

A Stable Marriage Requires Communication

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Abstract

The Gale-Shapley algorithm for the Stable Marriage Problem is known to take $\Theta(n^2)$ steps to find a stable marriage in the worst case, but only $\Theta(n \log n)$ steps in the average case (with n women and n men). In 1976, Knuth asked whether the worst-case running time can be improved in a model of computation that does not require sequential access to the whole input. A partial negative answer was given by Ng and Hirschberg, who showed that $\Theta(n^2)$ queries are required in a model that allows certain natural random-access queries to the participants' preferences. A significantly more general — albeit slightly weaker — lower bound follows from Segal's elaborate analysis of communication complexity, namely that $\Omega(n^2)$ Boolean queries are required in order to find a stable marriage, regardless of the set of allowed Boolean queries.

Using a reduction to the communication complexity of the disjointness problem, we give a far simpler, yet significantly more powerful argument showing that $\Omega(n^2)$ Boolean queries of any type are indeed required. Notably, unlike Segal's lower bound, our lower bound generalizes also to (A) randomized algorithms, (B) finding approximately-stable marriages (C) verifying the stability (or the approx-

imate stability) of a proposed marriage, (D) allowing arbitrary separate preprocessing of the women's preferences profile and of the men's preferences profile, and (E) several variants of the basic problem, such as whether a given pair is married in every/some stable marriage.

1 Introduction

In the classic Stable Marriage Problem [4], there are n *women* and n *men*; each woman has a full preference order over the men and each man has a full preference order over the women. The challenge is to find a *stable marriage*: a one-to-one mapping between women and men that is stable in the sense that it contains no *blocking pair*: a woman and man who mutually prefer each other over their current spouse in the marriage. Gale and Shapley [4] proved that such a stable marriage exists by providing an algorithm for finding one. Their algorithm takes $\Theta(n^2)$ steps in the worst case [4], but only $\Theta(n \log n)$ steps in the average case, over independently and uniformly chosen preferences [17].

In 1976, Knuth [8] asked whether this quadratic worst-case running time can be improved upon. A related question was put forward in 1987 by Gusfield [6], who asked whether even *verifying* the stability of a proposed marriage can be done any faster. As the input size here is quadratic in n , these questions only make sense in models that do not require sequentially reading the whole input, but rather provide some kind of random access to the preferences of the participants.

A partial answer to both questions was given by Ng and Hirschberg [11], who considered a model that allows two types of unit-cost queries to the preferences of the participants: “what is woman w 's ranking of man m ?” (and, dually, “what is man m 's ranking of woman w ?”) and “which man does woman w rank at place k ?” (and, dually, “which woman does man m rank at place k ?”). In this model, they prove a tight $\Theta(n^2)$ lower bound on the number of queries that any deterministic algorithm that solves the stable marriage problem, or even verifies whether a given marriage is stable, must make in the worst case. Chou and Lu [1] later showed that even if one is allowed to separately query each of the $\log n$ bits of the answer to queries such as “which man does woman w rank at place k ?” (and its dual query), $\Theta(n^2 \log n)$ such Boolean queries are

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still required in order to deterministically find a stable marriage.

These results still leave two questions open. The first is whether some more powerful model may allow for faster algorithms. While most “natural” algorithms for stable marriage do fit into these models, there may be others that do not; indeed, there exist problems for which “unnatural” operations, such as various types of hashing or arithmetic operations, do give algorithmic speedups. The second question concerns randomized algorithms: can they do better than deterministic ones? This question is especially fitting for this problem as the *expected* running time is known to be small.¹ We give a negative answer to both hopes, as well as several other related problems:

THEOREM 1.1. (INFORMAL, SEE THEOREM 3.5) *Any randomized (or deterministic) algorithm that uses any type of Boolean queries to the women’s and to the men’s preferences to solve any of the following problems requires $\Omega(n^2)$ queries in the worst case:*

- a. *finding an (approximately) stable marriage,*²
- b. *determining whether a given marriage is stable or far from stable,*
- c. *determining whether a given pair is contained in some/every stable marriage,*
- d. *finding any εn pairs that appear in some/every stable marriage.*

These lower bounds hold even if we allow arbitrary preprocessing of all the men’s preferences and of all the women’s preferences separately. The lower bound for a. holds regardless of which (stable or approximately stable) marriage is produced by the algorithm.

Our proof of Theorem 1.1 comes from a reduction to the well-known lower bounds for the disjointness problem [7, 13] in Yao’s [18] model of two-party communication complexity (see [9] for a survey). We consider a scenario in which Alice holds the preferences of the n women and Bob holds the preferences of the n men, and show that each of the problems from Theorem 1.1 requires the exchange of $\Omega(n^2)$ bits of communication between Alice and Bob.

We note that Segal [15] shows by an elaborate argument that any deterministic communication protocol

¹In particular, this would be the case if the expected running time could be made small for *any* distribution on preferences, rather than just the uniform one.

²Our notion of “approximately stable marriage” is that the marriage shares many married couples with some stable marriage; see Definition 2.5.

among all $2n$ participants for finding a stable marriage requires $\Omega(n^2)$ bits of communication. Our argument for Theorem 1.1(a), in addition to being significantly simpler, generalizes Segal’s result to account for randomized algorithms,³ and even when considering only two-party communication between Alice and Bob (essentially allowing arbitrary communication within the set of women and within the set of men without cost); furthermore, our lower bound holds even for merely verifying that a given marriage is stable (Theorem 1.1(b)), as well as for finding an approximately-stable marriage and for the additional related problems described in Theorem 1.1(c,d). These results immediately imply the same lower bounds for any type of Boolean queries in the original computation model, as Boolean queries can be simulated by a communication protocol.

As indicated above, Theorem 1.1(a), as well as the corresponding lower bound on the two-party communication complexity, holds not only for stable marriages but also for **approximately-stable** marriages, where an approximately-stable marriage is one that is, in a precise sense, not far from a stable marriage. In the context of communication complexity, Chou and Lu [1] also study such a relaxation of the stable marriage problem in a restricted computational model in which communication is non-interactive (a sketching model); Chou and Lu show that any (deterministic, non-interactive, $2n$ -party) protocol that finds a marriage where only a constant fraction of participants are involved in blocking pairs requires $\Theta(n^2 \log n)$ bits of communication. Our results are not directly comparable to these, as the two notions of approximate stability are not comparable; furthermore, we use a significantly more general computation model (randomized, interactive, two-party), but give a slightly weaker lower bound.

Our lower bound for verification complexity (given in Theorem 1.1(b)) is tight. Indeed there exists a simple deterministic algorithm for verifying the stability of a proposed marriage, which requires $\mathcal{O}(n^2)$ queries even in the weak comparison model that allows only for queries of the form “does woman w prefer man m_1 over man m_2 ?” and, dually, “does man m prefer woman w_1 over woman w_2 ?”⁴ We do not know whether the lower bound is tight also for finding a stable marriage

³We remark that in general, there may be an exponential gap between deterministic and randomized communication complexity.

⁴By simple batching, this verification algorithm can be converted into one that uses only $\mathcal{O}(\frac{n^2}{\log n})$ queries, each of which returns an answer of length $\log n$ bits (with each query still regarding the preferences of only a single participant). This highlights the fact that the lower bounds of [11] crucially depend on the exact type of queries allowed in their model.

(Theorem 1.1(a)). Gale and Shapley's algorithm uses $\mathcal{O}(n^2)$ queries in the worst case, but $\mathcal{O}(n^2)$ of these queries require each an answer of length $\log n$ bits, and thus the algorithm requires a total of $\mathcal{O}(n^2 \log n)$ Boolean queries, or bits of communication. We do not know whether $\mathcal{O}(n^2)$ Boolean queries suffice for any algorithm. While the gap between Gale and Shapley's algorithm and our lower bound is small, we believe that it is interesting, as the number of queries performed by the algorithm is exactly linear in the input encoding length; an even slightly sublinear algorithm would therefore be interesting.⁵ We indeed do not have any $o(n^2 \log n)$ algorithm, even randomized and even in the strong two-party communication model, nor do we have any improved $\omega(n^2)$ lower bound, even for deterministic algorithms and even in the simple comparison model.

OPEN PROBLEM 1.1. Consider the Comparison model for stable marriage that only allows for queries of the form “does man m prefer woman w_1 over woman w_2 ?” and, dually, “does woman w prefer man m_1 over man m_2 ?”. How many such queries are required, in the worst case, to find a stable marriage?

2 Model and Preliminaries

2.1 The Stable Marriage Problem

2.1.1 Full Preference Lists For ease of presentation, we consider a simplified version of the model of Gale and Shapley [4]. Let W and M be disjoint finite sets, of *women* and *men*, respectively, such that $|W| = |M|$.

DEFINITION 2.1. (FULL PREFERENCES)

1. A **full preference list** over M is a total ordering of M .
2. A **profile of full preference lists** for W over M is a specification of a full preference list over M for each woman $w \in W$. We denote the set of all profiles of full preference lists for W over M by $\mathcal{F}(W, M)$.
3. Given a profile P_W of full preference lists for W over M , a woman $w \in W$ is said to **prefer a man $m \in M$ over a man $m' \in M$** , denoted by $m \succ_w m'$, if m precedes m' on the preference list of w . We say that $w \in W$ **weakly prefers m over m'** if either $m \succ_w m'$ or $m = m'$.

⁵Note that, as shown in Appendix D, the *nondeterministic* communication complexity is $\Theta(n^2)$, so proving higher lower bounds for the deterministic or randomized case may be challenging.

We define full preference lists over W and profiles of full preference lists for M over W analogously.

DEFINITION 2.2. (PERFECT MARRIAGE) A **perfect marriage** between W and M is a one-to-one mapping between W and M .

DEFINITION 2.3. (MARRIAGE MARKET) A **marriage market** (with full preference lists) is a quadruplet (W, M, P_W, P_M) , where W and M are disjoint, $|W| = |M|$, $P_W \in \mathcal{F}(W, M)$ and $P_M \in \mathcal{F}(M, W)$.

DEFINITION 2.4. (STABILITY) Let (W, M, P_W, P_M) be a marriage market and let μ be a perfect marriage (between W and M).

1. A pair $(w, m) \in W \times M$ is said to be a **blocking pair** (in (W, M, P_W, P_M)) with respect to μ , if each of w and m prefer the other over their spouse in μ .
2. μ is said to be **stable** if no blocking pairs exist w.r.t. μ . Otherwise, μ is said to be **unstable**.

2.1.2 Arbitrary Preference Lists While our main results are phrased in terms of full preference lists and perfect marriages, some additional and intermediate results in Section 4 and in the Appendix deal with an extended model, which allows for preferences to specify “blacklists” (i.e. declare some potential spouses as unacceptable) and for marriages to specify that some participants remain single. (This model is nonetheless also a simplified version of that of [4].) A (not necessarily full) **preference list** over M is a totally-ordered subset of M . We once again interpret a preference list as a ranking, from best to worst, of acceptable spouses. We interpret participants absent from a preference list as declared unacceptable, even at the cost of remaining single. Analogously, a **profile of preference lists** for W over M is a specification of a preference list over M for each woman $w \in W$; we denote the set of all profiles of preference lists for W over M by $\mathcal{P}(W, M) \supset \mathcal{F}(W, M)$. In this extended model, a woman w is said to **prefer a man m over a man m'** not only when m precedes m' on the preference list of w , but also when m is on the preference list of w while m' is not. Again, if we say that w **weakly prefers m over m'** if either w prefers m over m' or $m = m'$. (We once again define preference lists and profiles of preference list for M over W analogously.)

A (not necessarily perfect) **marriage** between W and M is a one-to-one mapping between a subset of W and a subset of M . Given a marriage μ , we denote the set of married women (i.e. the subset of W over which μ is defined) by W_μ ; we analogously denote the set of married men by M_μ . For a marriage μ to be

stable (w.r.t P_W and P_M), we require not only that no blocking pair exist with respect to it, but also that no participant $p \in W \cup M$ be married to someone not on the preference list of p .

We note that this model indeed generalizes the one from Section 2.1.1, in the sense that when the preference list of every participant contains all participants of the other side, then the definition of a stable marriage in this extended model (with respect to these preference lists) coincides with that of the simpler model (with respect to these preference lists when viewed as full preference lists). In particular, any marriage that is stable with respect to such preference lists prescribes for no participant to remain single.

2.1.3 Known Results We now survey a few known results regarding the stable marriage problem, which we utilize throughout this paper. For the duration of this section, let (W, M, P_W, P_M) be a marriage market, defined either according to the definitions of Section 2.1.1 or according those of Section 2.1.2.

THEOREM 2.1. (GALE AND SHAPLEY [4]) *A stable marriage between W and M always exists. Moreover, there exists an M -optimal stable marriage, i.e. a stable marriage where each man weakly prefers his spouse in this stable marriage over his spouse in any other stable marriage.*

THEOREM 2.2. (MCVITIE AND WILSON [10]) *The M -optimal stable marriage is also the W -worst stable marriage, i.e. every other stable marriage is weakly preferred over it by each woman.*

COROLLARY 2.1. (W -WORST & M -WORST \Rightarrow UNIQUE) *If a stable marriage is both the W -worst stable marriage and the M -worst stable marriage, then it is the unique stable marriage.*

THEOREM 2.3. (ROTH'S RURAL HOSPITALS THEOREM [14]) *W_μ (resp. M_μ) is the same for every stable marriage μ .*

2.1.4 Approximately-Stable Marriages In this section, we describe a notion of an “approximately-stable marriage”. For ease of presentation, we restrict ourselves to marriage markets with full preference lists (i.e. the model described in Section 2.1.1). We define an approximately-stable marriage as a perfect marriage that shares many married pairs with some (exactly) stable (perfect) marriage. Our definition is a natural generalization of that of Ünver [16] (who considers only marriage markets with unique stable marriages), but it appears to be novel in its exact formulation. Our notion

of approximate stability has the theoretical advantage of being derived from a metric on the set of all perfect marriages between W and M .

DEFINITION 2.5. *For any pair of perfect marriages μ, μ' between W and M (where $|W| = |M| = n$), we define the **divorce distance** between μ and μ' to be⁶*

$$d(\mu, \mu') = n - |\mu \cap \mu'|.$$

*Note that d measures the minimum number of divorces required to convert μ to μ' (and vice versa). By abuse of notation, we denote the **divorce distance to stability** of a perfect marriage μ to be*

$$d(\mu) = \min_{\mu' \in \mathcal{M}} d(\mu, \mu')$$

where \mathcal{M} is the set of all stable perfect marriages between W and M . Thus, $d(\mu)$ is the minimum number of divorces required to convert μ into a stable marriage.

We say that a marriage μ is $(1 - \varepsilon)$ -stable if $d(\mu) \leq \varepsilon n$. We say μ is ε -unstable if $d(\mu) > \varepsilon n$.

Example. $d(\mu) = 0$ if and only if μ is stable. Therefore, for $\varepsilon = 0$ the concepts of 1-stability and 0-instability coincide precisely with (exact) stability and instability, respectively. Letting ε grow, $(1 - \varepsilon)$ -stability is a weaker requirement for larger values of ε , while ε -instability is a stricter requirement for larger values of ε .

REMARK 2.1. *A more common notion of approximate stability is the requirement for a marriage to have relatively few blocking pairs; see e.g. [3]. Our definition of $(1 - \varepsilon)$ -stability is strictly finer, which allows us to prove stronger lower bounds. Indeed, we note that our analysis regarding approximate stability crucially depends on this choice of definition — see the discussion in Section 6.*

2.2 Communication Complexity We work in Yao's [18] model of two-party communication complexity (see [9] for a survey). Consider a scenario where two agents, Alice and Bob, hold values x and y , respectively, and wish to collaborate in performing some computation that depends on both x and y . Such a computation typically requires the exchange of some data between Alice and Bob. The **communication cost** of a given protocol (i.e. distributed algorithm) for such a computation is the number of bits that Alice and Bob exchange under this protocol in the worst case

⁶Abusing notation, we identify a perfect marriage μ with the set of married pairs $\{(w_1, \mu(w_1)), (w_2, \mu(w_2)), \dots\}$. Thus, $\mu \cap \mu'$ is the set of pairs (couples) that are married in both μ and μ' .

(i.e. for the worst (x, y)); the **communication complexity** of the computation that Alice and Bob wish to perform is the lowest communication cost of any protocol for this computation. Generalizing, we also consider **randomized** communication complexity, defined analogously using randomized protocols that for every given fixed input, produce a correct output with probability at least $\frac{2}{3}$.⁷

Of particular interest to us is the disjointness function, DISJ. Let $n \in \mathbb{N}$ and let Alice and Bob hold subsets $A, B \subseteq [n]$, respectively. The value of the disjointness function is 1 if $A \cap B = \emptyset$, and 0 otherwise. We can also consider DISJ as a Boolean function by identifying A and B with their respective characteristic vectors $\bar{x} = (x_i)_{i=1}^n$ and $\bar{y} = (y_i)_{i=1}^n$, defined by $x_i = 1 \iff i \in A$ and $y_j = 1 \iff j \in B$. Thus, we can express DISJ using the Boolean formula $\text{DISJ}(\bar{x}, \bar{y}) = \neg \bigvee_{i=1}^n (x_i \wedge y_i)$. All of our results heavily rely on the following result of Kalyanasundaram and Schintger [7] (see also Razborov [13]):

THEOREM 2.4. (COMMUNICATION COMPLEXITY OF DISJ [7, 13]) *Let $n \in \mathbb{N}$. The randomized (and deterministic) communication complexity of calculating $\text{DISJ}(\bar{x}, \bar{y})$, where $\bar{x} \in \{0, 1\}^n$ is held by Alice and $\bar{y} \in \{0, 1\}^n$ is held by Bob, is $\Theta(n)$. Further, this lower bound holds even for unique disjointness, i.e. if we require that the inputs \bar{x} and \bar{y} are either disjoint or uniquely intersecting: $|\bar{x} \cap \bar{y}| \leq 1$.*

Our results regarding lower bounds on communication complexities all follow from defining suitable **embeddings** of DISJ into various problems regarding stable marriages, i.e. mapping \bar{x} and \bar{y} into suitable marriage markets (more specifically, mapping \bar{x} into P_W and \bar{y} into P_M), such that finding a stable marriage (or solving any of the other problems from Theorem 1.1) reveals the value of DISJ. Some of our proofs (namely those presented in Section 5) indeed assume that the input to DISJ satisfies $|\bar{x} \cap \bar{y}| \in \{0, 1\}$.

3 Summary of Results

All of the results of this paper provide lower bounds for various computations regarding the stable marriage problem. For the duration of this section, let $(W = \{w_1, \dots, w_n\}, M = \{m_1, \dots, m_n\}, P_W, P_M)$ be a marriage market with full preference lists, where P_W is held by Alice and P_M is held by Bob.

THEOREM 3.1. (COMMUNICATION COMPLEXITY OF FINDING AN APPROXIMATELY-STABLE MARRIAGE)

⁷The results of this paper hold verbatim even if the constant $\frac{2}{3}$ is replaced with any other fixed probability p with $\frac{1}{2} < p \leq 1$.

Let $0 \leq \varepsilon < \frac{1}{2}$. The randomized (and deterministic) communication complexity of finding a $(1 - \varepsilon)$ -stable marriage in (W, M, P_W, P_M) is $\Omega(n^2)$.

COROLLARY 3.1. (COMMUNICATION COMPLEXITY OF FINDING AN EXACTLY STABLE MARRIAGE) *The randomized communication complexity of finding an (exactly) stable marriage in (W, M, P_W, P_M) is $\Omega(n^2)$.*

THEOREM 3.2. (COMMUNICATION COMPLEXITY OF DETERMINING THE STABILITY OF A MARRIAGE) *Let $0 \leq \varepsilon < 1$ and let μ be a fixed marriage between W and M that is either stable or ε -unstable (w.r.t. P_W and P_M). The randomized communication complexity of determining whether μ is stable or ε -unstable is $\Omega(n^2)$.*

COROLLARY 3.2. (COMMUNICATION COMPLEXITY OF VERIFYING A STABLE MARRIAGE) *Let μ be a fixed marriage between W and M . The randomized communication complexity of determining whether or not μ is stable (w.r.t. P_W and P_M) is $\Omega(n^2)$.*

REMARK 3.1. *The lower bound given in Corollary 3.2 is tight. Indeed, exhausting over all pairs of participants to naively check for the existence of a blocking pair requires $\Theta(n^2)$ bits of communication in the worst case.*

REMARK 3.2. *Both Theorem 3.2 and Corollary 3.2 are phrased so that the marriage μ is known by both Alice and Bob before the protocol commences. Nonetheless, these results still hold if only one of them knows μ , as the straightforward way of encoding a marriage between W and M requires $\mathcal{O}(n \log n)$ bits.*

Although Corollaries 3.1 and 3.2 are immediate consequences of Theorems 3.1 and 3.2, respectively, we give direct proofs (of somewhat distinct flavors than those of Theorems 3.1 and 3.2) of these important special cases in Section 4. We believe these proofs (and the construction that they share) to be insightful in their own right; furthermore, the proof of Corollary 3.1 includes a novel application of the Rural Hospitals Theorem (Theorem 2.3), which we believe may be of independent interest.

THEOREM 3.3. (COMMUNICATION COMPLEXITY OF VERIFYING MARITAL STATUS) *Let $(w, m) \in W \times M$ be fixed. The randomized communication complexity of determining whether or not (w, m) is contained in some/every stable marriage (w.r.t. (W, M, P_W, P_M)) is $\Omega(n^2)$.*

REMARK 3.3. *Gusfield [6] gives a deterministic algorithm for enumerating all pairs that belong to at least*

one stable marriage in $\mathcal{O}(n^2 \log n)$ Boolean queries; this yields a $\mathcal{O}(n^2 \log n)$ upper bound for the problems described in Theorem 3.3. The question of a tight bound remains open.

THEOREM 3.4. (COMMUNICATION COMPLEXITY OF FINDING STABLE COUPLES) Let $0 < \varepsilon \leq 1$. The randomized communication complexity of finding εn pairs (w, m) that are contained in some/every stable marriage (w.r.t. (W, M, P_W, P_M)) is $\Omega(n^2)$.

THEOREM 3.5. (QUERY COMPLEXITY) Any randomized (or deterministic) algorithm that uses any type of Boolean queries to the women's and (separately) to the men's preferences to solve any of the following problems requires $\Omega(n^2)$ queries in the worst case:

- a. finding a $(1 - \varepsilon)$ -stable marriage, for fixed ε with $0 \leq \varepsilon < \frac{1}{2}$.
- b. determining whether a given marriage μ is stable or ε -unstable, for fixed ε with $0 \leq \varepsilon < 1$.
- c. determining whether a given pair is contained in some/every stable marriage.
- d. finding any εn pairs that appear in some/every stable marriage, for fixed ε with $0 < \varepsilon \leq 1$.

The proofs of Theorems 3.1, 3.2, 3.3 and 3.5 are given in section 5.2. The proofs all follow from the embedding of disjointness into a marriage market that is described in Section 5.1.

4 Lower Bounds for Exact Stability

In this section, we give direct proofs of Corollaries 3.1 and 3.2, of a somewhat different flavor than the proofs given in Section 5. We prove these corollaries by embedding suitably large instances of DISJ into the problems of finding a stable marriage or verifying the stability of some marriage. Thus, by Theorem 2.4 we obtain the desired lower bounds on communication complexities. We note that the construction given in this section does not assume the input to DISJ to be uniquely intersecting.

DEFINITION 4.1. Let $n \in \mathbb{N}$. We denote the set of pairs of distinct elements of $\{1, \dots, n\}$ by $[n]^2 \triangleq \{(i, j) \in \{1, \dots, n\}^2 \mid i \neq j\}$. We note that $|[n]^2| = n \cdot (n - 1)$.

For the duration of this section, let $n \in \mathbb{N}$, and let $W = \{w_1, \dots, w_n\}$ and $M = \{m_1, \dots, m_n\}$ be disjoint sets such that $|W| = |M| = n$. Let μ_{id} be the perfect marriage in which w_i is married to m_i for every i . To prove Corollary 3.2, we embed disjointness into verification of stability.

LEMMA 4.1. (DISJOINTNESS \leftrightarrow VERIFYING STABILITY) There exist functions $P_W : \{0, 1\}^{[n]^2} \rightarrow \mathcal{F}(W, M)$ and $P_M : \{0, 1\}^{[n]^2} \rightarrow \mathcal{F}(M, W)$ s.t. for every $\bar{x} = (x_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$ and $\bar{y} = (y_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$, the following are equivalent.

- μ_{id} is stable w.r.t. $P_W(\bar{x})$ and $P_M(\bar{y})$
- $\text{DISJ}(\bar{x}, \bar{y}) = 1$.

Proof. To define $P_W(\bar{x})$, for every i we define the preference list of w_i to consist of all m_j s.t. $x_j^i = 1$, in arbitrary order (say, sorted by j), followed by m_i , followed by all other men in arbitrary order. Similarly, to define $P_M(\bar{y})$, for every j we define the preference list of m_j to consist of all w_i s.t. $y_j^i = 1$, in arbitrary order (say, sorted by i), followed by w_j , followed by all other women arbitrary order.

μ_{id} is unstable w.r.t. $P_W(\bar{x})$ and $P_M(\bar{y}) \iff$ there exist $(i, j) \in [n]^2$ s.t. $m_j \succ_{w_i} m_i$ and $w_i \succ_{m_j} w_j \iff$ there exist $(i, j) \in [n]^2$ s.t. $x_j^i = 1$ and $y_j^i = 1 \iff \text{DISJ}(\bar{x}, \bar{y}) = 0$.

REMARK 4.1. A similar argument may be used to embed verification of stability back in disjointness.

To prove Corollary 3.1, we embed disjointness into finding a stable marriage through the intermediate problem of finding a stable marriage w.r.t. arbitrary (i.e. not necessarily full) preference lists.

LEMMA 4.2. (DISJOINTNESS \leftrightarrow FINDING A STABLE MARRIAGE (ARBITRARY PREFERENCES)) There exist functions $P_W : \{0, 1\}^{[n]^2} \rightarrow \mathcal{P}(W, M)$ and $P_M : \{0, 1\}^{[n]^2} \rightarrow \mathcal{P}(M, W)$ s.t. for every $\bar{x} = (x_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$ and $\bar{y} = (y_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$, both of the following hold.

- a. If $\text{DISJ}(\bar{x}, \bar{y}) = 1$, then μ_{id} is the unique stable marriage with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$.
- b. If $\text{DISJ}(\bar{x}, \bar{y}) = 0$, then μ_{id} is unstable with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$.

Proof. To define $P_W(\bar{x})$, for every i we define the preference list of w_i to consist of all m_j s.t. $x_j^i = 1$, in arbitrary order (say, sorted by j), followed by m_i (with all other men absent). Similarly, to define $P_M(\bar{y})$, for every j we define the preference list of m_j to consist of all w_i s.t. $y_j^i = 1$, in arbitrary order (say, sorted by i), followed by w_j (with all other women absent).

We first show that μ_{id} is stable with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$ iff $\text{DISJ}(\bar{x}, \bar{y}) = 1$. Indeed, since every participant is married by μ_{id} to someone on their preference list, we have:

μ_{id} is unstable with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$ \iff there exist $(i, j) \in [n]^2$ such that $m_j \succ_{w_i} m_i$ and $w_i \succ_{m_j} w_j$ \iff there exist $(i, j) \in [n]^2$ such that $x_j^i = 1$ and $y_j^i = 1$ \iff $\text{DISJ}(\bar{x}, \bar{y}) = 0$.

It remains to show that if μ_{id} is stable with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$, then it is the unique stable marriage with respect to these profiles of preference lists. For the remainder of the proof assume, therefore, that μ_{id} is stable (with respect to $P_W(\bar{x})$ and $P_M(\bar{y})$). Let μ be a stable marriage (with respect to these profiles of preference lists). As μ_{id} is stable and perfect, by Theorem 2.3, since μ is stable, it is perfect as well. Therefore, each $p \in W \cup M$ is married by μ to someone on the preference list of p , and so p weakly prefers μ over μ_{id} , as in the latter p is married to the last person on the preference list of p . Thus, μ_{id} is both the W -worst stable marriage and the M -worst stable one, and so, by Corollary 2.1, μ_{id} is the unique stable marriage.

Corollary 3.1 follows from Lemma 4.2 by showing that we can embed the problem of finding a stable marriage with respect to possibly-partial preference lists into finding a stable marriage with respect to full preference lists. See Appendix A for details.

The techniques used to prove Lemmas 4.1 and 4.2 can also be used to prove Theorem 3.3 — see Appendix B. Although Theorem 3.3 shows that determining the marital status of a fixed pair (w, m) requires $\Omega(n^2)$ communication, we do not know how to prove a similar lower bound for finding *some* married couple (see Open Problem 6.3 in Section 6). In the next section, we however show a weaker related result, namely that finding any constant fraction of the couples married in a stable marriage requires $\Omega(n^2)$ communication. This result stems from a different construction than that underlying the results of the current section. The construction that follows will also serve as the basis for our results regarding approximate stability.

5 General Proof of Main Results

5.1 Embedding Disjointness into Preferences

Similarly to the proofs given in Section 4, the proofs of the remaining results from Section 3 follow from embedding suitably large instances of DISJ into various problems regarding (approximately) stable marriages. In order to prove these remaining results, we reconstruct the embeddings to have the property that small changes in the participants' preferences yield very large changes in the global structure of the stable marriages for these preferences. Informally, we construct the preferences so that resolving blocking pairs resulting from such small changes in participants' preferences creates large

rejection chains that ultimately affect most married couples.

5.1.1 Preference Description Let $n \in \mathbb{N}$ and let W and M be disjoint s.t. $|W| = |M| = n$. We divide the participants into three sets: **high**, **mid** and **low**, which we denote W_h, W_m and W_l respectively for the women and M_h, M_m and M_l respectively for the men. These sets have sizes

$$\begin{aligned} |W_h| &= |M_h| = \frac{1}{2}\delta n \\ |W_m| &= |M_m| = \frac{1}{2}(1 - \delta)n \\ |W_l| &= |M_l| = \frac{1}{2}n \end{aligned}$$

where δ is a parameter with $0 < \delta \leq 1$, to be chosen later. The low and mid participants preferences will be fixed, while we will use the preferences of the high participants to embed an instance of disjointness of size $(\delta n)^2/4$. We assume that the participants are

$$W = \{w_1, w_2, \dots, w_n\}, \quad M = \{m_1, m_2, \dots, m_n\},$$

where in both cases the first $\delta n/2$ participants are high, the next $(1 - \delta)n/2$ participants are mid and the remaining $n/2$ participants are low. Since the low and mid participants' preferences are the same for all instances, we describe those first. As before, the participants' preferences are symmetric in the sense that the men's and women's preferences are constructed analogously.

low participants The low women's preferences over men are "in order": $m_1 \succ m_2 \succ \dots \succ m_n$ (and symmetrically for low men, whose preference over women are "in order"). In particular, each low participant prefers all high participants over all mid participants over all low participants.

mid participants The mid participants prefer low participants over high participants over mid participants. Within each group, the preferences are "in order." Specifically, the mid women have preferences $m_{n/2+1} \succ m_{n/2+2} \succ \dots \succ m_n \succ m_1 \succ m_2 \succ \dots \succ m_{n/2}$, and symmetrically for the men.

high participants We use the preferences of each of the high participants to encode a bit vector of length $\delta n/2$. Together, the men and women's preferences thus encode an instance of DISJ of size $(\delta n)^2/4$. For each $w_i \in W_h$, we denote her bit vector $x_1^i, \dots, x_{\delta n/2}^i$; the preference list of w_i , from most-preferred to least-preferred, is:

1. men $m_j \in M_h$ such that $x_j^i = 1$;
2. men $m \in M_l$;

3. men $m \in M_m$;
4. men $m_j \in M_h$ such that $x_j^i = 0$.

Within each group, the preferences are once again “in order”, i.e. sorted by numeric index. The men’s preferences are constructed analogously, with each man m_j encoding the bit vector $y_j^1, \dots, y_j^{\delta n/2}$ and preferring first and foremost women $w_i \in W_h$ such that $y_j^i = 1$.

5.1.2 Stable Marriage Description

LEMMA 5.1. *Any instance of the stable marriage problem with preferences described above corresponding to $\text{DISJ}(\bar{x}, \bar{y}) = 1$ has a unique stable marriage μ_1 given by (see the left side of Figure 1)*

$$\mu_1 = \{(m_i, w_{i+n/2}) \mid i = 1, 2, \dots, n/2\} \cup \{(m_{i+n/2}, w_i) \mid i = 1, 2, \dots, n/2\}.$$

Proof. Let μ be a stable marriage; we will show that $\mu = \mu_1$. We first argue that every high and mid participant is married to a low participant in μ . Suppose to the contrary that some $w = w_i$ for $i \leq n/2$ is married to some $m = m_j$ with $j \leq n/2$ in μ . By the definition of the preferences and the assumption that $\text{DISJ}(\bar{x}, \bar{y}) = 1$, at least one of w and m prefers every low participant over their spouse. Assume without loss of generality that w prefers all $m' = m_{j'}$ with $j' > n/2$ over m . That is, w prefers all low men over her spouse m . Since w is married to a medium or high man, there must be some low man m' that is married to a low woman w' . But m' prefers all high and medium women over w' . In particular, he prefers w over w' . Therefore, (w, m') is a blocking pair, so μ is not stable. Thus any stable marriage must marry low participants to mid or high participants and *vice versa*.

Now we argue that if $(w_i, m_{j+n/2}) \in \mu$, then we must have $i = j$. The argument for pairs $(w_{i+n/2}, m_j)$ is identical. Suppose that $(w_i, m_{j+n/2}) \in \mu$ with $i < j$. Then there is some $j' < j$ such that $m' = m_{j'+n/2}$ is married to $w' = w_{j'}$ with $i' > i$. But then (w_i, m') mutually prefer each other, contradicting the stability of μ . We arrive at a similar contradiction if $i > j$, hence we must have $i = j$, as desired.

LEMMA 5.2. *Suppose we have a stable marriage instance with preferences described above corresponding to $\text{DISJ}(\bar{x}, \bar{y}) = 0$, with \bar{x} and \bar{y} uniquely intersecting. Let $x_\beta^\alpha = y_\beta^\alpha = 1$ be the uniquely-intersecting entry of \bar{x}, \bar{y} . In this case, there exists a unique stable marriage μ_0*

given by (see the right side of Figure 1)

$$\begin{aligned} \mu_0 = & \{(w_\alpha, m_\beta)\} \cup \{(w_i, m_{i+n/2}) \mid i < \alpha\} \\ & \cup \{(w_{i+n/2}, m_i) \mid i < \beta\} \\ & \cup \{(w_i, m_{i+n/2-1}), \alpha < i \leq n/2\} \\ & \cup \{(w_{i+n/2-1}, m_i), \beta < i \leq n/2\} \cup \{(w_n, m_n)\} \end{aligned}$$

Proof. We first argue that $(w_\alpha, m_\beta) \in \mu$ for any stable marriage μ for the preferences described above. Since μ is stable, if $(m_\alpha, w_\beta) \notin \mu$, then at least one of w_α and m_β , say w_α , must be married to someone she prefers over m_β . From w_α ’s preferences, this implies that $(w_\alpha, m) \in \mu$ for some $m = m_j$ with $j < \beta$ for which $x_j^\alpha = 1$. Since the instance of DISJ is uniquely intersecting, we must have $y_j^\alpha = 0$. Thus m prefers all low women over w_α . Since at most $n/2 - 1$ medium and high men are married to low women (indeed m is a high man married to a high woman) and there are $n/2$ low women, some low woman w is married to a low man. But then w and m mutually prefer each other, hence forming a blocking pair. Thus, we must have $(w_\alpha, m_\beta) \in \mu$.

The remainder of the proof of the lemma is analogous to the proof of Lemma 5.1 if we remove w_α and m_β from all the participants’ preferences.

LEMMA 5.3. *The marriages μ_0 and μ_1 from the previous two lemmas satisfy $d(\mu_0, \mu_1) \geq (1 - \delta)n$.*

Proof. This follows from the following two observations:

1. All mid women and men $M_m \cup W_m$ have different spouses in μ_0 and μ_1 .
2. No mid women are married to mid men in either μ_0 or μ_1 .

From these facts, we can conclude that $d(\mu_0, \mu_1) = n - |\mu_0 \cap \mu_1| \geq |W_m| + |M_m| = (1 - \delta)n$.

5.2 Derivation of Main results In this section we use the construction of Section 5.1 to prove all the results formulated in Section 3.

Proof of Theorem 3.1. Suppose that Π is a randomized communication protocol (between Alice and Bob) that outputs a $(1 - \varepsilon)$ -stable marriage μ using B bits of communication. As $\varepsilon < 1/2$, there exists δ sufficiently small such that $\varepsilon < (1 - \delta)/2$. Suppose Π outputs a $(1 - \varepsilon)$ -stable marriage μ for the preferences described in Section 5.1.1. If $\text{DISJ}(\bar{x}, \bar{y}) = 1$, then by Lemma 5.1, μ_1 is the unique stable marriage, so $d(\mu, \mu_1) \leq \varepsilon n$.

Suppose $\text{DISJ}(\bar{x}, \bar{y}) = 0$. By Lemma 5.2, μ_0 is the unique stable marriage, so $d(\mu, \mu_0) \leq \varepsilon n < (1 - \delta)n/2$. Applying Lemma 5.3 and the triangle inequality, we

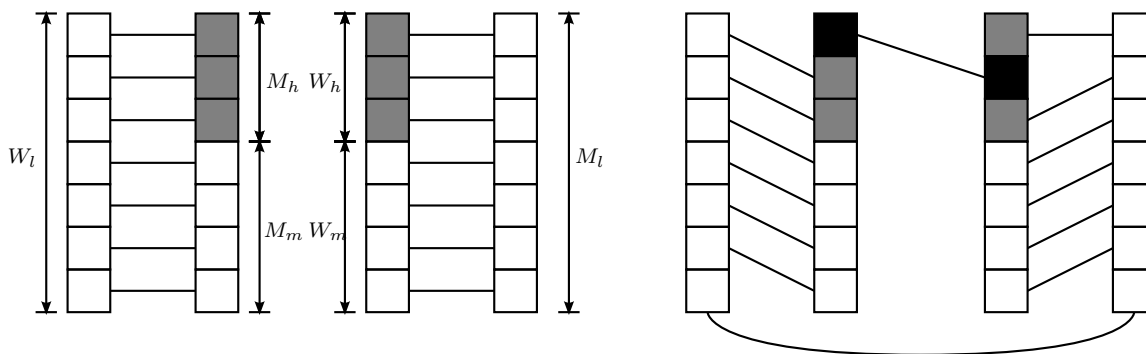


Figure 1: The (unique) stable marriages μ_1 for disjoint (left) and μ_0 for uniquely-intersecting (right) instances of the preferences described in Section 5.1.1.

obtain $d(\mu_1, \mu) > (1-\delta)n/2 > \varepsilon n$. Thus, if $\text{DISJ}(\bar{x}, \bar{y}) = 1$, then $d(\mu, \mu_1) < \varepsilon n$ and if $\text{DISJ}(\bar{x}, \bar{y}) = 0$, then $d(\mu, \mu_1) > \varepsilon n$. Given μ , Alice and Bob can compute $d(\mu, \mu_1)$ without communication, so they can use Π to determine the value of $\text{DISJ}(\bar{x}, \bar{y})$ using B bits of communication. Thus, $B = \Omega(n^2)$ by Theorem 2.4, as desired.

Proof of Theorem 3.2. Suppose that Π is a randomized communication protocol that determines whether a given marriage μ is stable or ε -unstable with respect to given preferences using B bits of communication. As $\varepsilon < 1$, there exists δ sufficiently small such that $1-\delta > \varepsilon$. Let μ_1 be the marriage defined in Lemma 5.1; by that lemma, if $\text{DISJ}(\bar{x}, \bar{y}) = 1$, then μ_1 is stable (with respect to the preferences described in Section 5.1.1). By Lemmas 5.2 and 5.3, if $\text{DISJ}(\bar{x}, \bar{y}) = 0$, then μ_1 is ε -unstable. Thus, if Π determines whether μ_1 is stable or ε -unstable, then Π also determines the value of $\text{DISJ}(\bar{x}, \bar{y})$, hence $B = \Omega(n^2)$ by Theorem 2.4.

Proof of Theorem 3.3. Suppose that Π is a randomized communication protocol that for a given pair (w, m) determines whether $(w, m) \in \mu$ for some (every) stable marriage μ using B bits of communication. Set $\delta = 1$. By choosing preferences as in Section 5.1.1 and taking $(w, m) = (w_n, m_n)$, by Lemmas 5.1 and 5.2, (w, m) is in some (equivalently every) stable marriage for the given preferences if and only if $\text{DISJ}(\bar{x}, \bar{y}) = 0$. Thus, once again by Theorem 2.4, $B = \Omega(n^2)$.

Proof of Theorem 3.4. Suppose that Π is a randomized communication protocol that outputs εn pairs contained in some (every) stable marriage using B bits of communication. Choose preferences as described in the Section 5.1.1 with some $0 < \delta < \varepsilon$, say $\delta = \varepsilon/2$. Recall from the proof of Lemma 5.3 that no participants in

W_m and M_m are ever married to one another in a stable marriage. Therefore, since $|W_m| + |M_m| = (1-\delta)n > (1-\varepsilon)n$ and since Π outputs εn pairs, we have that Π must output some pair (w, m) with $w \in W_m$ or $m \in M_m$. Recall from the proof of Lemma 5.3 that knowing the stable spouse of any participant in W_m or M_m reveals the value of $\text{DISJ}(\bar{x}, \bar{y})$. Thus, by Theorem 2.4, $B = \Omega(n^2)$.

Proof of Theorem 3.5. We prove Part a. of the theorem. Suppose there is a randomized algorithm A that computes a $(1-\varepsilon)$ -stable marriage using B Boolean queries to the women and men. We will use A to construct a B -bit communication protocol for the approximate stable marriage problem. The protocol works as follows. Alice and Bob both simulate A . Whenever A queries the women's preferences, Alice sends the result of the query to Bob (since Alice knows the women's preferences). Symmetrically, when A queries the men's preferences, Bob sends Alice the result of the query. This protocol uses B bits of communication. Thus, by Theorem 3.1, we must have $B = \Omega(n^2)$, as desired.

Parts b.-d. follow similarly from Theorems 3.2, 3.3 and 3.4, respectively.

6 Commentary and Open Problems

The classical Gale-Shapley algorithm [4] terminates after $\mathcal{O}(n^2)$ proposals, and each proposal consists of a message of $\mathcal{O}(\log n)$ bits. Thus, the Gale-Shapley algorithm provides a communication upper bound of $\mathcal{O}(n^2 \log n)$ for the problem of finding a stable marriage. Our Corollary 3.1 matches this up to a logarithmic factor, but it is not immediately clear how to close this gap.

OPEN PROBLEM 6.1. *What is the communication complexity of finding a stable marriage?*

Our definition of $(1 - \varepsilon)$ -stability is nonstandard. A more common notion of approximate stability is that a marriage induce few (say at most εn^2) blocking pairs (see [3]). We remark that the blocking-pairs notion of approximate stability is strictly coarser than ours. It is natural to ask if the $\Omega(n^2)$ communication lower bound of Theorem 3.1 holds for blocking-pairs approximate stability as well.

OPEN PROBLEM 6.2. *Is there a protocol Π that computes a marriage with at most εn^2 blocking pairs using $o(n^2)$ communication?*

Recently, Ostrovsky and Rosenbaum [12] showed that it is possible to find a marriage with εn^2 blocking pairs for arbitrary $\varepsilon > 0$ using $\mathcal{O}(1)$ communication rounds for a distributed model of computation. While their result does not imply anything nontrivial about the total communication, we believe their techniques may be relevant for finding $o(n^2)$ communication protocols for blocking-pairs approximate stability (if such protocols exist). Interestingly, an analogue of Theorem 3.2 does not hold for blocking-pairs approximate stability.

THEOREM 6.1. *For every $\varepsilon \geq \delta > 0$, there exists a randomized communication protocol Π that determines whether a given marriage μ induces at least εn^2 blocking pairs or at most $(\varepsilon - \delta)n^2$ blocking pairs using $\mathcal{O}(\log n)$ communication. In particular, Π determines whether μ is stable or has εn^2 blocking pairs using $\mathcal{O}(\log n)$ communication.*

Proof sketch. Choose a pair (w, m) uniformly at random from $W \times M$. If m prefers w over his spouse in μ , the men query the women to see if w also prefers m over her spouse in μ using $\mathcal{O}(\log n)$ communication. The probability that (w, m) is a blocking pair is precisely ε' , where ε' is the fraction of blocking pairs in μ . Repeat this procedure to estimate ε' to any desired accuracy in a bounded number of steps depending only on the desired accuracy.

Theorem 3.4 shows that any protocol that produces a constant fraction of pairs in a stable marriage (regardless of *which* pairs are found) requires $\Omega(n^2)$ communication. It would be interesting to improve this result (or find an efficient protocol) for finding even a single pair that appears in a stable marriage.

OPEN PROBLEM 6.3. *What is the communication complexity of finding a single pair (w, m) that appears in some/every stable marriage?*

Finally, we notice that in contrast to e.g. Theorems 3.2 and 3.4, our statement of Theorem 3.1 requires

that $\varepsilon < 1/2$. It is natural to ask what can be obtained regarding other values of ε .

OPEN PROBLEM 6.4. *Fix $\frac{1}{2} \leq \varepsilon < 1$. What is the communication complexity of finding a $(1 - \varepsilon)$ -stable marriage?*

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A Embedding Arbitrary Preferences into Complete Preferences

This section contains the remaining technical details needed to complete the direct proof of Corollary 3.1 given in Section 4.

DEFINITION A.1. (SUBMARRIAGE) *Let W' and M' be disjoint sets. A marriage μ , between a subset W of W' and a subset M of M' , is said to be a **submarriage** of a marriage μ' between W' and M' , if for every $w \in W$ and $m \in M$, we have $\mu'(w) = m$ iff $\mu(w) = m$.*

LEMMA A.1. (FINDING A STABLE MARRIAGE (ARBITRARY PREFERENCES) \leftrightarrow FINDING A STABLE MARRIAGE (FULL PREFERENCES)) *Let $n \in \mathbb{N}$, and let W, W', M and M' be pairwise-disjoint sets, each of cardinality n . There exist functions $P_{W \cup W'} : \mathcal{P}(W, M) \rightarrow \mathcal{F}(W \cup W', M \cup M')$ and $P_{M \cup M'} : \mathcal{P}(M, W) \rightarrow \mathcal{F}(M \cup M', W \cup W')$ such that for every $P_W \in \mathcal{P}(W, M)$ and $P_M \in \mathcal{P}(M, W)$, and for every (possibly imperfect) marriage μ between W and M , the following are equivalent.*

- μ is stable with respect to P_W and P_M .
- μ is a submarriage of some marriage between $W \cup W'$ and $M \cup M'$ that is stable with respect to $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.

*Proof.*⁸ Denote $W = \{w_1, \dots, w_n\}$, $M = \{m_1, \dots, m_n\}$, $W' = \{w'_1, \dots, w'_n\}$, and $M' = \{m'_1, \dots, m'_n\}$.

To define $P_{W \cup W'}(P_W)$, for every i we define the preference list of w_i to consist of her preference list in P_W (in the same order), followed by m'_i , followed by all other men in arbitrary order; we define the preference list of w'_i to consist of m_i , followed by all other men in arbitrary order. Similarly, to define $P_{M \cup M'}(P_M)$, for every j we define the preference list of m_j to consist of his preference list in P_M (in the same order), followed by w'_j , followed by all other women in arbitrary order; we

⁸Our construction in this proof is essentially a one-to-one version of the many-to-many construction given in Corollary 31 of [5].

define the preference list of m'_j to consist of w_j , followed by all other women in arbitrary order.

It is straightforward to verify that the lemma holds with respect to these definitions of $P_{W \cup W'}$ and $P_{M \cup M'}$; the details are left to the reader.

REMARK A.1. *It is straightforward to embed the problem of finding a stable marriage w.r.t. full preference lists in that of finding a stable marriage w.r.t. arbitrary preference lists, as the former is a special case of the latter.*

B Determining the Marital Status of a Given Couple or Participant

In this appendix, we give an alternate proof of Theorem 3.3, which uses the construction of Section 4. We prove Theorem 3.3 once again using Theorem 2.4, by embedding disjointness in both problems. We embed disjointness via an intermediate problem of determining whether a given participant is single (i.e. not married to anyone) in some stable marriage, given profiles of arbitrary (i.e. not necessarily full) preference lists.⁹ We therefore obtain the same lower bounds for this problem as well.

LEMMA B.1. (DISJOINTNESS \leftrightarrow IS PARTICIPANT SINGLE?) *Let $n \in \mathbb{N}$, let W and M be disjoint sets s.t. $|W| = |M| = 2n$, and let $p \in W \cup M$. There exist functions $P_W : \{0, 1\}^{[n]^2} \rightarrow \mathcal{P}(W, M)$ and $P_M : \{0, 1\}^{[n]^2} \rightarrow \mathcal{P}(M, W)$ s.t. for every $\bar{x} = (x_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$ and $\bar{y} = (y_j^i)_{(i,j) \in [n]^2} \in \{0, 1\}^{[n]^2}$, the following are equivalent.*

- p is single in some stable marriage w.r.t. $P_W(\bar{x})$ and $P_M(\bar{y})$.
- $\text{DISJ}(\bar{x}, \bar{y}) \neq 0$.

Proof. Assume w.l.o.g. that $p \in W$ and denote $w \triangleq p$. Denote $W = \{w_1, \dots, w_n, w, w'_2, w'_3, \dots, w'_n\}$ and $M = \{m_1, \dots, m_n, m'_1, \dots, m'_n\}$.

To define $P_W(\bar{x})$, for every i we define the preference list of w_i to consist of all m_j s.t. $x_j^i = 1$, in arbitrary order (say, sorted by j), followed by m'_i (with all other men absent). We define the preference list of w to consist of all m'_j , in arbitrary order (say, sorted by j), with all other men absent. We define the preference list of every w'_i to be empty (these women can be ignored, and are defined purely for aesthetic reasons — so that W and M be of equal cardinality). To define $P_M(\bar{y})$, for every j we define the preference list of m_j to consist of

⁹By Theorem 2.3 (in conjunction with 2.1), this is equivalent to whether this participant is single in every stable marriage.

all w_i s.t. $y_j^i = 1$, in arbitrary order (say, sorted by i), with all other women absent. For every j we define the preference list of m'_j to consist of w_j , followed by w (with all other women absent).

Let μ'_{id} be the marriage in which w_i is married to m'_i for every i , and in which all other participants are single. We first show that $\text{DISJ}(\bar{x}, \bar{y}) \neq 0$ iff μ'_{id} is stable, and then show that μ'_{id} is stable iff $w = p$ is single in some stable marriage; we commence with the former.

We begin by noting that every participant that is married in μ'_{id} is married to someone on their preference list; therefore, μ'_{id} is stable iff no pair would rather deviate. Obviously, no w'_i would rather deviate with anyone. Furthermore, while w would rather deviate with any m'_j , these are all married to their top choices, and so none of them would deviate with w . Since for every i , the preference list of w_i consists of m'_i and of a subset of $\{m_j\}_{j \neq i}$, we therefore have that μ'_{id} is unstable iff there exists $(i, j) \in [n]^2$ s.t. both $m_j \succ_{w_i} m'_i$ and w_i is on the preference list of m_j . Similarly to the proof of Lemma 4.2, this holds precisely if there exists $(i, j) \in [n]^2$ s.t. $x_j^i = 1$ and $y_j^i = 1$, which holds iff $\text{DISJ}(\bar{x}, \bar{y}) = 0$.

We complete the proof by showing that μ'_{id} is stable iff $w = p$ is single in some stable marriage. The first direction follows immediately from the fact that w is single in μ'_{id} . For the second direction, assume that there exists a stable marriage μ in which w is single. By stability of μ and since all men on the preference list of w have w on their preference list, all such men are married in μ and prefer their spouses over w . Therefore, for every j , we have that m'_j is married to w_j in μ . By stability of μ , every w'_i is single in μ . As μ and μ'_{id} coincide on all women, we have that $\mu = \mu'_{id}$. Therefore, $\mu'_{id} = \mu$ is stable and the proof is complete.

COROLLARY B.1. (COMPLEXITY OF DETERMINING THE MARITAL STATUS OF A GIVEN PARTICIPANT) *Theorem 3.3 and Theorem 3.5(c) hold also for the problem of determining whether a given participant $p \in W \cup M$ is single in some (equivalently, in every) stable marriage, where $P_W \in \mathcal{P}(W, M)$ and $P_M \in \mathcal{P}(M, W)$.*

LEMMA B.2. (IS PARTICIPANT SINGLE? \leftrightarrow IS COUPLE SOMETIMES/ALWAYS MARRIED?) *Let $n \in \mathbb{N}$, and let W, W', M and M' be pairwise-disjoint sets, each of cardinality n ; let $w \in W$ and $m' \in M'$. There exist functions $P_{W \cup W'} : \mathcal{P}(W, M) \rightarrow \mathcal{F}(W \cup W', M \cup M')$ and $P_{M \cup M'} : \mathcal{P}(M, W) \rightarrow \mathcal{F}(M \cup M', W \cup W')$ s.t. for every $P_W \in \mathcal{P}(W, M)$ and $P_M \in \mathcal{P}(M, W)$, the following are equivalent.*

- w is single in some marriage between W and M that is stable w.r.t. P_W and P_M .

- w and m' are married in **some** marriage between $W \cup W'$ and $M \cup M'$ that is stable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.

- w and m' are married in **every** marriage between $W \cup W'$ and $M \cup M'$ that is stable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.

Proof. The proof is similar to that of Lemma A.1. Denote $W = \{w_1 = w, w_2, \dots, w_n\}$, $M = \{m_1, \dots, m_n\}$, $W' = \{w'_1, \dots, w'_n\}$, and $M' = \{m'_1 = m', m'_2, \dots, m'_n\}$.

To define $P_{W \cup W'}(P_W)$, for every i we define the preference list of w_i to consist of her preference list in P_W (in the same order), followed by m'_i , followed by all other men in arbitrary order; we define the preference list of w'_i to consist of m_i , followed by all other men in arbitrary order. Similarly, to define $P_{M \cup M'}(P_M)$, for every j we define the preference list of m_j to consist of his preference list in P_M (in the same order), followed by w'_j , followed by all other women in arbitrary order; we define the preference list of m'_j to consist of w_j , followed by all other women in arbitrary order.

Similarly to the proof of Lemma A.1, we have that w is single in some marriage μ between W and M that is stable w.r.t. P_W and P_M iff w and m' are married in some marriage (a corresponding “supermarriage” of μ) between $W \cup W'$ and $M \cup M'$ that is stable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$. Additionally, by Theorem 2.3 (in conjunction with Theorem 2.1), we have: w is single in some marriage between W and M that is stable w.r.t. P_W and $P_M \iff w$ is single in every marriage between W and M that is stable w.r.t. P_W and $P_M \iff w$ and m' are married in every marriage between $W \cup W'$ and $M \cup M'$ that is stable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.

C Verifying the Output of a Given Stable Marriage Mechanism

As noted in Section 3, while the lower bound of Corollary 3.2 are tight, we do now know whether that of Corollary 3.1 is tight as well. We note that we do not even know a tight lower bound for verifying whether a given marriage is the M -optimal stable marriage.

OPEN PROBLEM C.1. *What is the worst-case complexity of verifying whether a given marriage is the M -optimal stable marriage?*

As in the case of Open Problem 1.1, we do not have any $o(n^2 \log n)$ algorithm for verification of the M -optimal stable marriage, even randomized and even in the strong two-party communication model, nor do we have any $\omega(n^2)$ lower bound, even for deterministic algorithms and even in the simple comparison model.

In this section, we derive a $\Omega(n^2)$ lower bound for verification of the M -optimal stable marriage. In fact, we show this lower bound not only for verifying the M -optimal stable marriage, but also for verifying the output of any other stable marriage mechanism.

DEFINITION C.1. (STABLE MARRIAGE MECHANISM) Let $n \in \mathbb{N}$, let W and M be disjoint sets s.t. $|W| = |M| = n$. A **stable marriage mechanism** is a function f from $\mathcal{F}(W, M) \times \mathcal{F}(M, W)$ to the set of perfect marriages between W and M , s.t. for every $P_W \in \mathcal{F}(W, M)$ and $P_M \in \mathcal{F}(M, W)$, the marriage $f(P_W, P_M)$ is stable w.r.t. P_W and P_M .

Example. (M -Optimal Stable Marriage Mechanism) The function $f_{M\text{-Opt}}$, defined on $\mathcal{F}(W, M) \times \mathcal{F}(M, W)$ such that $f_{M\text{-Opt}}(P_W, P_M)$ is the M -optimal stable marriage w.r.t. P_W and P_M , is a well-defined stable marriage mechanism by Theorem 2.1.

COROLLARY C.1. (COMPLEXITY OF COMPUTING THE OUTPUT OF A GIVEN STABLE MARRIAGE MECHANISM) By Corollary 3.1, we have that for every stable marriage mechanism f , the worst-case randomized query complexity (as defined in Theorem 3.5) as well as the worst-case communication complexity of computing f is $\Omega(n^2)$.

THEOREM C.1. (COMPLEXITY OF VERIFYING THE OUTPUT OF A GIVEN STABLE MARRIAGE MECHANISM) Let $n \in \mathbb{N}$, let W and M be disjoint sets s.t. $|W| = |M| = n$, fix a stable marriage mechanism f and let $P_W \in \mathcal{F}(W, M)$ and $P_M \in \mathcal{F}(M, W)$. Let μ_{id} be the perfect marriage in which w_i is married to m_i for every i . The worst-case randomized query complexity (as defined in Theorem 3.5), as well as the worst-case randomized communication complexity, of determining whether $f(P_W, P_M) = \mu_{\text{id}}$ is $\Omega(n^2)$.

Theorem C.1 may be proven either via a direct application of the machinery of Section 5, or using the machinery of Section 4, with Lemma A.1 replaced by the following lemma.

LEMMA C.1. Let $n \in \mathbb{N}$, and let $W = \{w_1, \dots, w_n\}$, $M = \{m_1, \dots, m_n\}$, $W' = \{w'_1, \dots, w'_n\}$ and $M' = \{m'_1, \dots, m'_n\}$ be pairwise-disjoint sets, each of cardinality n . Let μ_{id} be the perfect marriage between W and M in which w_i is married to m_i for every i , and let μ'_{id} be the perfect marriage between $W \cup W'$ and $M \cup M'$ in which for every i , both w_i is married to m_i and w'_i is married to m'_i . There exist functions $P_{W \cup W'} : \mathcal{P}(W, M) \rightarrow \mathcal{F}(W \cup W', M \cup M')$ and $P_{M \cup M'} : \mathcal{P}(M, W) \rightarrow \mathcal{F}(M \cup M', W \cup W')$ s.t. for every $P_W \in \mathcal{P}(W, M)$ and $P_M \in \mathcal{P}(M, W)$, both of the following hold.

- a. If μ_{id} is the unique stable marriage w.r.t. P_W and P_M , then μ'_{id} is the unique stable marriage w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.
- b. If μ_{id} is unstable w.r.t. P_W and P_M , then μ'_{id} is unstable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$.

Proof. We define $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$ as in Lemma A.1, only with M' appearing sorted by j (as opposed to in arbitrary order) on the preference lists of W' , and with W' appearing sorted by i (as opposed to in arbitrary order) on the preference lists of M' . By Lemma A.1, we have both that b. holds, and that if μ_{id} is the unique stable marriage w.r.t. P_W and P_M , then it is a submarriage of every marriage that is stable w.r.t. $P_{W \cup W'}(P_W)$ and $P_{M \cup M'}(P_M)$; it is straightforward to show that every “supermarriage” of μ_{id} , apart from μ'_{id} , is unstable, thus proving a. as well.

OPEN PROBLEM C.2. Is there a stable marriage mechanism whose worst-case output verification complexity is $\Theta(n^2)$? Which stable marriage mechanisms have the lowest asymptotic worst-case output verification complexity?

D Nondeterminism

All the lower bounds in this paper are based upon reductions to the well-studied communication complexity of the disjointness function. Since the disjointness function also has $\Theta(n)$ **nondeterministic** communication complexity [9], it follows that all our lower bounds apply not only to randomized communication complexity, but also to nondeterministic communication complexity. For nondeterministic communication complexity, the $\Omega(n^2)$ lower bound for finding a stable marriage is in fact tight (and so still is the $\Omega(n^2)$ bound for verification of stability).

For the decision problem of verifying the stability of a given marriage, the **co-nondeterministic** communication complexity may be easily seen to be $\Theta(\log n)$. In contrast, we note that the proof of Theorem 3.3 may be easily adapted to show a $\Omega(n^2)$ lower bound also for the co-nondeterministic communication complexities of determining the marital status of a given couple.

THEOREM D.1. (NONDETERMINISTIC COMMUNICATION COMPLEXITY OF DETERMINING THE MARITAL STATUS OF A GIVEN COUPLE) In the notation of Theorem 3.3, both the nondeterministic and co-nondeterministic communication complexities of determining whether w and m are married in some/every stable marriage are $\Omega(n^2)$.

For completeness, we show this lower bound also for the nondeterministic and co-nondeterministic communication complexities of the intermediate problem of

determining whether a given participant is single, which we presented in Appendix B. (This proof also yields Theorem D.1 using the tools of that appendix and of Section 4.) These lower bounds follow from the results of Appendix B in conjunction with the following lemma.

LEMMA D.1. (IS PARTICIPANT SINGLE? $\leftrightarrow \neg$ IS PARTICIPANT SINGLE?) *Let $n \in \mathbb{N}$, let W and M be sets s.t. $|W| = |M| = n$, and let w' and m' s.t. $W, M, \{w'\}$ and $\{m'\}$ are pairwise disjoint; let $w \in W$. There exist functions $P_{W \cup \{w'\}} : \mathcal{P}(W, M) \rightarrow \mathcal{P}(W \cup \{w'\}, M \cup \{m'\})$ and $P_{M \cup \{m'\}} : \mathcal{P}(M, W) \rightarrow \mathcal{P}(M \cup \{m'\}, W \cup \{w'\})$ s.t. for every $P_W \in \mathcal{P}(W, M)$ and $P_M \in \mathcal{P}(M, W)$, the following are equivalent.*

- w is single in some marriage between W and M that is stable w.r.t. P_W and P_M .
- m' is married in every marriage between $W \cup \{w'\}$ and $M \cup \{m'\}$ that is stable w.r.t. $P_{W \cup \{w'\}}(P_W)$ and $P_{M \cup \{m'\}}(P_M)$.

Proof. To define $P_{W \cup \{w'\}}(P_W)$, we define the preference list of w as her preference list in P_W (in the same order), followed by m' ; we define the preference list of every other woman in W as her preference list in P_W (in the same order and with m' absent), and define the preference list of w' to be empty (once again, w' can be ignored, and is defined purely for aesthetic reasons — so that $W \cup \{w'\}$ and $M \cup \{m'\}$ be of equal cardinality). To define $P_{M \cup \{m'\}}(P_M)$, we define the preference list of every man in M as his preference list in P_M (in the same order and with w' absent); we define the preference list of m' to consist solely of w .

Directly from definition of $P_{M \cup \{m'\}}$ and $P_{W \cup \{w'\}}$, we have that a natural bijection $\mu \mapsto \mu'$ exists between stable marriages w.r.t. P_W and P_M and stable marriages w.r.t. $P_{W \cup \{w'\}}(P_W)$ and $P_{M \cup \{m'\}}(P_M)$; this bijection is given by:

- If w is married in μ , then $\mu' \triangleq \mu$ (with m' and w' single in μ').
- If w is single in μ , then μ' is the marriage obtained from μ by marrying w to m' (with w' once again single in μ').

Once again by Theorem 2.3 (in conjunction with Theorem 2.1), and by the existence of this bijection, we have: w is single in some marriage between W and M that is stable w.r.t. P_W and $P_M \iff w$ is single in every marriage between W and M that is stable w.r.t. P_W and $P_M \iff m'$ is married in every marriage between $W \cup \{w'\}$ and $M \cup \{m'\}$ that is stable w.r.t. $P_{W \cup \{w'\}}(P_W)$ and $P_{M \cup \{m'\}}(P_M)$.

We note that the nondeterministic lower bound of $\Omega(n^2)$ for determining whether a given couple is married in some stable marriage, as well as the co-nondeterministic lower bound of $\Omega(n^2)$ for determining whether a given couple is married in every stable marriage (and both the nondeterministic and co-nondeterministic lower bounds of $\Omega(n^2)$ for determining whether a given participant is single in some/every stable marriage), is in fact tight. (Recall that we do not know whether any of these problems can be deterministically or even probabilistically solved using $o(n^2 \log n)$ communication.) The questions of a tight co-nondeterministic lower bound for the former problem and a tight nondeterministic lower bound for the latter remain open in all query models. We note that the latter problem may be solved by checking whether the pair in question is married in both the M -optimal stable marriage and the W -optimal stable marriage; a $\mathcal{O}(n^2)$ -Boolean-queries algorithm (even a nondeterministic one) for verification of the M -optimal stable marriage (see Open Problem C.1 in Appendix C) would therefore also settle the question of the nondeterministic communication complexity of this problem.

E Optimality of Deferred Acceptance w.r.t. Queries onto Women

Gale and Shapley's (1962) proof of Theorem 2.1 is constructive, providing an efficient algorithm for finding the M -optimal stable marriage. In this algorithm, men are asked queries of the form "which woman is next on the preference list of man m after woman w ?" (or alternatively, "which woman does man m rank at place k ?"), while women are asked queries of the form "whom does woman w prefer most out of the set of men \tilde{M} ?"; all of these queries require an answer of length $\mathcal{O}(\log n)$ bits.

Dubins and Freedman [2] presented a variant of Gale and Shapley's algorithm, which runs in the same worst-case time complexity, but performs a significantly more limited class of queries, namely only pairwise-comparison queries, onto women. In Open Problem 1.1 in the Introduction, we raise the question of a tight lower bound for the complexity of finding a stable marriage using only such queries *for both women and men*. In this section, we show that regardless of how complex the queries onto the men may be, no algorithm for finding any stable marriage (and even no algorithm for verifying the stability of a given marriage, when input a stable marriage) that performs only pairwise-comparison queries onto women, may perform any less such queries onto them than Dubins and Freedman's variant of Gale and Shapley's algorithm (given the same preference lists). For the duration of this section, let

$n \in \mathbb{N}$, let W and M be disjoint sets s.t. $|W| = |M| = n$.

DEFINITION E.1. (PAIRWISE-COMPARISON QUERY) A **pairwise-comparison query** onto W is a query of whether $m \succ_w m'$ for some given $w \in W$ and $m, m' \in M$.

DEFINITION E.2. (MEN-PROPOSING DEFERRED-ACCEPTANCE ALGORITHM [2]) The following algorithm is henceforth referred to as the **men-proposing deferred-acceptance algorithm**: The algorithm is initialized with all women and all men being **provisionally single**, and concludes when no man is provisionally single. The algorithm is divided into steps, to which we refer as **nights**. On each night, an arbitrary provisionally-single man m is chosen, and serenades under the window of the woman w ranked highest on his preference list among those who have not (yet) rejected him. If w is provisionally single, then m and w are **provisionally married** to each other. Otherwise, i.e. if w is already provisionally married to some man m' , then if $m \succ_w m'$, then w rejects m' , who becomes provisionally single, and w and m are provisionally married to each other; otherwise, w rejects m , who remains provisionally single. The algorithm stops when no provisionally-single men remain, and the couples married by the output marriage are exactly those that are provisionally married when the algorithm stops.

THEOREM E.1. ([2]) Let $P_W \in \mathcal{F}(W, M)$ and $P_M \in \mathcal{F}(M, W)$ be profiles of full preference lists for W over M and for M over W , respectively. The men-proposing deferred-acceptance algorithm stops after $\mathcal{O}(n^2)$ nights, and yields the M -optimal stable marriage.

REMARK E.1. Let $P_W \in \mathcal{F}(W, M)$ and let $P_M \in \mathcal{F}(M, W)$. All runs of the men-proposing deferred-acceptance algorithm (given P_W and P_M) perform the same number of pairwise-comparison queries onto W .

THEOREM E.2. (OPTIMALITY OF MEN-PROPOSING DEFERRED-ACCEPTANCE ALGORITHM W.R.T. PAIRWISE-COMPARISON QUERIES ONTO W) For any profiles $P_W \in \mathcal{F}(W, M)$ and $P_M \in \mathcal{F}(M, W)$ of full preference lists for W over M and for M over W , respectively, every algorithm for finding or verifying a stable marriage (for the latter — when input any marriage that is stable w.r.t. P_W and P_M) that only performs pairwise-comparison queries onto W (and arbitrary queries onto M), performs no less queries onto W than the men-proposing deferred-acceptance algorithm, when input P_W and P_M .

REMARK E.2. An analogous result may similarly be shown to hold w.r.t. profiles of arbitrary preference lists, and finding/verifying a possibly-imperfect stable marriage.

DEFINITION E.3. Let μ be a perfect marriage between W and M . By slight abuse of notation, we denote the woman married to a man $m \in M$ in μ by $\mu(m)$ instead of $\mu^{-1}(m)$.

Proof of Theorem E.2. Let A be a run of the men-proposing deferred-acceptance algorithm w.r.t. P_W and P_M , and let B be a given run of an algorithm for finding/verifying a stable marriage w.r.t. P_W and P_M . Let $Q \subseteq W \times M^2$ be the set of triples (w, m, m') s.t. either the query of whether $m \succ_w m'$ was performed onto W during B and answered positively, or the query of whether $m' \succ_w m$ was performed onto W during B and answered negatively. By definition, at least $|Q|$ queries onto W are performed during B . Let μ be the M -optimal stable marriage w.r.t. P_W and P_M , i.e. the marriage output by A . Let $R \triangleq \{(w, m) \mid w \text{ rejects } m \text{ during } A\} \subseteq W \times M$. By definition, we note that the number of queries onto W during A equals the number of rejections performed during A , and so, as no woman rejects the same man twice, equals $|R|$. It is therefore enough to show that $|R| \leq |Q|$ in order to complete the proof.

Let μ' be the output of B if it is a run of an algorithm for finding a stable marriage, or the input to B if it is a run of an algorithm for verifying stability; either way, μ' a stable marriage w.r.t. P_W and P_M . We claim that $w \succ_m \mu'(m)$ for every $(w, m) \in R$. Indeed, as m serenades under women's windows during A in descending order of preference, the fact that w rejects m during A implies $w \succ_m \mu(m)$. By Theorem E.1, we thus have $w \succ_m \mu(m) \succeq_m \mu'(m)$, as claimed. As B guarantees the stability of μ' , it must therefore ascertain that $\mu'(w) \succ_w m$ for every $(w, m) \in R$; therefore, as only pairwise-comparison queries are performed onto W during B , there exists $m' \in M$ s.t. $(w, m', m) \in Q$. We have thus shown that R is contained in the projection of Q over its first and last coordinates, and therefore $|R| \leq |Q|$, and the proof is complete.