setminus \{v \leq \text{Int}\}}{B \vdash_c x : \text{Int} \setminus \{v \leq \text{Int}\}}}{B \vdash_c \text{succ } x : \text{Int} \setminus \{v \leq \text{Int}\}} \quad \frac{B \vdash_c x(\text{succ } x) : w \setminus \{v \leq \text{Int}, v \leq \text{Int} \rightarrow w\}}{\emptyset \vdash_c \lambda x.x(\text{succ } x) : v \rightarrow w \setminus \{v \leq \text{Int}, v \leq \text{Int} \rightarrow w\}}$$

One may understand the constrained type system as producing a representation of a range of possible types rather than just a single type. For instance, in this example no constraints are imposed on  $w$  in the constrained type derivation, so it may be any type and not just  $\perp$ .

Type inference for the Amadio/Cardelli type system is computable in  $O(n^3)$  time, where  $n$  is the size of the  $\lambda$ -term [Palsberg and O’Keefe 1995]. Similarly, type

inference for the constrained type system is computable in  $O(n^3)$  time [Eifrig et al. 1995b].

Palsberg and O’Keefe proved that the Amadio/Cardelli type system accepts the same programs as a certain flow analysis [Palsberg and O’Keefe 1995]. Thus, the result of our paper implies a precise connection between constrained types and flow analysis.

In the following two sections we recall the definitions of the Amadio/Cardelli type system and the constrained type system, and in Section 4 we prove our result.

## 2. THE AMADIO/CARDELLI TYPE SYSTEM

We first define the notions of type and term. Instead of writing types in the syntax suggested above, we represent them as regular trees [Amadio and Cardelli 1993; Kozen et al. 1995]. Such trees are in turn represented by terms. Our motivation for using this representation is that it leads to a simpler definition of subtyping than the syntax suggested above.

**DEFINITION 2.1.** Let  $\Sigma = \{\rightarrow, \text{Int}, \perp, \top\}$  be the ranked alphabet where  $\rightarrow$  is binary and  $\text{Int}, \perp, \top$  are nullary. A *type* is a regular tree over  $\Sigma$ . A *path* from the root of such a tree is a string over  $\{0, 1\}$ , where 0 indicates “left subtree,” and 1 indicates “right subtree.”  $\square$

Notice that Definition 2.1 does not cover types containing type variables. This does not change the set of typable terms. We have chosen Definition 2.1 because it is used in the paper [Palsberg and O’Keefe 1995] which contains a result that our theorem relies on.

**DEFINITION 2.2.** We represent a type by a *term*, that is, a partial function

$$t : \{0, 1\}^* \rightarrow \Sigma$$

with non-empty, prefix-closed domain  $\mathcal{D}(t)$  where  $t$  maps each path from the root of the type to the symbol at the end of the path. It is required that if  $t(\alpha) \in \{\text{Int}, \perp, \top\}$ , then  $\{i \mid \alpha i \in \mathcal{D}(t)\} = \emptyset$ , and if  $t(\alpha) = \rightarrow$ , then  $\{i \mid \alpha i \in \mathcal{D}(t)\} = \{0, 1\}$ . The set of all such terms is denoted  $T_\Sigma$ .  $\square$

Types are ordered by the subtype relation  $\leq$ , as follows.

**DEFINITION 2.3.** The *parity* of  $\alpha \in \{0, 1\}^*$  is the number mod 2 of zeros in  $\alpha$ . The parity of  $\alpha$  is denoted  $\pi\alpha$ . A string  $\alpha$  is said to be *even* if  $\pi\alpha = 0$  and *odd* if  $\pi\alpha = 1$ . Let  $\leq_0$  be the partial order on  $\Sigma$  given by

$$\begin{array}{l} \perp \leq_0 \rightarrow \quad \text{and} \quad \rightarrow \leq_0 \top \quad \text{and} \\ \perp \leq_0 \text{Int} \quad \text{and} \quad \text{Int} \leq_0 \top . \end{array}$$

Let  $\leq_1$  be its reverse

$$\begin{array}{l} \top \leq_1 \rightarrow \quad \text{and} \quad \rightarrow \leq_1 \perp \quad \text{and} \\ \top \leq_1 \text{Int} \quad \text{and} \quad \text{Int} \leq_1 \perp . \end{array}$$

For  $s, t \in T_\Sigma$ , define  $s \leq t$  if  $s(\alpha) \leq_{\pi\alpha} t(\alpha)$  for all  $\alpha \in \mathcal{D}(s) \cap \mathcal{D}(t)$ .  $\square$

Kozen et al. [1995] showed that the relation  $\leq$  is equivalent to the order defined by Amadio and Cardelli [1993]. The relation  $\leq$  is a partial order, and if  $s \rightarrow t \leq s' \rightarrow t'$ , then  $s' \leq s$  and  $t \leq t'$  [Amadio and Cardelli 1993; Kozen et al. 1995].

Next, we present the type rules. If  $E$  is a  $\lambda$ -term,  $t$  is a type, and  $A$  is a type environment, i.e., a partial function assigning types to variables, then the judgment  $A \vdash E : t$  means that  $E$  has the type  $t$  in the environment  $A$ . Formally, this holds when the judgment is derivable using the following six rules:

$$A \vdash 0 : \text{Int} \quad (1)$$

$$\frac{A \vdash E : \text{Int}}{A \vdash \text{succ } E : \text{Int}} \quad (2)$$

$$A \vdash x : t \quad (\text{provided } A(x) = t) \quad (3)$$

$$\frac{A[x \leftarrow s] \vdash E : t}{A \vdash \lambda x. E : s \rightarrow t} \quad (4)$$

$$\frac{A \vdash E : s \rightarrow t \quad A \vdash F : s}{A \vdash EF : t} \quad (5)$$

$$\frac{A \vdash E : s \quad s \leq t}{A \vdash E : t} \quad (6)$$

The first five rules are the usual rules for simple types, and the last rule is the rule of *subsumption*.

The type system has the subject reduction property, that is, if  $A \vdash E : t$  is derivable, and  $E$   $\beta$ -reduces to  $E'$ , then  $A \vdash E' : t$  is derivable. This is proved by straightforward induction on the structure of the derivation of  $A \vdash E : t$ .

### 3. CONSTRAINED TYPES

We begin with defining what will be called a simple type. The set of simple types is generated by the following grammar:

$$t ::= t_1 \rightarrow t_2 \mid \text{Int} \mid v$$

Here,  $v$  is a type variable.

**DEFINITION 3.1.** A *constraint* is an inequality of the form  $t \leq t'$ , where  $t, t'$  are simple types. A *constraint system* is a finite set of constraints.

A constraint system  $C$  is *closed* if the following two conditions hold.

- If  $s \rightarrow t \leq s' \rightarrow t'$  is in  $C$ , then  $s' \leq s$  and  $t \leq t'$  are in  $C$ .
- If  $r \leq s$  and  $s \leq t$  both are in  $C$ , then  $r \leq t$  is in  $C$ .

If  $C$  is a constraint system, then the *closure* of  $C$  is the smallest closed constraint system which contains  $C$ . If  $C, C'$  are two constraint systems, we denote by  $C \uplus C'$  the closure of  $C \cup C'$ .

A constraint system is *consistent* if it does not contain constraints of the forms  $\text{Int} \leq t \rightarrow t'$  or  $t \rightarrow t' \leq \text{Int}$ , where  $t, t'$  are simple types.  $\square$

A constrained type is of the form  $t \setminus C$  where  $t$  is a simple type and  $C$  is a closed constraint system. Constrained types are ordered by the subtype relation  $\leq$ , as follows.

DEFINITION 3.2. For constrained types  $t \setminus C$  and  $t' \setminus C'$ , define  $t \setminus C \leq t' \setminus C'$  if either  $C \uplus \{t \leq t'\} \subseteq C'$ , or  $t = t'$  and  $C \subseteq C'$ .  $\square$

Notice that  $\leq$  is a partial order.

It is possible to change the definition of closure by additionally closing under reflexivity; in this case, the second case of Definition 3.2 may be removed. We take the approach of Definition 3.2 to be consistent with [Eifrig et al. 1995b].

Next, we present the type rules. If  $E$  is a  $\lambda$ -term,  $t \setminus C$  is a constrained type, and  $A$  is a simple type environment, i.e., a partial function assigning simple types to variables, then the judgment  $A \vdash_c E : t \setminus C$  holds when it is derivable using the following six rules:

$$A \vdash_c 0 : \text{Int} \setminus \emptyset \quad (7)$$

$$\frac{A \vdash_c E : \text{Int} \setminus C}{A \vdash_c \text{succ } E : \text{Int} \setminus C} \quad (8)$$

$$A \vdash_c x : t \setminus \emptyset \quad (\text{provided } A(x) = t) \quad (9)$$

$$\frac{A[x \leftarrow s] \vdash_c E : t \setminus C}{A \vdash_c \lambda x. E : s \rightarrow t \setminus C} \quad (10)$$

$$\frac{A \vdash_c E : s \rightarrow t \setminus C_1 \quad A \vdash_c F : s \setminus C_2}{A \vdash_c EF : t \setminus C_1 \uplus C_2} \quad (11)$$

$$\frac{A \vdash_c E : t \setminus C \quad t \setminus C \leq t' \setminus C'}{A \vdash_c E : t' \setminus C'} \quad (12)$$

Notice that there is a rule for each syntactic construct and also a subsumption rule. It is the subsumption rule that makes it possible to add constraints to the constraint set. If  $A \vdash_c E : t \setminus C$  is derivable and  $C$  is consistent, then we say that  $E$  has the constrained type  $t \setminus C$  in the environment  $A$ . The existence of a derivation of  $A \vdash_c E : t \setminus C$  does *not* imply that  $E$  is typable, since  $C$  need not be consistent. In the proof of Lemma 4.2 below we will prove that certain derivations exist without considering the issue of consistency.

Soundness of a more general set of rules than (7)–(12) is established in [Eifrig et al. 1995b] by subject reduction, which establishes that if  $A \vdash_c E : t \setminus C$  and  $C$  is consistent, execution of  $E$  will not result in type errors.

#### 4. EQUIVALENCE

We now establish that the Amadio/Cardelli type system and the constrained type system are equivalent in power. To prove the result independently would be a significant effort, but using facts already proven in [Palsberg and O’Keefe 1995] and [Eifrig et al. 1995b], it is not difficult.

Given a  $\lambda$ -term  $E$ , we now describe how to generate a certain constraint system, found in [Palsberg and O’Keefe 1995]. Assume that  $E$  has been  $\alpha$ -converted so that all bound variables are distinct. Let  $X_E$  be a set of type variables consisting of one type variable  $\langle x \rangle$  for each  $\lambda$ -variable  $x$  occurring in  $E$ , and let  $Y_E$  be a set of variables disjoint from  $X_E$  consisting of one variable  $\llbracket F \rrbracket$  for each occurrence of

a subterm  $F$  of  $E$ . (The notation  $\llbracket F \rrbracket$  is ambiguous because there may be more than one occurrence of  $F$  in  $E$ . However, it will always be clear from context which occurrence is meant.) The following constraint system uses  $X_E \cup Y_E$  as type variables.

—for every occurrence in  $E$  of a subterm of the form  $0$ , the inequality

$$\text{Int} \leq \llbracket 0 \rrbracket ;$$

—for every occurrence in  $E$  of a subterm of the form  $\text{succ } F$ , the two inequalities

$$\begin{aligned} \text{Int} &\leq \llbracket \text{succ } F \rrbracket \\ \llbracket F \rrbracket &\leq \text{Int} ; \end{aligned}$$

—for every occurrence in  $E$  of a subterm of the form  $\lambda x.F$ , the inequality

$$\langle x \rangle \rightarrow \llbracket F \rrbracket \leq \llbracket \lambda x.F \rrbracket ;$$

—for every occurrence in  $E$  of a subterm of the form  $GH$ , the inequality

$$\llbracket G \rrbracket \leq \llbracket H \rrbracket \rightarrow \llbracket GH \rrbracket ;$$

—for every occurrence in  $E$  of a  $\lambda$ -variable  $x$ , the inequality

$$\langle x \rangle \leq \llbracket x \rrbracket .$$

Denote by  $T(E)$  the system of constraints generated from  $E$  in this fashion. The closure of  $T(E)$  will be written  $\overline{T}(E)$ .

If  $C$  is a constraint system and  $\varphi$  is a function that maps the type variables used in  $C$  to elements of  $T_\Sigma$  such that all constraints are satisfied, then  $\varphi$  is a *solution* of  $C$ . We say that  $C$  is *solvable* if it has a solution.

**THEOREM 4.1.** *For a  $\lambda$ -term  $E$ , the following two conditions are equivalent:*

- (1)  $E$  is typable in the Amadio/Cardelli type system.
- (2)  $\overline{T}(E)$  is consistent.

**PROOF.** In [Palsberg and O’Keefe 1995] there is a notion of closure which we here will call restricted closure. It is defined as follows. A constraint system  $C$  is *restricted-closed* if the following two conditions hold.

- If  $s \rightarrow t \leq s' \rightarrow t'$  is in  $C$ , then  $s' \leq s$  and  $t \leq t'$  are in  $C$ .
- If  $r \leq v$  and  $v \leq t$  both are in  $C$ , then  $r \leq t$  is in  $C$ .

Here,  $v$  is a type variable. If  $C$  is a constraint system, then the *restricted closure* of  $C$  is the smallest restricted-closed constraint system which contains  $C$ . The restriction is to close only under transitivity through variables. The different definitions of closure are solely an artifact of slight differences of approach in the two papers [Eifrig et al. 1995b; Palsberg and O’Keefe 1995].

We will prove that the following five properties are equivalent:

- (1)  $E$  is typable in the Amadio/Cardelli type system.
- (2) The restricted closure of  $T(E)$  is solvable.
- (3) The restricted closure of  $\overline{T}(E)$  is consistent.
- (4)  $\overline{T}(E)$  is solvable.

(5)  $\overline{T}(E)$  is consistent.

In [Palsberg and O’Keefe 1995] it is proved that (1), (2), and (3) are equivalent.

To prove (2)  $\Rightarrow$  (4), suppose the restricted closure of  $T(E)$  has solution  $\varphi$ . Then also  $T(E)$  has solution  $\varphi$ , and since the rules that define the closure of a constraint system preserve solutions,  $\overline{T}(E)$  has solution  $\varphi$ .

It is immediate that (4)  $\Rightarrow$  (5), since no inconsistent constraint set can be solvable. Finally, since the restricted closure of  $T(E)$  is a subset of  $\overline{T}(E)$ , we have that (5)  $\Rightarrow$  (3).  $\square$

For a  $\lambda$ -term  $E$ , let  $A_E$  be the simple type environment which maps each  $\lambda$ -variable  $x$  occurring in  $E$  to  $\langle x \rangle$ .

LEMMA 4.2. *For a  $\lambda$ -term  $E$ , we can derive  $A_E \vdash_c E : \llbracket E \rrbracket \setminus \overline{T}(E)$ .*

PROOF. We can prove the following stronger property. For a  $\lambda$ -term  $E$ , we can for every subterm  $F$  of  $E$  derive  $A_E \vdash_c F : \llbracket F \rrbracket \setminus \overline{T}(E)$ . This is proved by induction on the structure of  $F$ .

In the base case, consider first  $F = 0$ . We have that  $A_E \vdash_c 0 : \text{Int} \setminus \emptyset$  is derivable. Moreover, the constraint  $\text{Int} \leq \llbracket 0 \rrbracket$  is in  $\overline{T}(E)$ . Thus,  $\text{Int} \setminus \emptyset \leq \llbracket 0 \rrbracket \setminus \overline{T}(E)$ , so  $A_E \vdash_c 0 : \llbracket 0 \rrbracket \setminus \overline{T}(E)$  is derivable. Consider then  $F = x$ . We have that  $A_E \vdash_c x : \langle x \rangle \setminus \emptyset$  is derivable. Moreover, the constraint  $\langle x \rangle \leq \llbracket x \rrbracket$  is in  $\overline{T}(E)$ . Thus  $\langle x \rangle \setminus \emptyset \leq \llbracket x \rrbracket \setminus \overline{T}(E)$ , so  $A_E \vdash_c x : \llbracket x \rrbracket \setminus \overline{T}(E)$  is derivable.

In the induction step, consider first  $F = \text{succ } G$ . By the induction hypothesis, we have that  $A_E \vdash_c G : \llbracket G \rrbracket \setminus \overline{T}(E)$  is derivable. Moreover, the constraint  $\llbracket G \rrbracket \leq \text{Int}$  is in  $\overline{T}(E)$ . Thus,  $\llbracket G \rrbracket \setminus \overline{T}(E) \leq \text{Int} \setminus \overline{T}(E)$ , so  $A_E \vdash_c G : \text{Int} \setminus \overline{T}(E)$  is derivable. From rule (8) we get that  $A_E \vdash_c \text{succ } G : \text{Int} \setminus \overline{T}(E)$  is derivable. Also the constraint  $\text{Int} \leq \llbracket \text{succ } G \rrbracket$  is in  $\overline{T}(E)$ . Thus,  $\text{Int} \setminus \overline{T}(E) \leq \llbracket \text{succ } G \rrbracket \setminus \overline{T}(E)$ , so  $A_E \vdash_c \text{succ } G : \llbracket \text{succ } G \rrbracket \setminus \overline{T}(E)$  is derivable.

Consider then  $F = \lambda x.G$ . By the induction hypothesis, we have that  $A_E \vdash_c G : \llbracket G \rrbracket \setminus \overline{T}(E)$  is derivable. Noting that  $A_E = A_E[x \leftarrow \langle x \rangle]$ , we get from rule (10) that  $A_E \vdash_c \lambda x.G : \langle x \rangle \rightarrow \llbracket G \rrbracket \setminus \overline{T}(E)$  is derivable. Moreover, the constraint  $\langle x \rangle \rightarrow \llbracket G \rrbracket \leq \llbracket \lambda x.G \rrbracket$  is in  $\overline{T}(E)$ . Thus,  $\langle x \rangle \rightarrow \llbracket G \rrbracket \setminus \overline{T}(E) \leq \llbracket \lambda x.G \rrbracket \setminus \overline{T}(E)$ , so  $A_E \vdash_c \lambda x.G : \llbracket \lambda x.G \rrbracket \setminus \overline{T}(E)$  is derivable.

Finally, consider  $F = GH$ . By the induction hypothesis, we have that both  $A_E \vdash_c G : \llbracket G \rrbracket \setminus \overline{T}(E)$  and  $A_E \vdash_c H : \llbracket H \rrbracket \setminus \overline{T}(E)$  are derivable. Moreover, the constraint  $\llbracket G \rrbracket \leq \llbracket H \rrbracket \rightarrow \llbracket GH \rrbracket$  is in  $\overline{T}(E)$ . Thus,  $\llbracket G \rrbracket \setminus \overline{T}(E) \leq \llbracket H \rrbracket \rightarrow \llbracket GH \rrbracket \setminus \overline{T}(E)$ , so  $A_E \vdash_c G : \llbracket H \rrbracket \rightarrow \llbracket GH \rrbracket \setminus \overline{T}(E)$  is derivable. From rule (11) we get that  $A_E \vdash_c GH : \llbracket GH \rrbracket \setminus \overline{T}(E)$  is derivable.  $\square$

THEOREM 4.3. *For a  $\lambda$ -term  $E$ , if  $\overline{T}(E)$  is consistent, then  $E$  is typable in the constrained type system.*

PROOF. Immediate from Lemma 4.2.  $\square$

Together, Theorem 4.1 and 4.3 show that if  $E$  is typable in the Amadio/Cardelli type system, then it is also typable in the constrained type system.

To prove the converse, we first present a new set of type rules, taken from [Eifrig et al. 1995b]. If  $E$  is a  $\lambda$ -term,  $t \setminus C$  is a constrained type where  $C$  is a constraint set, and  $A$  is a simple type environment, i.e., a partial function assigning simple

types to variables, then the judgment  $A \vdash_i E : t \setminus C$  holds when it is derivable using the following five rules:

$$A \vdash_i 0 : \text{Int} \setminus \emptyset \quad (13)$$

$$\frac{A \vdash_i E : t \setminus C}{A \vdash_i \text{succ } E : \text{Int} \setminus C \uplus \{t \leq \text{Int}\}} \quad (14)$$

$$A \vdash_i x : t \setminus \emptyset \quad (\text{provided } A(x) = t) \quad (15)$$

$$\frac{A[x \leftarrow v] \vdash_i E : t \setminus C}{A \vdash_i \lambda x. E : v \rightarrow t \setminus C} \quad (16)$$

$$\frac{A \vdash_i E : t_1 \setminus C_1 \quad A \vdash_i F : t_2 \setminus C_2}{A \vdash_i EF : v \setminus C_1 \uplus C_2 \uplus \{t_1 \leq t_2 \rightarrow v\}} \quad (17)$$

In both rule (16) and rule (17),  $v$  is a type variable. Notice that there is a rule for each syntactic construct but no subsumption rule. It is clear by inspection that there is at most one typing derivation for each term  $E$  modulo names chosen for fresh variables. This set of rules thus serves to define an inference algorithm, which we may show is complete.

**THEOREM 4.4.** *If  $A \vdash_c E : t \setminus C$  is derivable and  $C$  is consistent, then there exists a constrained type  $t' \setminus C'$  where  $C'$  is consistent, such that  $A \vdash_i E : t' \setminus C'$  is derivable.*

**PROOF.** See [Eifrig et al. 1995b]. □

**THEOREM 4.5.** *If  $A \vdash_i E : t \setminus C$  is derivable and  $C$  is consistent, then  $\overline{T}(E)$  is consistent.*

**PROOF.** Consider a derivation of  $A \vdash_i E : t \setminus C$ , where  $C$  is consistent. Let  $X = Y$  denote the two constraints  $X \leq Y$  and  $Y \leq X$ . Define the constraint system  $D$  as follows.

- For every occurrence of a subterm  $F$  of  $E$ , find the unique judgment in the derivation of  $A \vdash_i E : t \setminus C$  which involves  $F$ , and let that judgment be of the form  $A' \vdash_i F : t' \setminus C'$ . Add the constraint  $\llbracket F \rrbracket = t'$  to  $D$ .
- For every occurrence of a subterm  $GH$  in  $E$ , find the associated judgment in the derivation of  $A \vdash_i E : t \setminus C$  of the form  $A' \vdash_i GH : v \setminus C' \uplus \{t_1 \leq t_2 \rightarrow v\}$ , where  $v$  is a type variable. Add the constraint  $\llbracket G \rrbracket \leq \llbracket H \rrbracket \rightarrow \llbracket GH \rrbracket$  to  $D$ .
- For every  $\lambda$ -variable  $x$  occurring in  $E$ , find the judgment in the derivation of  $A \vdash_i E : t \setminus C$  which involves the abstraction which binds  $x$ , and let that judgment be of the form  $A' \vdash_i \lambda x. F : v \rightarrow t \setminus C'$ , where  $v$  is a type variable. Add the constraints  $\langle x \rangle = v$  and  $\langle x \rangle \rightarrow \llbracket F \rrbracket \leq \llbracket \lambda x. F \rrbracket$  to  $D$ .

Notice that  $C \uplus D$  is consistent: the first operation above clearly preserves consistency. The second operation also preserves consistency, as the new constraints in the closure will always mirror existing constraints, with  $\llbracket G \rrbracket$  replacing  $t_1$ ,  $\llbracket H \rrbracket$  replacing  $t_2$ , and  $\llbracket H \rrbracket$  replacing  $v$ . The third operation preserves consistency by a similar argument.

Thus, since  $\overline{T}(E)$  is clearly a subset of  $C \uplus D$ ,  $\overline{T}(E)$  is consistent. □



Together, Theorem 4.1, 4.4, and 4.5 show that if  $E$  is typable in the constrained type system, then it is also typable in the Amadio/Cardelli type system.

In summary, we have proved our result.

**COROLLARY 4.6.** *A  $\lambda$ -term  $E$  is typable in the Amadio/Cardelli type system if and only if it is typable in the constrained type system.*

## 5. CONCLUSION

The Amadio/Cardelli type system [Amadio and Cardelli 1993], a certain kind of flow analysis [Palsberg and O’Keefe 1995], and a simple constrained type system [Eifrig et al. 1995b] accept the same programs, unifying three different views of typing.

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